
From: Ying-A Wang [REDACTED]
Sent: 1/21/2016 1:55:26 PM
To: Wayne Salit [REDACTED]; Cherie Quigley [REDACTED]
CC: PWM AMLCompliance [REDACTED]
Subject: RE: AML Risk Assessment - PRIME DATA NEEDED [I]
Attachments: Copy of PEPS Open accounts DEC.31.15.xlsx

Classification: **For internal use only**

Wayne,

Please see enclosed for final list of PEP accts by 12/31/15.

Total number: 514
US accounts: 272
Non – US accts: 242

-Ying

From: Wayne Salit
Sent: Wednesday, January 20, 2016 8:53 AM
To: Ying-A Wang; Cherie Quigley
Cc: PWM AMLCompliance
Subject: FW: AML Risk Assessment - PRIME DATA NEEDED [I]
Importance: High

Classification: **For internal use only**

Ying/Cherie:

Please run a report (as of 12/31/2015) for the Prime system that will indicate:

- The number of Foreign-PEPs; and
- The number of Domestic PEPs

Kim is also seeking information on the number of "High Risk" Industry Accounts.

However, as discussed, there are issues with the quality of the data in this field. If you run this report, make sure to describe the data issues when you provide the information to Kim.

Kind Regards,
Wayne Salit

Deutsche Bank Americas
[REDACTED]
[REDACTED]

From: Kimberly Hart
Sent: Wednesday, January 20, 2016 7:39 AM
To: Wayne Salit
Cc: Julie Cataudella; Armen Brash; Vaishali-P Mehta; Janice Franklin
Subject: AML Risk Assessment - PRIME DATA NEEDED
Importance: High