
From: Vijay-A Sawant [REDACTED]
Sent: 8/23/2018 5:55:27 AM
To: Bradley Gillin [REDACTED]
CC: Stewart Oldfield [REDACTED]; Richard Iarossi [REDACTED]
Subject: RE: Annual KYC Reviews [I]

Many thanks Brad!!

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
[REDACTED]

From: Bradley Gillin
Sent: Wednesday, August 22, 2018 8:44 PM
To: Vijay-A Sawant [REDACTED]
Cc: Stewart Oldfield [REDACTED]; Richard Iarossi [REDACTED]
Subject: FW: Annual KYC Reviews [I]

Classification: **For internal use only**

Vijay, FYI - All COGS have been requested. See below.

Regards,
Brad

From: Bradley Gillin
Sent: Tuesday, August 21, 2018 1:08 PM
To: 'Darren Indyke' [REDACTED]
Cc: 'Bella Klein' [REDACTED]; Stewart Oldfield [REDACTED]; Richard Iarossi [REDACTED]
Subject: Annual KYC Reviews [C]

Classification: **Confidential**

Hi Darren, Bella,

We are in the process of completing the annual KYC reviews on your accounts. Please provide the Certificate of Good Standing (dated within 6 month) for the following entities:

- Southern Financial, LLC
- Southern Trust Company, Inc
- LSJE, LLC
- Neptune, LLC