
From: Vijay-A Sawant [REDACTED]
Sent: 9/6/2018 6:30:05 AM
To: Stewart Oldfield [REDACTED]
CC: Richard Iarossi [REDACTED], Mayur Rathod [REDACTED], Bradley Gillin [REDACTED]
Subject: RE: 2018 Periodic Review of High Risked Account [REDACTED], Butterfly Trust [REDACTED] and Neptune, LLC [REDACTED] under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Hi Stewart,

I understand that Steve Mattus approves in Andrew's absence.

Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India

From: Stewart Oldfield
Sent: Thursday, September 06, 2018 3:33 AM
To: Vijay-A Sawant [REDACTED]
Cc: Richard Iarossi [REDACTED], Mayur Rathod [REDACTED]; Bradley Gillin [REDACTED]
Subject: RE: 2018 Periodic Review of High Risked Accounts [REDACTED] Butterfly Trust (GCI# [REDACTED]) and Neptune, LLC (GCI# [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Thanks. Just submitted these. Do you know who is approving on behalf of Andrew Gallivan while he's out?

From: Vijay-A Sawant
Sent: Wednesday, September 05, 2018 9:34 AM
To: Stewart Oldfield [REDACTED]
Cc: Richard Iarossi [REDACTED], Mayur Rathod [REDACTED], Bradley Gillin [REDACTED]
Subject: RE: 2018 Periodic Review of High Risked Accounts [REDACTED], Butterfly Trust (GCI# [REDACTED]) and Neptune, LLC (GCI# [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High

Many thanks Stewart!!

Just wanted to remind you that KYC Case# [REDACTED] also requires your review and submission to AML as per attached email. This case has 2 other accounts, so we will have 5 high risked accounts submitted today. 😊

Kind Regards,