KYC Case # : **01898372**   Status : **6. Approved**

One sheet must be established per relationship – list all accounts included in the relationship

1. Relationship Details				
Relationship Name:	SOUTHERN FINANCIAL RELATIONSHIP: ██████		Booking Center:	New York
Relationship Manager:	Stewart Oldfield			

Relationship to PWM:	☐ New PWM Relationship	☑ Existing PWM Relationship
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: Initial funding must take place by 02/13/2018 in order to purchase assets for the trust	

How Was the Client(s) Introduced? How long has the RM personally known the client?	☑ Client Referral	☐ RM Prospect	☐ Intermediary/FIM	☐ Other Source (CIB, etc.)
	Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein reached out to Stew Oldfield on 2/02/2018 to open a new deposit account for his annuity trust. Initial funding must take place by 02/13/2018 in order to purchase assets for the trust			

Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	☐ Yes	☑ No
	(If Yes, describe):	

List all existing and new accounts involved in this relationship

	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	☑	The 2017 Caterpillar Trust - Deposit	2/13/2018

Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	Groff, Lesley	Preferred method of contact(indicate phone no., fax no., e-mail address, etc.):	██████