

Investment Experience of Account Owner(s)

	Year First Traded	Average # Trades Per Year	Average Size of Trades in \$	Types of Previous Option Experience
Options	1980	30		<input type="checkbox"/> Covered Call Writing
Equities	1980	50	1M	<input type="checkbox"/> Purchased Options
Fixed Income	1985	30	3M	<input type="checkbox"/> Option Spreads
Commodities and Futures	1990	20	5M	<input checked="" type="checkbox"/> Uncovered Put Writing
Structured Products	2000	20	3M	<input checked="" type="checkbox"/> Uncovered Call Writing
OTC Derivatives	1984	25	10M	<input type="checkbox"/> None
Foreign Currency	1984	50	20M	
Alternative Investments (Hedge Funds, Private Equity)	1991	5	10M	

Investment Objectives for the Client Account

(Select up to two adjacent objectives):

Capital Preservation
 Income
 Growth
 Aggressive Growth

Representations Relating to Qualification of the Client

Check all that apply. At least one category must be checked.

I represent that Client qualifies as an "Accredited Investor" as defined in Regulation D under the Securities Act of 1933 because Client is:

- a natural person with individual income exceeding \$200,000 in each of the two most recent years or joint income with a spouse exceeding \$300,000 in each of those years and a reasonable expectation of the same income level in the current year;
- a natural person with individual net worth, or joint net worth with a spouse, over \$1,000,000, excluding primary residence;
- a trust with assets in excess of \$5,000,000, not formed for the specific purpose of acquiring the securities offered, whose purchases are directed by a sophisticated person;
- a revocable trust that may be amended or revoked at any time by the grantors and where all of the grantors are accredited investors;
- a charitable organization, corporation or partnership with assets exceeding \$5,000,000;
- a business in which all the equity owners are accredited investors;
- a bank, savings and loan association, registered broker or dealer, insurance company, registered investment company, business development company or licensed small business investment company;
- an employee benefit plan, within the meaning of ERISA, if a plan fiduciary that is a bank, insurance company or registered investment adviser makes the investment decisions, or if the plan has over \$5,000,000 in total assets or a self-directed plan with investment decisions made solely by accredited investors;
- a plan established and maintained by a state, its political subdivisions or any agency or instrumentality thereof, for the benefit of its employees with total assets in excess of \$5,000,000; or
- a director, executive officer or general partner of the issuer of the securities being offered.