
From: Vijay-A Sawant [REDACTED]
Sent: 9/5/2018 9:34:21 AM
To: Stewart Oldfield [REDACTED]
CC: Richard Iarossi [REDACTED]; Mayur Rathod [REDACTED]; Bradley Gillin [REDACTED]
Subject: RE: 2018 Periodic Review of High Risked Accounts [REDACTED] [REDACTED] ([REDACTED]), Butterfly Trust ([REDACTED]) and Neptune, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Attachments: Re_2018 Periodic Review of accts Mort, Inc ([REDACTED]) and Gratitude ... (31.2 KB).msg
Importance: High

Many thanks Stewart!!

Just wanted to remind you that KYC Case#01977700 also requires your review and submission to AML as per attached email. This case has 2 other accounts, so we will have 5 high risked accounts submitted today. 😊

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
Tel. [REDACTED]
Mobile [REDACTED]
[REDACTED]

From: Stewart Oldfield
Sent: Wednesday, September 05, 2018 6:45 PM
To: Vijay-A Sawant <[REDACTED]>
Cc: Richard Iarossi <[REDACTED]>; Mayur Rathod <[REDACTED]>; Bradley Gillin <[REDACTED]>
Subject: Re: 2018 Periodic Review of High Risked Accounts [REDACTED] [REDACTED] ([REDACTED]), Butterfly Trust ([REDACTED]) and Neptune, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Thanks. Will submit this afternoon.

Stewart Oldfield, CFA, CAIA
Director

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[REDACTED]

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On Sep 5, 2018, at 9:05 AM, Vijay-A Sawant <[REDACTED]> wrote: