

Cc: Richard Iarossi <[REDACTED]>; Bradley Gillin <[REDACTED]>; Vinita Advani <[REDACTED]>; Vijay-A Sawant <[REDACTED]>

Subject: Re: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Pretty sure we have already provided some of these. I still need to talk to the client about the remaining items. Have been away for 3 days in sales training with Brad, hope to call client today or tmw.

Stewart Oldfield, CFA, CAIA
Director

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
[REDACTED], New York, NY 10154

Tel. [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

On Oct 31, 2018, at 9:13 AM, Mayur Rathod <[REDACTED]> wrote:

Classification: **For internal use only**

Hi Rich,

Hope all is well.

Following up on the below email sent by Vijay regarding pending rejection items.

Do we have any update on the same.

Thanks & Regards

<image001.gif>

Mayur Rathod

KYC Case Representative
Deutsche CIB Centre Private Limited
Wealth Management
[REDACTED]

Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India

Tel.: + [REDACTED]

Email: [REDACTED]

From: Vijay-A Sawant

Sent: Friday, October 26, 2018 2:37 PM

To: Stewart Oldfield <[REDACTED]>

Cc: Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>; Alka Babu

<[REDACTED]>; Mayur Rathod <[REDACTED]>; Vinita Advani <[REDACTED]>

Subject: RE: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP

Importance: High

Hi Stewart,