

A kind reminder of the below rejection case. Note the only pending item for this case (01946825) is as follows –

C – Please check to see if there is a memo that was accompanied by Chip Packard’s approval. If there is none, I recommend “refreshing” the approval with current senior management. The current e-mail approval does not address what exactly is being discussed and approved. – Kindly provide this please.

- Recent ExCo approval was obtained and attached. All alerts have been cleared. What do they need here – an updated ExCo approval?

Note we are looking for an ‘updated’ Exco approval, as requested by the AML team, thanks.

<image001.gif>

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited – Deutsche Bank Group
Wealth Management

Goregaon East, Mumbai - 400063 , India

Tel. [REDACTED]

Mobile + [REDACTED]

From: Vijay-A Sawant

Sent: Friday, August 10, 2018 10:57 AM

To: Bradley Gillin <[REDACTED]>

Cc: Stewart Oldfield <[REDACTED]>

Subject: RE: Assistance Required in solving rejection points on KYC Case#01946825 [I]

Importance: High

Hi Brad,

A kind reminder of the below ‘updated’ Exco approval request for acct Prytanee LLC under the SOUTHERN FINANCIAL RELATIONSHIP for KYC Case#01946825.

Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited – Deutsche Bank Group
Wealth Management

Goregaon East, Mumbai - 400063 , India

Tel. [REDACTED]

Mobile + [REDACTED]

vijay-a.sawant@db.com

From: Vijay-A Sawant

Sent: Thursday, August 02, 2018 3:46 PM

To: Bradley Gillin <[REDACTED]>

Cc: Stewart Oldfield <[REDACTED]>

Subject: RE: Assistance Required in solving rejection points on KYC Case#01946825 [I]

Importance: High