

□ 7 incoming transfers totaling \$2,280,490.83
○ On 1/4/16 for \$120,000, on 1/8/16 for \$40,000, on 1/13/16 for \$270,000, on 1/29/16 for \$20,000, and on 1/29/16 for \$1,100,000 from client's DB account [REDACTED]
Confidential

Comment [TM2]: NEW VERNON ADVISR
INC FBO J. PETER SIMON

○ On 1/6/16 for \$727,714.28 from DB account [REDACTED] - W.S. Hills Associates LP (related)
○ On 1/21/16 for \$2,776.55 from DB account [REDACTED] - Weskids III, L.L.C. (related)

□ 2 preauthorized transfers totaling \$1,074.18 from Payroll ASF, DBA Insuperity (payroll - [REDACTED])

The outgoing transactions were as follows:

□ 7 outgoing wires totaling \$317,142.86 as follows:
○ On 1/4/16 for \$100,000, on 1/8/16 for \$30,000, on 1/14/16 for \$50,000, and on 1/14/16 for \$100,000 to client's account with Bank of America
○ On 1/8/16 for \$10,000 to client's account with UBS Financial Services Inc.
○ On 1/15/16 for \$15,000 to Point 406 Ventures III, L.P. (investment fund - <http://www.formds.com/issuers/point-406-ventures-iii-l-p>)
○ On 1/27/16 for \$12,142.86 to South Street Capital LP referencing "Invest in GSO"(related)

□ 3 outgoing transfers totaling \$735,349 as follows:
○ On 1/6/16 for \$730,000 to DB account [REDACTED] - New Vernon Advisr Inc FBO J. Peter Simon
○ On 1/13/16 for \$3,920 to DB account [REDACTED] - New Vernon Advisors, Inc. referencing "4th Quarter 2015 Management Fee"
○ On 1/14/16 for \$1,429 to DB account [REDACTED] - New Vernon Advisors, Inc. referencing "Capital Call For Expenses"

□ 2 preauthorized transfers totaling \$4,569.78 GIT LBR PT NJ GIT / LBR PMT and NJ927 PMT NEW JERSEY EFT T (tax payment - <http://www.state.nj.us/treasury/taxation/git.shtml> and <http://www.state.nj.us/treasury/taxation/eftpayoptions.shtml>).

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