

**From:** Vijay-A Sawant [REDACTED]  
**Sent:** 8/24/2018 4:42:22 AM  
**To:** Stewart Oldfield [REDACTED]; Richard Iarossi [REDACTED]  
**CC:** Bradley Gillin [REDACTED]; Terri Sohrab [REDACTED]  
**Subject:** Re: 2018 Periodic Review of Accts NES, LLC ([REDACTED]), Plan D, LLC (GCIS [REDACTED]), The Haze Trust ([REDACTED]) & The 2007 Jeffrey E. Epstein Insurance Trust#3 ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]  
**Attachments:** Resolution (2014 - 1).pdf; Resolution (2014).pdf  
**Importance:** High

Hi Brad/Stewart,

Thanks for the no material change confirmation for below accounts on separate email. However we do require confirmation on following items –

- For **The 2007 Jeffrey E Epstein Insurance Trust#3** : We require documents verifying the address of the UBO [REDACTED] and clearer copy of her passport as we are unable to see the DOB on it
- From the Trust Agreements, we are unable to determine the nature of the trust for both **The 2007 Jeffrey E Epstein Insurance Trust#3** and **The Haze Trust**. Kindly confirm whether these are personal or corporate trusts? Reason for this request is to determine whether we require the FinCen CDD form for these entities or not? Note: we require CDD form filled ONLY for corporate trusts.
- For **NES LLC & Plan D LLC**, we require clarification on the authorized signatories to add. As per attached Corporate Resolution, please confirm if ok to add Harry Beller as signatory to this account.
- Kindly confirm if ALL DB accounts listed below are to be included in this case. Reason for this query is that DBForce reflects some of these accounts to NOT be active.

**DB Accounts** DB Accounts Help ?

Action	DB Account Name	Account Id	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Edit	42953758 - NES, LLC	42953758	NES, LLC	Deposit Transactions	\$264,468.13	11/8/2013	✓	8/22/2018
Edit	44126949 - NES, LLC	44126949	NES, LLC	Money Market Business Account	\$0.00	11/8/2013	⊘	2/8/2014

**DB Accounts** DB Accounts Help ?

Action	DB Account Name	Account Id	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Edit	42953804 - THE 2007 JEFFREY E. EPSTEIN INSURANCE	[REDACTED]	The 2007 Jeffrey E. Epstein Insurance Trust #3	Deposit Transactions	\$276.65	11/1/2013	✓	8/22/2018
Edit	44129695 - THE 2007 JEFFREY E. EPSTEIN INSURANCE	[REDACTED]	The 2007 Jeffrey E. Epstein Insurance Trust #3	Money Market Business Account	\$0.00	11/1/2013	⊘	1/30/2014

**DB Accounts** DB Accounts Help ?

Action	DB Account Name	Account Id	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Edit	77000949 - THE HAZE TRUST	[REDACTED]	The Haze Trust	DB AG NY Preferred Deposit	\$35,583,100.79	2/21/2017	✓	8/22/2018
Edit	42967287 - THE HAZE TRUST	[REDACTED]	The Haze Trust	Deposit Transactions	\$2,503,667.84	2/27/2017	✓	8/22/2018
Edit	N4G024943 - THE HAZE TRUST	[REDACTED]	The Haze Trust	Brokerage Advisory	\$20,278,270.00	9/23/2013	✓	8/22/2018
Edit	42953256 - THE HAZE TRUST	[REDACTED]	The Haze Trust	Deposit Transactions	\$0.00	9/24/2013	⊘	12/23/2013
Edit	44129643 - THE HAZE TRUST	[REDACTED]	The Haze Trust	Money Market Business Account	\$0.00	9/24/2013	⊘	12/23/2013

**DB Accounts** DB Accounts Help ?

Action	DB Account Name	Account Id	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Edit	42953467 - PLAN D, LLC	[REDACTED]	Plan D, LLC	Deposit Transactions	\$326,685.34	10/18/2013	✓	8/22/2018
Edit	44129752 - PLAN D, LLC	[REDACTED]	Plan D, LLC	Money Market Business Account	\$0.00	10/18/2013	⊘	1/16/2014

Kind regards,