



Vijay Sawant

KYC Case Representative
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From: Vijay-A Sawant

Sent: Wednesday, August 22, 2018 7:47 PM

To: Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>

Cc: Mayur Rathod <[REDACTED]>; Stewart Oldfield <[REDACTED]>

Subject: RE: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc ([REDACTED]), Epstein, Jeffrey E. ([REDACTED]) and Zorro Management, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Importance: High

Hello Brad,

As per your attached no material confirmation for the above accounts and revised CDD Forms provided (in separate emails), we have launched KYC Case#01977695 for these accounts.

We are in the middle of completing all the searches and reviewing adverse media, etc. We will advise if anything required.

In the meantime, after review of all accounts, we confirm that we require the following item for this case –

- SoS or COGS (dtd within last 6 months) from US Virgin Islands registry for Zorro Management LLC

Kindly forward the same, so we can push this case to the next stages at the earliest, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
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From: Vijay-A Sawant

Sent: Monday, July 30, 2018 2:39 PM

To: Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>

Cc: Mayur Rathod <[REDACTED]>