

**From:** Bradley Gillin [REDACTED]  
**Sent:** 9/24/2018 10:43:43 AM  
**To:** Vijay-A Sawant [REDACTED]  
**CC:** Mayur Rathod [REDACTED]; Stewart Oldfield [REDACTED]; Richard Iarossi [richard.iarossi@db.com]  
**Subject:** RE: 2018 Periodic Review of Accts Southern Financial LLC ([REDACTED] & LSJE, LLC ([REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Classification: **For internal use only**

Vijay,

The inquiry on J Epstein Virgin Island was answered in a previous email. Thanks.

- One of the account for Southern Financial LLC (GCIS 483882) is Structured Transactions account with account ID on DB Force customer page as **STRUPDT0118001**. We believe it's a dummy account number, as we couldn't fetch any details on DB Insight. We'll need **Purpose of this account, expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**
  - This is a dummy account # - there is no balance. There is no purpose. It should be removed from the system.
- "Purpose of the account" required for all the below accounts.  
GCIS 483883

Purpose - For private investments. Southern Trust is a private consulting company provides financial and tax advice to clients.

- 965 - DB AG preferred account - High yielding interest account for cash
- 812 - Brokerage account - To hold marketable securities and cash
- 9244 - DB Money market account - High yielding interest account for cash

|     |  |          |                              |                               |                |            |   |           |
|-----|--|----------|------------------------------|-------------------------------|----------------|------------|---|-----------|
| Id# | 77000955 - SOUTHERN TRUST COMPANY INC. | 77000955 | Southern Trust Company, Inc. | DB AG NY Preferred Deposit    | \$103,074.87   | 02/12/2017 | ✓ | 9/20/2018 |
| Id# | 8432044 - SOUTHERN TRUST COMPANY INC.  | 8432044  | Southern Trust Company, Inc. | Brokerage Advisory            | \$2,541,174.00 | 8/26/2013  | ✓ | 9/20/2018 |
| Id# | 8432044 - SOUTHERN TRUST COMPANY INC.  | 44129244 | Southern Trust Company, Inc. | Money Market Business account | \$2,488,173.24 | 8/27/2013  | ✓ | 9/20/2018 |

GCIS 483289

- Purpose - For private investments.
- 968 - Brokerage account - To hold marketable securities and cash
- 519 - Custody account to hold FX cash deposits

|     |                           |         |                    |                    |              |           |   |           |
|-----|---------------------------|---------|--------------------|--------------------|--------------|-----------|---|-----------|
| Id# | 8432044 - JEFFREY EPSTEIN | 8432044 | Jeffrey E. Epstein | Brokerage Advisory | \$859,052.00 | 9/23/2013 | ✓ | 9/20/2018 |
| Id# | 680519 - JEFFREY EPSTEIN  | 680519  | Jeffrey E. Epstein | Domestic           | \$115,735.98 | 4/10/2014 | ✓ | 9/20/2018 |

Regards,  
Brad



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