

Tel. [REDACTED]
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Securities offered through Deutsche Bank Securities Inc.

From: Vijay-A Sawant
Sent: Friday, September 21, 2018 10:18 AM
To: Richard Iarossi <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>; Mayur Rathod <[REDACTED]>
Subject: RE: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High

Hi Rich,

Following are the pending items in above accounts -

- One of the account for Southern Financial LLC (GCIS 483882) is Structured Transactions account with account ID on DB Force customer page as **STRUPDT0118001**. We believe it's a dummy account number, as we couldn't fetch any details on DB Insight. We'll need **Purpose of this account, expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

- "Purpose of the account" required for all the below accounts.

GCIS 483883

Id	Account Name	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
7760295	SOUTHERN TRUST COMPANY INC	[REDACTED]	Southern Trust Company, Inc.	\$103,074.87	3/21/2017	✓	9/20/2018
840023812	SOUTHERN TRUST COMPANY INC	[REDACTED]	Southern Trust Company, Inc.	\$2,541,174.00	8/26/2013	✓	9/20/2018
81326214	SOUTHERN TRUST COMPANY INC	[REDACTED]	Southern Trust Company, Inc.	\$2,486,173.24	9/27/2013	✓	9/20/2018

GCIS 483289

Id	Account Name	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
840024950	JEFFREY EPSTEIN	[REDACTED]	Jeffrey E. Epstein	\$996,952.00	9/23/2013	✓	9/20/2018
840024950	JEFFREY EPSTEIN	[REDACTED]	Jeffrey E. Epstein	\$115,734.96	4/13/2014	✓	9/20/2018

GCIS 486427 – DB Insight is not showing the below account. We'll need **expected size and frequency of regular inflows and outflows & what is the expected volume of assets and currency for the account approx. 90 days after opening.**

Action	DB Account Name	Account Id	Contact Name	Product Name	Market Value	Date Opened	Active	Balance As Of
Edt	840024950 - J EPSTEIN VIRGIN ISLANDS	[REDACTED]	J. Epstein Virgin Islands Foundation, Inc	Brokerage Advisory	\$208,597.00	9/30/2013	✓	9/20/2018

Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
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Tel. [REDACTED]
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From: Vijay-A Sawant
Sent: Friday, August 24, 2018 5:28 PM
To: Richard Iarossi <[REDACTED]>
Cc: Bradley Gillin <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Subject: FW: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High