

Hello Rich,

For the above accounts, we have received confirmation from Brad that there are no material changes to these accounts in another email. We have launched KYCCase#01977703 for these accounts and following are pending items -

- **Southern Financial LLC** – One of the RP's Jean Anne Brennan Wiebracht has incorrect name reflected in Corp Resolution and Signature Card from 2017. The name reflecting there is Jeanne Brennan whereas her driving license, attached for reference reflects Jean Anne Brennan. Could we request for an updated Corp Resolution with correct name, please? Note the Operating agreement reflects the name Jeanne Brennan.
- **Southern Financial LLC** - SOS from USVI website or COGS dated within last 6 months.
- **LSJE LLC** – SOS from USVI website or COGS dated within the last 6 months.

Thanks & Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
Tel. +91(22)6181-1485
Mobile [REDACTED]
[REDACTED]

From: Vijay-A Sawant
Sent: Tuesday, August 14, 2018 5:20 PM
To: Bradley Gillin <[REDACTED]>
Cc: Stewart Oldfield <[REDACTED]>
Subject: 2018 Periodic Review of Accts Southern Financial LLC (GCIS#483882) & LSJE, LLC (GCIS#499733) under the SOUTHERN FINANCIAL RELATIONSHIP
Importance: High

Hi Brad,

This relates to above high risk accounts due for remediation Aug 2018.

Upon review of all docs from the repositories and attachments, we have completed attached checklists for which please see assessment below –

Acct Holder – **Southern Financial, LLC (SFL)**
Sole Member@SFL – **Southern Trust Company, Inc (STC)**
President & Authorized Signatory@SFL; President, Sole Shareholder & Authorized Signatory@STC – **Jeffrey E. Epstein**
Authorized Signatory@SFL & VP, Secretary@STC - **Darren Indyke**
Authorized Signatory@SFL – **Jeanne Brennan**

Kindly confirm if there are any material changes to this account? Attached checklist completed after review of this account.

During review, I found the USVI domiciled Certificate of Existence for the account holder and sole member, however unable to extract the SoS from the USVI website for either of these entities. Additionally the COGS on records, is more than 6 months old. So kindly provide the SoS or COGS (dated within the 6 months) for this account holder & Sole Member.

If there are any material changes to this account, we will require updated ID docs for Darren Indyke & Jeanne Brennan, as their drivers licenses have expired. Finally we require Fincen CDD form for this account as well.