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**From:** Mayur Rathod [REDACTED]  
**Sent:** 11/1/2018 10:34:22 AM  
**To:** Stewart Oldfield [REDACTED]  
**CC:** Richard Iarossi [REDACTED]; Bradley Gillin [REDACTED]; Vinita Advani [REDACTED]; Vijay-A Sawant [REDACTED]  
**Subject:** RE: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Classification: **For internal use only**

Hi Stew,

We did get LPOA for Paul Barrett, but for other accounts.

We have it now for J. Epstein Virgin Islands Foundation Inc. – highlighted in **GREEN** below. All the other rejection items are pending.

Also, do we have an update on Gratitude America Ltd. – are client willing to provide the documents for BV70 LLC – a significant donor in this KYC, or are they going to close the account. Please confirm.

Kindly assist with the below.

Thanks in advance.

Regards



**Mayur Rathod**

KYC Case Representative

Deutsche CIB Centre Private Limited

Wealth Management

Floor 1, B1, DB Centre Mumbai

Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India

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**From:** Stewart Oldfield

**Sent:** Wednesday, October 31, 2018 6:46 PM

**To:** Mayur Rathod <[REDACTED]>

**Cc:** Richard Iarossi <[REDACTED]>; Bradley Gillin <[REDACTED]>; Vinita Advani

<[REDACTED]>; Vijay-A Sawant <[REDACTED]>

**Subject:** Re: Pending responses on rejection cases under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Pretty sure we have already provided some of these. I still need to talk to the client about the remaining items. Have been away for 3 days in sales training with Brad, hope to call client today or tmw.

Stewart Oldfield, CFA, CAIA  
Director

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