



Structured Products Agreement and Approval Form

Please Complete All Sections

Account Number

Account Name

Account Type

Individual Joint Trust Partnership Limited Liability Company Corporation

Other (specify): _____

NOTE: IRA and ERISA accounts are prohibited from purchasing Structured Products.

Account and Owner Information: Provide requested information for each beneficial account owner ("Client"). If more than one owner exists, the Structured Products Agreement and Approval Supplementary Form to this Agreement may be required.

Entity Name, or First, Middle, Last Name of Individual or Trustee or Executor

Address

Country

Phone

Number of Dependents

Marital Status

Birthdate --- MM/DD/YYYY

Employer

Business Phone

Business Address

Type of Business

Position

Years Held

Name(s) of person(s) other than account owner(s) authorized to place orders for the account

Client(s) Financial Information

Approximate Aggregate Annual Income of Account Owner(s) from All Sources	Sources of Income (Approximate)	Approximate Net Worth	Approximate Liquid Net Worth Excluding Principal Residence
<input type="checkbox"/> Less than \$50,000	Salary/Bonus _____%	<input type="checkbox"/> Less than \$50,000	<input type="checkbox"/> Less than \$50,000
<input type="checkbox"/> \$50,000 - \$99,999	Interest/Dividends _____%	<input type="checkbox"/> \$50,000 - \$99,999	<input type="checkbox"/> \$50,000 - \$99,999
<input type="checkbox"/> \$100,000 - \$249,999	Other (specify): _____	<input type="checkbox"/> \$100,000 - \$249,999	<input type="checkbox"/> \$100,000 - \$249,999
<input type="checkbox"/> \$250,000 - \$499,999	_____ %	<input type="checkbox"/> \$250,000 - \$499,999	<input type="checkbox"/> \$250,000 - \$499,999
<input type="checkbox"/> \$500,000 - \$999,999	_____ %	<input type="checkbox"/> \$500,000 - \$999,999	<input type="checkbox"/> \$500,000 - \$999,999
<input type="checkbox"/> \$1,000,000 - \$2,499,999	_____ %	<input type="checkbox"/> \$1,000,000 - \$2,499,999	<input type="checkbox"/> \$1,000,000 - \$2,499,999
<input type="checkbox"/> \$2,500,000 - \$4,999,999	_____ %	<input type="checkbox"/> \$2,500,000 - \$4,999,999	<input type="checkbox"/> \$2,500,000 - \$4,999,999
<input type="checkbox"/> \$5,000,000 and over	_____ %	<input type="checkbox"/> \$5,000,000 and over	<input type="checkbox"/> \$5,000,000 and over

