

KYC Case # : **01977698**

Status : **6. Approved**

One sheet must be established per relationship - list all accounts included in the relationship

1. Relationship Details			
Relationship Name:	SOUTHERN FINANCIAL RELATIONSHIP.00000483290	Booking Center:	New York
Relationship Manager:	Stewart Oldfield		
Relationship to PWM:	<input type="checkbox"/> New PWM Relationship		<input checked="" type="checkbox"/> Existing PWM Relationship
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: *** 2018 Periodic Review ***		
How Was the Client(s) Introduced? How long has the RM personally known the client?	<input checked="" type="checkbox"/> Client Referral	<input type="checkbox"/> RM Prospect	<input type="checkbox"/> Intermediary/FIM
	<input type="checkbox"/> Other Source (CIB, etc.) Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein reached out to Stew Oldfield on 3/7/17 to have a new acct opened under this relationship. Last Approved KYC # [REDACTED]; Butterfly Trust - 1804531; Neptune, LLC - 1790739.		
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	<input type="checkbox"/> Yes		<input checked="" type="checkbox"/> No
	(If Yes, describe):		
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	<input type="checkbox"/>	[REDACTED] Karyna Shuliak-[REDACTED]	12/13/2013
2	<input checked="" type="checkbox"/>	Butterfly Trust - 44130552 - MMBA-44130552	1/24/2014
3	<input checked="" type="checkbox"/>	Neptune LLC - 42953424 - DDA-42953424	10/18/2013
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	[REDACTED]		Preferred method of contact(indicate phone no., fax no., e-mail address, etc.): [REDACTED]