

From: Darnetta Chandler-Johnson

Sent: Thursday, June 22, 2017 4:48 PM

To: Cynthia Rodriguez

Cc: Stewart Oldfield; [REDACTED]; Daphne Cales; [REDACTED]; Patrick Harris

Subject: KYC Remediation - Southern Financial Relationship [1]

Classification: **For internal use only**

Hello Cynthia,

The following KYC's are being assigned to you. Please review and comment, edit where necessary. The Volcker needs to be completed for all required parties. Please review PEP classification on all parties. Please review the research on checklist and make comments where needed.

- KYC needs to be submitted to the KYC team first and not AML. The KYC team will forward accordingly.
- Make sure to review all the information with the client and acquire missing information and confirm no material changes have occurred.

KYC 1790655 – Jeffrey Epstein, Southern Financial LLC, Southern Trust Company, Inc. and J. Epstein Virgin Islands Foundation, Inc. - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. ID found for Darren Indyke is expired. KYC confirms Jeffrey Epstein, Harry Beller, and Darren Indyke as the signor's/POA on the accounts, Signature card list Jeffrey Epstein. For Southern Financial LLC KYC confirms Jeffrey Epstein, Darren Indyke and Jeanne Brennan as the signor's/POA on the accounts, and for Southern Trust company Inc KYC confirms Jeffrey Epstein, Darren Indyke, Harry Beller and Jeanne Brennan as the signor's/POA on the accounts.

Please make sure that compliance has given clearance on RDC & PCR Alerts for customer.

KYC 1790685 – Hyperion Air LLC, Plan D LLC, JEJE LLC and JEJE Inc., - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties con. KYC firms Jeffrey Epstein, Harry Beller and Darren Indyke as the signor's/POA on all 3 accounts, Signature card only list Jeffrey Epstein, Harry Beller. Photo ID found for Darren Indyke found under GCIS 487199 is expired. **Please make sure that compliance has given clearance on RDC & PCR Alert for customer.**

KYC 1791049 – The 2007 Jeffrey Epstein Insurance Trust No. 3, and HBRK Associates Inc., - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Photo ID's Darren Indyke is expired. Signature cards confirms Jeffrey Epstein, Richard Kahn and Darren Indyke as the signor's on The 2007 Jeffrey Epstein Ins., and Richard Kahn and Harry Beller for HBRK Assoc. Inc. **Please make sure that compliance has given clearance on RDC & PCR Alert for Richard Kahn.**

KYC 1790724 – [REDACTED] - comments made on research for all parties. Please review and edit where necessary. Searches have been completed for the required KYC parties. Signature card confirms [REDACTED] and Karyna Shuliak as the signor's on the account. Photo ID's for both Signors are expired, found under the KYC attachment. **Please make sure that compliance has given clearance on RDC & PCR Alert for customer.**