

Key Client Partners - Americas
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Deutsche Asset & Wealth Management
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All trade execution information contained herein is being provided as an accommodation at your request in advance of your receipt of the official trade confirmation(s). Additional trade detail information available upon request. The terms of the trade(s) may be subject to change prior to settlement, and therefore the official trade confirmation(s) and account statements issued by Deutsche Bank shall govern. Deutsche Bank is not responsible for any discrepancy between the informal execution report and the official trade confirmation(s) or account statements.

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From: Danya Friedman **On Behalf Of** Amlcompliance Inquiries
Sent: Friday, December 02, 2016 11:01 AM
To: Zbynek Kozelsky
Cc: Dcarla Oliveira; Tatiana Dominguez; Maurice Abitbol; Amlcompliance Inquiries
Subject: Inquiry Regarding THE HAZE TRUST, Account# N4G024943, Alert# SAM1788880 [I]

Classification: **For internal use only**

Good Morning:

As a part of the anti-money laundering monitoring program, the Actimize system is used to automatically identify transactions that meet certain high risk transaction patterns. When transactions occur which mimic those patterns, the activity must be researched to confirm that it is legitimate.

In a small percentage of these cases, Compliance must contact the Wealth Advisor for additional information about the transaction(s) in order to conclude the research process. Your response is required for the Bank to comply with federal guidelines for concluding the research of these matters in a timely fashion. Your complete response must be received within 7 business days.

To the best of your ability, please provide details regarding the item(s) below:

Incoming:

10/27/2016, \$6,186,980.68, HSBC SECURITIES SERVICES (IRELAND) DAC at HSBC BANK USA, NATIONAL ASSOCIATION

1. Who is the ultimate originator of these funds (i.e. if this is investment income, please give the name of the investment that the funds originate)?

Outgoing:

11/23/2016, \$25,000,000.00, AP NARROWS HOLDING LP/BMO TRUSTEE at CDEC CLEARING ACCOUNT through BANK OF AMERICA, N.A., NY

11/23/2016, \$5,000,000.00, LDB 2011 LLC/BMO TRUSTEE at CDEC CLEARING ACCOUNT through BANK OF AMERICA, N.A., NY

1. What is the purpose of the Outgoing Transfer?
2. What is the relationship between Beneficiary and Client?
3. Please provide the source of wealth for these entities.

Thank you in advance for your prompt attention to this matter.

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance