
From: Vijay-A Sawant [REDACTED]
Sent: 9/24/2018 10:28:27 AM
To: Bradley Gillin [REDACTED]; Stewart Oldfield [REDACTED]
CC: Mayur Rathod [REDACTED]; Richard Iarossi [REDACTED]
Subject: RE: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc (GCIS#483883), Epstein, Jeffrey E. (GCIS#483289) and Zorro Management, LLC (GCIS#550331) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Attachments: CDD Form_Southern Trust Company Inc.pdf
Importance: High

Hello Brad/Stewart,

I kind reminder of the below query, just to reiterate, the pending items are listed below for your convenience –

- SoS or COGS (dtd within last 6 months) from US Virgin Islands registry for Zorro Management LLC
- Section b of the attached CDD form for Southern Trust Company, Inc needs to reflect the “Type of Entity”, as indicated in the form

Once the above items are received, we can submit this KYC# **01977695** to the next stages for AML approval, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited – Deutsche Bank Group
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From: Vijay-A Sawant
Sent: Wednesday, August 22, 2018 7:47 PM
To: Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Subject: RE: 2018 Periodic Review of High Risked Accounts Southern Trust Company, Inc (GCIS#483883), Epstein, Jeffrey E. (GCIS#483289) and Zorro Management, LLC (GCIS#550331) under the SOUTHERN FINANCIAL RELATIONSHIP [I]
Importance: High

Hello Brad,

As per your attached no material confirmation for the above accounts and revised CDD Forms provided (in separate emails), we have launched KYC Case#**01977695** for these accounts.

We are in the middle of completing all the searches and reviewing adverse media, etc. We will advise if anything required.

In the meantime, after review of all accounts, we confirm that we require the following item for this case –

- SoS or COGS (dtd within last 6 months) from US Virgin Islands registry for Zorro Management LLC