

I am available for another hour today, are you free for a call now?

Kind Regards,

<image001.gif>

Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063, India
Tel. [REDACTED]
Mob [REDACTED]
[vijay\[REDACTED\]](mailto:vijay[REDACTED])

From: Stewart Oldfield
Sent: Friday, August 31, 2018 5:52 PM
To: Vijay-A Sawant <[REDACTED]>
Cc: Richard Iarossi <[REDACTED]>; Mayur Rathod <[REDACTED]>; Bradley Gillin <[REDACTED]>
Subject: Re: 2018 Periodic Review of High Risked Accounts [REDACTED] (GCIS# [REDACTED] 5), Butterfly Trust (GCIS# [REDACTED]) and Neptune, LLC (GCIS# [REDACTED] 4) under the SOUTHERN FINANCIAL RELATIONSHIP [I]

Butterfly is a personal trust.
For Neptune, any reason not to just add Rich as an RP? We've already KYC'd him for other accounts, correct?

Will call you at 9 or so.

Thanks

Stewart Oldfield, CFA, CAIA
Director

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On Aug 31, 2018, at 7:21 AM, Vijay-A Sawant <[REDACTED]> wrote:

Hi Stewart,

Please see pending confirmations required in KYC Case#01977698 for Butterfly & Neptune, below --