

<image001.gif>

Vijay Sawant

KYC Case Representative

Deutsche CIB Centre Private Limited - Deutsche Bank Group

Wealth Management

B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway

Goregaon East, Mumbai - 400063, India

Tel. [REDACTED]

Mob [REDACTED]

vijay [REDACTED]

From: Vijay-A Sawant

Sent: Tuesday, July 31, 2018 6:31 PM

To: Bradley Gillin <bradley.gillin@db.com>; Stewart Oldfield <stewart.oldfield@db.com>

Cc: Mayur Rathod <mayur.rathod@db.com>

Subject: 2018 Periodic Review of High Risked Accounts [REDACTED]
(GCIS#[REDACTED]), Butterfly Trust (GCIS#[REDACTED] and Neptune, LLC (GCIS#[REDACTED]) under
the SOUTHERN FINANCIAL RELATIONSHIP

Importance: High

Hello Brad/Stewart,

This review relates to above high risked three accounts due for remediation
08/31/2018.

Upon review of the docs available in the repositories, we have completed the attached
checklist, main RP's also listed below for reference –

Acct Holder – **Butterfly Trust**

Grantor – **Jeffrey Epstein**

Trustees – **Harry Beller & Erika Kellerhals**

UBO's – [REDACTED], [REDACTED], [REDACTED]

[REDACTED], [REDACTED], [REDACTED], [REDACTED], [REDACTED], Darren

Indyke, Karyna Shuliak, Richard Kahn, [REDACTED] & [REDACTED]

Following are list of required items –

- Confirm whether material changes to account or not & RP's identified above are correct?
- Volcker Questionnaire completed reflects this trust as a "Family Trust" but this seems inaccurate. All UBO's have different surnames and some even different nationalities. Please advise if ok to accept? I have attached the questionnaire in this email for reference.
- Depending on the response to above bullet point, we might require CDD form if this trust is deemed to be Corporate trust.

Acct Holder – **Neptune LLC**

Sole Member & Shareholder – **Jeffrey Epstein**

Manager – **Richard Kahn**

Authorized Signers – **Harry Beller & Darren Indyke**