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From: Vijay-A Sawant
Sent: Tuesday, September 18, 2018 11:00 AM
To: Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Subject: RE: Neptune LLC Corp Resolution Query - KYC#01977698

Hi Brad,

As per our call today, please note the below Corp Resolution issue was found in other accounts in the Sothern Financial Relationship, listed below –

- JEJE LLC
- NES LLC
- Plan D

Attached relevant docs which highlights this issue. As discussed, we will resolve this issue by using docs which reflect ALL the RP's for respective accounts. Let me know if any queries, thanks.

Kind Regards,



Vijay Sawant

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From: Vijay-A Sawant
Sent: Tuesday, September 18, 2018 5:17 PM
To: Bradley Gillin <[REDACTED]>; Richard Iarossi <[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>; Stewart Oldfield <[REDACTED]>
Subject: Neptune LLC Corp Resolution Query - KYC#01977698

Hello Rich,

I wanted to have a quick chat regarding the attached corp resolutions for Neptune LLC when you come in today, so we can solve a rejection point in our case 1977698, thanks.