
From: Vijay-A Sawant [REDACTED]
Sent: 8/24/2018 6:03:21 AM
To: Richard Iarossi [REDACTED]; Stewart Oldfield [REDACTED]
CC: Bradley Gillin [REDACTED]; Terri Sohrab [REDACTED]; Mayur Rathod [REDACTED]
Subject: Re: 2018 Periodic Review of High Risked Accounts J. Epstein Virgin Islands Foundation, Inc (GCIS# [REDACTED]) & Hyperion Air, LLC (GCIS# [REDACTED]) under the SOUTHERN FINANCIAL RELATIONSHIP
Attachments: Southern Financial_No Material Changes Confirmation.pdf
Importance: High

Hi Richard/Stewart,

We have already received no material change confirmation from Brad as per attached email on the accounts listed in the subject line, upon which we have launched KYC case# [REDACTED] for their remediation. After this confirmation, the following items are required for this case to be completed successfully -

- For J. Epstein Virgin Islands Foundation, Inc - We found the IRS letter which confirms that this is a 501 (c) (3) entity but we are unable to obtain 'SoS' or screenshot from the IRS website. This could mean that this entity is no longer tax exempt and/or active. Could you provide the 'SoS' from IRS website or advise on this please?
- Hyperion Air, LLC – SOS from USVI website or COGS dated within 6 months

Above items should clear this case for approval submission, so kindly provide accordingly, thanks.

Kind Regards,



Vijay Sawant

KYC Case Representative
Deutsche CIB Centre Private Limited - Deutsche Bank Group
Wealth Management
B1, DB Centre Mumbai, Nirlon Knowledge Park, Western Express Highway
Goregaon East, Mumbai - 400063 , India
Tel. [REDACTED]
Mobile [REDACTED]

Thanks & Regards



Mayur Rathod

KYC Case Representative
Deutsche CIB Centre Private Limited
Wealth Management
Floor 1, B1, DB Centre Mumbai
Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063, India
Tel.: [REDACTED]
Email: [REDACTED]

From: Vijay-A Sawant
Sent: Monday, July 30, 2018 4:20 PM