

KYC Case # : [REDACTED]

Status : **6. Approved**

One sheet must be established per relationship - list all accounts included in the relationship

1. Relationship Details			
Relationship Name:	EPSTEIN, JEFFREY RELATIONSHIP [REDACTED]	Booking Center:	New York
Relationship Manager:	Stewart Oldfield		
Relationship to PWM:	<input type="checkbox"/> New PWM Relationship <input checked="" type="checkbox"/> Existing PWM Relationship		
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: *** This KYC is a periodic review for high risk remediation*** Original KYC [REDACTED] ONLY adding signers to account. All signers have already been approved in previous KYC's. Please rush as this is a simple update. Darren Indyke was approved in KYC [REDACTED] Harry Beller was added in approved KYC [REDACTED]		
How Was the Client(s) Introduced? How long has the RM personally known the client?	<input checked="" type="checkbox"/> Client Referral <input type="checkbox"/> RM Prospect <input type="checkbox"/> Intermediary/FIM <input type="checkbox"/> Other Source (CIB, etc.)		
	Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Jeffrey Epstein reached out to Stew Oldfield on 3/7/17 to have a new acct opened under Zorro Management LLC and add two new signors to this new acct only.		
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(If Yes, describe):		
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	<input checked="" type="checkbox"/>	Southern Financial, LLC Deposit [REDACTED]	9/5/2013
2	<input checked="" type="checkbox"/>	Southern Trust Company, Inc- Deposit [REDACTED]	8/27/2013
3	<input checked="" type="checkbox"/>	Jeffrey Epstein - Deposit [REDACTED]	3/8/2016
4	<input checked="" type="checkbox"/>	J. Epstein Virgin Islands Foundation, Inc - Brokerage [REDACTED]	9/30/2013
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):		Darren Indyke	Preferred method of contact(indicate phone no., fax no., e-mail address, etc.): [REDACTED]