

Court Cases are closed	
Erika Kellerhals: Civil Action court case of James P Carroll v Dawn Prosser (a divorce case) which lists Erika Kellerhals as general counsel as she is an attorney. Another case with Supermarket Source Inc lists Erika as the defendant's counsel. These cases are standard in Erika's line of work, and they are not directed at her personally.	
E.	To the best of your knowledge, has the client ever been convicted of a criminal offense? ☒ Yes ☐ No
F.	To the best of your knowledge, has the customer ever been involved in any past litigation against Deutsche Bank AG or any of its subsidiaries or is the customer threatening litigation against Deutsche Bank AG or any of its subsidiaries? <i>(If Yes, provide details below and contact Quality Mgt. (LatAm/Int'l) or the Regulatory Control Group and notify Compliance immediately)</i> ☐ Yes ☒ No
G.	Does the client or related party have any financial or other association / interactions within countries or regimes sanctioned by the Office of Foreign Assets Control (OFAC)? ☐ Yes ☒ No
H.	Does the client or related party have any financial or other association/ interactions within high risk countries? ☐ Yes ☒ No
I.	Corporate Documentation Attached (Legal Entities Only) ☒ Yes ☐ No ☐ Not Applicable
J.	Undisclosed Principal Form Complete (Intermediaries Only) ☐ Yes ☐ No ☒ Not Applicable
K.	If Lexis/Nexis Search Results, Corporate Documents or Other Supporting Documentation is not in English, please provide an English summary of the nature/contents of the non-English Documentation: