
From: Amanda Kirby [amanda.kirby@db.com]
Sent: 7/19/2013 11:27:04 AM
To: Jay Lipman [REDACTED]
CC: Vahe Stepanian [REDACTED]; Paul Morris [REDACTED]; Tazia Smith [REDACTED]
Subject: Brokerage Docs [I]
Attachments: Authoirzation of inquiry capabilities.pdf; Certificate of Good Standing - Southern Trust.pdf; JEpstein ID and Social.pdf; Shareholder info.pdf; SouthernFin Cert of Existence- SouthernTry Cert of Good Standing.pdf; W-9 ST and SF.pdf

Classification: For internal use only

Hey Jay,

Could you prepare 2 sets of Brokerage docs for 2 different companies for me today? The 2 companies are Southern Financial, LLC and Southern Trust Company, Inc. I have also attached all the documents we have for the 2 separate entities. I believe we have everything you will need for the KYC. If not, please let me know.

(See attached file: Authoirzation of inquiry capabilities.pdf)(See attached file: Certificate of Good Standing - Southern Trust.pdf)(See attached file: JEpstein ID and Social.pdf)(See attached file: Shareholder info.pdf)(See attached file: SouthernFin Cert of Existence- SouthernTry Cert of Good Standing.pdf)(See attached file: W-9 ST and SF.pdf)

Thanks!
Amanda