

DB PWM GLOBAL KYC/NCA: **PART A** 

KYC Case # : 02019466

Status : 6. Approved

**One sheet must be established per relationship - list all accounts included in the relationship**

1. Relationship Details			
Relationship Name:	BELMONT, F RELATIONSHIP:00000290654	Booking Center:	New York
Relationship Manager:	Ralf Fischer		
Relationship to PWM:	<input type="checkbox"/> New PWM Relationship		<input checked="" type="checkbox"/> Existing PWM Relationship
	If existing, please indicate since when the relationship exists, provide reason for new profile and attach old profile: EDR - KYC Update to reflect the Beneficial Owners: Juan Fernando Belmont Anderson Janine Belmont Dauelsberg Marion Dauelsberg de Belmont Fernando Federico Belmont Dauelsberg Previous KYC #01861240. Please refer to other previously approved KYCs for this relationship: 01837751, 1461855, 1347325, 1272743, 1162451, 384817.		
How Was the Client(s) Introduced? How long has the RM personally known the client?	<input type="checkbox"/> Client Referral	<input type="checkbox"/> RM Prospect	<input type="checkbox"/> Intermediary/FIM
	<input checked="" type="checkbox"/> Other Source (CIB, etc.) Please provide details (e.g. name of referral source, how many years RM personally has known client, etc.): Mr. Juan Fernando Belmont is an existing client of DB since 2003. He was introduced to the bank by the former RM Maria Zita La Rosa.		
Does Deutsche Bank pay a retrocession or similar compensation to a third party for the introduction of this relationship?	<input type="checkbox"/> Yes		<input checked="" type="checkbox"/> No
	(If Yes, describe):		
List all existing and new accounts involved in this relationship			
	Legal Entity Account(s)	Account Name / Number	Opening Date (intended/actual)
1	<input checked="" type="checkbox"/>	████████████████████	4/27/2018
2	<input checked="" type="checkbox"/>	████████████████████	5/9/2018
Who is the primary contact person for the RM? (Note: This person needs to have signatory rights and/or information right for the accounts.):	Astrid Pelaez Sanchez		Preferred method of contact(indicate phone no., fax no., e-mail address, etc.): 3125169218

## One sheet must be established for each account to be opened

2. Account Ownership Summary												
Account Name:			Aromatiss S.A.S - DDA				Acct. Number (if available):		[REDACTED]			
Account Manager:			Josie Alonso									
<p>What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the checking account is to pay the interest payments of the loan. To receive loan disbursements and to process wire transfers to Aromatiss S.A.S.</p> <p>The loan will be issued in favour of Aromatiss SAS in an amount of USD 2M-5M using as collateral the assets in the account of Ferbel Group of Companies, S.L.U.</p> <p>Indicate from where the assets are expected to arrive?</p> <p><input checked="" type="checkbox"/> DB Group: Same Booking Center (indicate account number): 18312053 - FERBEL GROUP OF COMPANIES, S.L.U</p> <p><input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details):</p> <p><input type="checkbox"/> Other Institution – (Indicate name &amp; location):</p> <p><input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):</p>												
<p>What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? The inflows an outflows range from 0 to 8 transactions per month and the amount will be ranging from \$0.00 to \$400,000.00.</p>												
What is the expected volume of assets and currency for the account approx. 90 days after opening?							Currency		USD			
What is the expected volume of assets and currency for the account approx. one year after opening?			227008.59				Currency		USD			
Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No									
<p><b>Please list all parties related to the account.</b></p> <p>For each party:</p> <ul style="list-style-type: none"> <li>• Check if a source of wealth description is required for the party.</li> <li>• Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>• If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>• Always describe the relationship between the parties in the last column.</li> <li>• Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25% Non-PIC entity (indicate ownership %))	Other (please describe other roles and/or indicate relationship between parties)	
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Aromatiss S.A.S	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
2	<input type="checkbox"/>	<input type="checkbox"/>	Veronica Maria Silva Luna	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
3	<input type="checkbox"/>	<input type="checkbox"/>	Jesus Armando Lema Hanke	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			
4	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Juan Fernando Belmont Anderson	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>			Primary decision maker;
5	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Ferbel Group of Companies, S.L.U.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100		
6	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Jafer Enterprises R&D SLU	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100		

7	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Cosmo International Fragrances, S.L.U.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100	
8	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Opus Futurus Foundation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100	The Opus Futurus Foundation owns Ferbel Group of Companies SLU, and Mr. Juan Fernando Belmont Anderson established the Foundation.;;
9	<input type="checkbox"/>	<input type="checkbox"/>	Astrid Pelaez Sanchez	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
10	<input type="checkbox"/>	<input type="checkbox"/>	Marc Gerard Blaison	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
11	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Norval Associated S.A.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Founder of Opus Foundation;
12	<input type="checkbox"/>	<input checked="" type="checkbox"/>	FERNANDO FEDERICO BELMONT DAUELSBERG	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
13	<input type="checkbox"/>	<input checked="" type="checkbox"/>	JANINE MARION BELMONT DAUELSBERG	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
14	<input type="checkbox"/>	<input checked="" type="checkbox"/>	MARION DAUELSBERG EHLERS DE BELMONT	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
15	<input type="checkbox"/>	<input type="checkbox"/>	Juan Pablo Godoy Fajardo	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

2. Account Ownership Summary												
Account Name:			Aromatiss S.A.S. - Loan				Acct. Number (if available):			+1DIRBR4		
Account Manager:			Josie Alonso									
What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the loan is to support the investment of Aromatiss S.A.S. in land and infrastructure in Colombia and set up the factory that will develop natural cosmetic ingredients and essential oils, to be used in the production of their fragrances and perfumes.												
Indicate from where the assets are expected to arrive?												
<input checked="" type="checkbox"/> DB Group: Same Booking Center (indicate account number): DB Lending <input type="checkbox"/> DB Group: Other Booking Center (indicate DB location and account details): <input type="checkbox"/> Other Institution – (Indicate name & location): <input type="checkbox"/> Physical Deposits (specify cash, securities, cheques, ...):												
What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? The loan facility is for USD2,000,000.00 using as collateral the assets in the account of Ferbel Group of Companies, S.L.U. Current outstanding principal is \$600,000.00 and a Notice of Borrowing request for \$340,000.00 value date 11.30.2018.												
What is the expected volume of assets and currency for the account approx. 90 days after opening?									Currency		USD	
What is the expected volume of assets and currency for the account approx. one year after opening?						2000000			Currency		USD	
Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?						<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No						
<b>Please list all parties related to the account.</b> For each party: <ul style="list-style-type: none"> <li>• Check if a source of wealth description is required for the party.</li> <li>• Check the appropriate box to describe the relationship of the party to this account (&gt; 1 can be selected).</li> <li>• If none of the check boxes apply, describe the party's relation to the account in the "Other" column.</li> <li>• Always describe the relationship between the parties in the last column.</li> <li>• Please drill down to the ultimate/underlying Beneficial Owner(s).</li> </ul>												
	Legal Entity	Description of Source of Wealth required	Parties related to this account	Account Holder	PIC Owner	Settlor of Trust / Founder of Foundation	Ultimate Beneficial Owner	Signatory or Full POA	Limited POA	Financial Intermediary (FIM)	Significant Shareholder (>=25% Non-PIC entity (indicate ownership %))	Other (please describe other roles and/or indicate relationship between parties)
1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Aromatiss S.A.S	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
2	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Cosmo International Fragrances, S.L.U.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100	
3	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Jafer Enterprises R&D SLU	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100	
4	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Ferbel Group of Companies, S.L.U.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100	
5	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	Opus Futurus Foundation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100	The Opus Futurus Foundation owns Ferbel Group of Companies SLU, and Mr. Juan Fernando

												Belmont Anderson established the Foundation.;;
6	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Juan Fernando Belmont Anderson	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Primary decision maker;
7	<input type="checkbox"/>	<input type="checkbox"/>	Veronica Maria Silva Luna	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
8	<input type="checkbox"/>	<input type="checkbox"/>	Jesus Armando Lema Hanke	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
9	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Norval Associated S.A.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		Founder of Opus Foundation;
10	<input type="checkbox"/>	<input checked="" type="checkbox"/>	FERNANDO FEDERICO BELMONT DAUELSBERG	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
11	<input type="checkbox"/>	<input checked="" type="checkbox"/>	JANINE MARION BELMONT DAUELSBERG	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
12	<input type="checkbox"/>	<input checked="" type="checkbox"/>	MARION DAUELSBERG EHLERS DE BELMONT	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
13	<input type="checkbox"/>	<input type="checkbox"/>	Juan Pablo Godoy Fajardo	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	Juan Fernando Belmont Anderson -	Date of Birth:	[REDACTED]		
Country of Residence:	Peru	Country of Citizenship:	Peru		
Address of primary residence:	[REDACTED]	Has client resided outside of his/her country of nationality for 5 years or more?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Profession/Occupation:	Founder & Owner	Tax ID / SSN:	[REDACTED]		
Current Employer:	Corporacion Yanbal International	Position/Title/Rank:	Chairman		
Address of employer:	Calle Los Sauces 374, Torre Roja, Piso 11, San Isidro [REDACTED] 27				
Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Is the individual a Politically Exposed Person (PEP)? (if Yes, describe) Father of Janine Belmont and therefore father in law of Gonzalo Aguirre Arriz, one of the founders of Coordinadora Nacional de Independientes, a political party established in Peru. It changed name to Todos por el Peru.		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe)		<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None			
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:	
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)	
Nature of the Individual's Business:	Cosmetics company in Latin America, which follows the Avon business model.
Primary Country of source of wealth/source of Funds?	Peru
Primary industry of source of Wealth/Source of Funds?	Goods
Summarize Source of Wealth:	<input checked="" type="checkbox"/> Business Owner <input checked="" type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Mr. Belmont created Corporacion Yanbal International, a cosmetics company in Latin America that follows Avon business model in 1967. Mr. Belmont created a fortune through the company's success in the region.

Mr. Fernando Belmont earned a Bachelor's of Arts degree at the University of North Carolina in Chapel Hill. After graduating, his father, who owned a different cosmetics company in Peru, helped him found Yanbal by providing the initial capital necessary when the company was created over 30 years ago in Peru. The company's website is: www.yanbal.com.

Since then, the company has grown tremendously holding the second position of market share in this sector after Ebel Limited, which is owned by his brother Eduardo Belmont (also a client of the bank). Unfortunately, due to the competition between their companies, the relationship between the two brothers has suffered and today is not a good one.

Yanbal uses a very grassroots approach to grow its business. Individuals can become sales persons of Yanbal by simply ordering their cosmetics and then selling them in their neighborhoods. Individuals can grow in the company by adding other people to their "team," thus receiving commission on their sales as well as those of the team they manage. This approach has allowed the company to grow into a common household name in Latin America like other well-known as brands such as L'Oreal, Avon and Revlon. Today the company remains privately owned.

Mr. Belmont is married to Marion Dauelsberg de Belmont, originally from Germany but has lived in Peru for many years. They have two children, Janine and Fernando. Janine earned a Bachelor of Arts (Political Science) from Georgetown University; she is married to Gonzalo Aguirre, resides in [REDACTED] and has two girls and one boy. She started working with Yanbal, Mexico over 19 years ago and then moved to Peru to continue learning about the different areas of the company. About 11 years ago she became the general manager of Yanbal, Peru. Currently she is the acting CEO and her father is the Chairman. His son, Fernando, is married to Andrea Merelo. They live in Peru, most of the time in a province called Piura (north of Lima). Fernando does not have a University degree and doesn't participate in the family business. He is dedicated to surfing.

Estimated Annual Income (\$):	10,000,000.00	Estimated amount of investable assets(\$):	\$100 MM - 500 MM
Estimated Net Worth(\$):	\$500 MM +	Amount of assets planned to invest with PWM(\$):	150,000,000.00

Other Known Financial Institutions:

Institution:	Julius Baer	Country:	Switzerland	Est. Assets Under Mgt:	100,000,000.00
Institution:	Citibank	Country:	USA	Est. Assets Under Mgt:	15,000,000.00
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.): Married with 2 children.

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	Veronica Maria Silva Luna -		Date of Birth:	[REDACTED]	
Country of Residence:	Peru		Country of Citizenship:	Peru	
Address of primary residence:	[REDACTED]		Has client resided outside of his/her country of nationality for 5 years or more?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Profession/Occupation:	Director		Tax ID / SSN:	[REDACTED]	
Current Employer:	Corporacion Yanbal International		Position/Title/Rank:	Director	
Address of employer:	Calle Los Sauces 374, Torre Roja, Piso 11, San Isidro Peru				
Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
To the best of your knowledge, is the individual related to an employee of the DB group?(if Family or Friendship, describe )			<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None		
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)?(if Yes,describe )			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
Nicolas Rueda	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>		4/18/2014
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:	
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)	
Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	<input type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income (\$):		Estimated amount of investable assets(\$):	
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Estimated Net Worth(\$):		Amount of assets planned to invest with PWM(\$):	
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Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	Jesus Armando Lema Hanke -		Date of Birth:	[REDACTED]	
Country of Residence:	Peru		Country of Citizenship:	Peru	
Address of primary residence:	[REDACTED]		Has client resided outside of his/her country of nationality for 5 years or more?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Profession/Occupation:	Lawyer		Tax ID / SSN:	[REDACTED]	
Current Employer:	Corporacion Yanbal, Lema Abogados		Position/Title/Rank:	Director, Partner	
Address of employer:	Ave. Vasco Nunez de Balboa #659, [REDACTED]				
Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
To the best of your knowledge, is the individual related to an employee of the DB group?(if Family or Friendship, describe )			<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None		
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)?(if Yes,describe )			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:	
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)	
Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	<input type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income (\$):		Estimated amount of investable assets(\$):	
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Estimated Net Worth(\$):		Amount of assets planned to invest with PWM(\$):	
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Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	Astrid Pelaez Sanchez -		Date of Birth:	[REDACTED]	
Country of Residence:	Colombia		Country of Citizenship:	Colombia	
Address of primary residence:	[REDACTED]		Has client resided outside of his/her country of nationality for 5 years or more?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Profession/Occupation:	General Manager		Tax ID / SSN:	[REDACTED]	
Current Employer:	Aromatiss S.A.		Position/Title/Rank:	General Manager	
Address of employer:	Autopista Medellin km 9,5 Piso 3°. Planta Keeps Tenjo , Cundinamarca Colombia				
Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
To the best of your knowledge, is the individual related to an employee of the DB group?(if Family or Friendship, describe )			<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None		
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)?(if Yes,describe )			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:	
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)	
Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	<input type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income (\$):		Estimated amount of investable assets(\$):	
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Estimated Net Worth(\$):		Amount of assets planned to invest with PWM(\$):	
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Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	Marc Gerard Blaison -		Date of Birth:	[REDACTED]	
Country of Residence:	United States		Country of Citizenship:	France	
Address of primary residence:	[REDACTED]		Has client resided outside of his/her country of nationality for 5 years or more?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Profession/Occupation:	VP		Tax ID / SSN:	[REDACTED]	
Current Employer:	Cosmo Fragrances		Position/Title/Rank:	VP	
Address of employer:	2455 Sunrise Blvd Fort Lauderdale FL United States 33304				
Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
To the best of your knowledge, is the individual related to an employee of the DB group?(if Family or Friendship, describe )			<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None		
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)?(if Yes,describe )			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:	
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)	
Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	<input type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income (\$):		Estimated amount of investable assets(\$):	
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Estimated Net Worth(\$):		Amount of assets planned to invest with PWM(\$):	
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Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	JANINE MARION BELMONT DAUELSBERG -	Date of Birth:	[REDACTED]		
Country of Residence:	Peru	Country of Citizenship:	Peru		
Address of primary residence:	[REDACTED]	Has client resided outside of his/her country of nationality for 5 years or more?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Profession/Occupation:	Director	Tax ID / SSN:	[REDACTED]		
Current Employer:	Corporacion Yanbal International	Position/Title/Rank:	CEO		
Address of employer:	Calle Los Sauces 374, Torre Roja, Piso 11, San Isidro [REDACTED] 27				
Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Is the individual a Politically Exposed Person (PEP)? (if Yes, describe) Janine Belmont Dauelsberg's husband, Gonzalo German Aguirre Arriz, was one of the founders of Coordinadora Nacional de Independientes, a political party established in Peru on February 23, 2002. It changed name to Todos por el Peru.		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
To the best of your knowledge, is the individual related to an employee of the DB group? (if Family or Friendship, describe)		<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None			
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)? (if Yes, describe)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:	
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)	
Nature of the Individual's Business:	Cosmetics company
Primary Country of source of wealth/source of Funds?	Peru
Primary industry of source of Wealth/Source of Funds?	Goods
Summarize Source of Wealth:	<input type="checkbox"/> Business Owner <input checked="" type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input checked="" type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Janine Belmont Dauelsberg is not a contributor of wealth. She is simply a beneficiary of the Opus Futurus Foundation. All assets have been derived from the wealth of her father, Juan Fernando Belmont Anderson. Please refer to his profile for additional source of wealth information.

Estimated Annual Income (\$):	1,000,000.00	Estimated amount of investable assets(\$):	\$20 MM - 50 MM
Estimated Net Worth(\$):	\$20 MM - 50 MM	Amount of assets planned to invest with PWM(\$):	

Other Known Financial Institutions:

Institution:	Julius Baer	Country:	Switzerland	Est. Assets Under Mgt:	5,000,000.00
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.): Married with 3 children; daughter of Juan Fernando Belmont Anderson.

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	FERNANDO FEDERICO BELMONT DAUELSBERG -		Date of Birth:	[REDACTED]	
Country of Residence:	Peru		Country of Citizenship:	Peru	
Address of primary residence:	[REDACTED]		Has client resided outside of his/her country of nationality for 5 years or more?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Profession/Occupation:	Unemployed		Tax ID / SSN:	[REDACTED]	
Current Employer:	Unemployed		Position/Title/Rank:	Unemployed	
Address of employer:	N/A				
Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Is the individual a Politically Exposed Person (PEP)?(if Yes, describe) Brother in law of Gonzalo Aguirre Arriz, one of the founders of Coordinadora Nacional de Independientes, a political party established in Peru. It changed name to Todos por el Peru.			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
To the best of your knowledge, is the individual related to an employee of the DB group?(if Family or Friendship, describe )			<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None		
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)?(if Yes,describe )			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:	
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)	
Nature of the Individual's Business:	Beneficiary of his father's wealth, derived from the cosmetics industry
Primary Country of source of wealth/source of Funds?	Peru
Primary industry of source of Wealth/Source of Funds?	Goods
Summarize Source of Wealth:	<input type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input checked="" type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Fernando Federico Belmont Dauelsberg is not a contributor of wealth. He is simply a beneficiary of the Opus Futurus Foundation. All assets have been derived from the wealth of his father, Juan Fernando Belmont Anderson. Please refer to his profile for additional source of wealth information.

Estimated Annual Income (\$):	25,000.00	Estimated amount of investable assets(\$):	\$1 MM - 2 MM
Estimated Net Worth(\$):	\$1 MM - 2 MM	Amount of assets planned to invest with PWM(\$):	

Other Known Financial Institutions:

Institution:	Julius Baer	Country:	Switzerland	Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.): Son of Juan Fernando Belmont Anderson and Marion Dauelsberg Ehlers De Belmont.

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	MARION DAUELSBERG EHLERS DE BELMONT -	Date of Birth:	[REDACTED]		
Country of Residence:	Peru	Country of Citizenship:	Peru		
Address of primary residence:	[REDACTED]	Has client resided outside of his/her country of nationality for 5 years or more?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Profession/Occupation:	Housewife	Tax ID / SSN:	[REDACTED]		
Current Employer:	Housewife	Position/Title/Rank:	Housewife		
Address of employer:	N/A				
Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Is the individual a Politically Exposed Person (PEP)?(if Yes, describe) Mother in law of Gonzalo Aguirre Arriz, one of the founders of Coordinadora Nacional de Independientes, a political party established in Peru. It changed name to Todos por el Peru.		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
To the best of your knowledge, is the individual related to an employee of the DB group?(if Family or Friendship, describe )		<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None			
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)?(if Yes,describe )		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:	
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)	
Nature of the Individual's Business:	Beneficiary of Juan Fernando Belmont's wealth which is derived from the cosmetics industry
Primary Country of source of wealth/source of Funds?	Peru
Primary industry of source of Wealth/Source of Funds?	Goods
Summarize Source of Wealth:	<input type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input checked="" type="checkbox"/> Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Mrs. Marion Dauelsberg Ehlers De Belmont is a housewife and her husband Juan Fernando Belmont Anderson, is her main source of wealth. Please refer to his profile for additional source of wealth information.

Estimated Annual Income (\$):		Estimated amount of investable assets(\$):	\$100 MM - 500 MM
Estimated Net Worth(\$):	\$500 MM +	Amount of assets planned to invest with PWM(\$):	

Other Known Financial Institutions:

Institution:	Julius Baer	Country:	Switzerland	Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.): Married to Juan Fernando Belmont Anderson; mother of Fernando and Janine

**One sheet must be established for EACH INDIVIDUAL PARTY in Section 2. Account Ownership Summary**

3A. Individual Details (for all parties)					
Individual's Name:	Juan Pablo Godoy Fajardo -	Date of Birth:	[REDACTED]		
Country of Residence:	Peru	Country of Citizenship:	Colombia		
Address of primary residence:	[REDACTED]	Has client resided outside of his/her country of nationality for 5 years or more?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Profession/Occupation:		Tax ID / SSN:	[REDACTED]		
Current Employer:	Aromatiss S.A.S	Position/Title/Rank:	Legal Representative		
Address of employer:	United States				
Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
To the best of your knowledge, is the individual related to an employee of the DB group?(if Family or Friendship, describe )		<input type="checkbox"/> Family <input type="checkbox"/> Friendship <input checked="" type="checkbox"/> None			
To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)?(if Yes,describe )		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
If applicable, indicate which bank officers have met the person:		Indicate where and when the client meeting(s) took place:			
Bank Officer Name(s)	Bank Office:	Client Private Domicile:	Client Place of Business:	Other Location (specify):	Date:
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

<input type="checkbox"/> Wealth Details for this individual are not filled in, because they are the same as for the following person:	
3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)	
Nature of the Individual's Business:	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Summarize Source of Wealth:	<input type="checkbox"/> Business Owner <input type="checkbox"/> Salary/Earnings <input type="checkbox"/> Investment <input type="checkbox"/> Inheritance/Gifts <input type="checkbox"/> Other:

Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income (\$):		Estimated amount of investable assets(\$):	
Estimated Net Worth(\$):		Amount of assets planned to invest with PWM(\$):	

Other Known Financial Institutions:

Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

Please indicate the family situation of the individual (marital status, other family members, etc.):

**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

**3C. Legal Entity Details (For all Legal Entities)**

Legal Entity Name:	Aromatiss S.A.S - 00000570587		
Type of Entity:	<input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership	Purpose of Entity:	<input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	PIC to purchase property in Colombia
Country of incorporation/registration:	Colombia	Date of incorporation / registration:	7/8/2011
Volcker Status:	Identified in previous KYC	Volcker Flag:	Yes
Address (city, street, post code):	Vereda La Punta, Inmueble Oasis, Lote 7, 3er Piso, Cundinamarca Tenjo Colombia	U.S. TIN/EIN:	02118317

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:

Aromatiss S.A.S is 100% owned by Costmos Enterprises SLU that is 100% owned by Jafer Enterprises R&D SLU that is 100% owned FERBEL GROUP OF COMPANIES SLU that is 100% owned by Opus Futurus Foundation The beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson

The following are Legal Representative of Aromatiss S.A.S

Juan Pablo Godoy Fajardo TAX ID: 000000079159787

Astrid Pelaez Sanchez Tax ID: 000000031898134

Veronica Silva Luna Tax ID: 0000000005452354

Marc Blaison Tax ID: 00000012cz19360

Armando Lema Hanke Tax ID: 000000006061801

Please indicate how ownership of the legal entity is reflected:

Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner (*if not the same persons*): Aromatiss S.A.S is 100% owned by Costmos Enterprises SLU that is 100% owned by Jafer Enterprises R&D SLU that is 100% owned FERBEL GROUP OF COMPANIES SLU that is 100% owned by Opus Futurus Foundation The beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson and his family.

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?: Yes  No

#### Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:	Private Investment Company
Countries where business is transacted:	Colombia
Number of employees:	0

#### 3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?	Colombia				
Primary industry of source of Wealth/Source of Funds?	Goods				
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): Assets are currently held in the underlying entity Ferbel Group of Companies, S.L.U. acts as the holding company of the group's investments. As of Q2 2015 the company holds approximately \$197MM USD in net assets					
Estimated gross receipts p.a.(\$):	24,000,000.00				
Estimated net profit p.a. (\$):	19,000,000.00				
Estimated investable assets (\$):	\$2 MM - 5 MM				
Potential Amount to be invested with PWM (\$):	2,000,000.00				
Other Known Financial Institutions:					
Institution:	Deutsche Bank	Country:	USA	Est. Assets Under Mgt:	827,008.59
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

#### One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

#### 3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:	Opus Futurus Foundation - 00000490287		
Type of Entity:	<input checked="" type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership	Purpose of Entity:	<input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	Panama	Date of incorporation / registration:	11/30/2010
Volcker Status:	Identified on Customer Profile	Volcker Flag:	No
		U.S. TIN/EIN:	30134267

Address (city, street, post code):	53rd E. Street, Urbanizacion Marbella, MMG Tower, 16th Floor, Panama Panama
Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.: The Opus Futurus Foundation is a private interest foundation established in Panama in 2010. It was formed through Deed No. 25,505 dated November 30, 2010 and registered into Panama's Public Registry on December 1, 2010.	
Its founder is Norval Associated S.A., a PIC set up by Mr. Juan Fernando Belmont, which is also registered in Panama. The beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson, as evidenced by the attached Beneficial Owner Certification.	
The Foundation's Council is Cygnus Coporation, a company incorporated in Seychelles in March 2010. The Council Members are: - Juan Fernando Belmont Anderson: President - Charles Emmanuel de Bavier - Jesus Armando Lema Hanke - Veronica Maria Silva Luna - Marlene Strutz Recinos - Paul Georg Peter Pagnia	
The Registered Agent is Morgan & Morgan.	
Please indicate how ownership of the legal entity is reflected:	
<input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:	
Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): The beneficiary of the Opus Futurus Foundation is Mr. Juan Fernando Belmont Anderson along with his wife and children, Marion Dauelsberg de Belmont, Janine Belmont Dauelsberg, and Fernando Federico Belmont Dauelsberg	
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Describe Nature of Entity's Primary Business and Investment Activities</b>	
Nature of the business:	Private investments
Countries where business is transacted:	Panama
Number of employees:	0

<b>3D. Wealth Profile</b> (Only for parties requiring source of wealth description as indicated in Section 2)					
Primary Country of source of wealth/source of Funds?		Peru			
Primary industry of source of Wealth/Source of Funds?		Goods			
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): Assets of Opus Futurus Foundation come from Mafer International Holdings and from Ferbel Group of Companies SL. These two entities derive cash from Corporacion Yanbal International's dividends. Mr. Juan Fernando Belmont Anderson is the owner and Chairman of Corporacion Yanbal International. Please refer to his profile for additional information.					
Estimated gross receipts p.a.(\$):		20,000,000.00			
Estimated net profit p.a. (\$):		15,000,000.00			
Estimated investable assets (\$):		\$2 MM - 5 MM			
Potential Amount to be invested with PWM (\$):					
Other Known Financial Institutions:					
Institution:	Deutsche Bank	Country:	USA	Est. Assets Under Mgt:	10,000.00
Institution:	Julius Baer	Country:	Switzerland	Est. Assets Under Mgt:	5,000,000.00
Institution:		Country:		Est. Assets Under Mgt:	

**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	Cosmo International Fragrances, S.L.U. -		
Type of Entity:	<input checked="" type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership	Purpose of Entity:	<input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	Pic Invest financial Markets and Sale of purchase of securities for
Country of incorporation/registration:	Bermuda	Date of incorporation / registration:	7/8/1994
Volcker Status:	Identified in previous KYC	Volcker Flag:	Yes
Address (city, street, post code):	Av. Sant Julia 260-266 Granollers Barcelona 08403 Spain	U.S. TIN/EIN:	
Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:			
Cosmo International Fragrances, S.L. U. former name, Cosmos Enterprises SLU is 100% owned by Jafer Enterprises R&D SLU that is 100% owned FERBEL GROUP OF COMPANIES SLU that is 100% owned by Opus Futurus Foundation The beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson and his family.			
Please indicate how ownership of the legal entity is reflected: Cosmo International Fragrances, S.L. U. former name, Cosmos Enterprises SLU is 100% owned by Jafer Enterprises R&D SLU			
<input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:			
Describe the chain from the direct owner of the entity to the ultimate beneficial owner ( <i>if not the same persons</i> ): Cosmo International Fragrances, S.L. U. former name, Cosmos Enterprises SLU is 100% owned by Jafer Enterprises R&D SLU that is 100% owned FERBEL GROUP OF COMPANIES SLU that is 100% owned by Opus Futurus Foundation The beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson and his family.			
*** On July 26, 2018 through a General Extraordinary and Universal Board Meeting of partners of Cosmo Enterprises, S.L.U. it was approved the MERGER with Cosmo Enterprises, S.L.U. (Absorbing entity) and Cosmo Fragrances CGE, S.L.U. (entity absorbed) acquiring the first one by universal succession all the assets of the second one, that will be dissolved without liquidation. It was approved to modify the entity denomination that will be denominated "Cosmo International Fragrances, S.L.U., amplify its social object and change domicile to Granollers (Barcelona), Avenida Sant Julia, numerous 260-266, Poligono Industrial Congost.			
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
<b>Describe Nature of Entity's Primary Business and Investment Activities</b>			
Nature of the business:	Private Investment Company		
Countries where business is transacted:	Spain		
Number of employees:	0		

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)	
Primary Country of source of wealth/source of Funds?	
Primary industry of source of Wealth/Source of Funds?	
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):	

Estimated gross receipts p.a.(\$):	
Estimated net profit p.a. (\$):	
Estimated investable assets (\$):	
Potential Amount to be invested with PWM (\$):	
Other Known Financial Institutions:	
Institution:	Country: Est. Assets Under Mgt:
Institution:	Country: Est. Assets Under Mgt:
Institution:	Country: Est. Assets Under Mgt:

**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	Jafer Enterprises R&D SLU -		
Type of Entity:	<input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership	Purpose of Entity:	<input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	PIC Research and Development of cosmetic related products
Country of incorporation/registration:	Spain	Date of incorporation / registration:	9/17/2014
Volcker Status:	Identified in previous KYC	Volcker Flag:	Yes
Address (city, street, post code):	Av Sant Julia 260 266,poligono.ind.congost (Granollers) Barcelona Spain	U.S. TIN/EIN:	
Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.: Jafer Enterprises R&D is 100% owned by FERBEL GROUP OF COMPANIES SLU and is 100% owned by Opus Futurus Foundation The beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson and his family.			
Please indicate how ownership of the legal entity is reflected: N/A			
<input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:			
Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Jafer Enterprises R&D is 100% owned by FERBEL GROUP OF COMPANIES SLU and is 100% owned by Opus Futurus Foundation The beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson and his family.			
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:		Yes	No <input checked="" type="checkbox"/>
Describe Nature of Entity's Primary Business and Investment Activities			
Nature of the business:	Research and Development		
Countries where business is transacted:	Spain		
Number of employees:	0		

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)					
Primary Country of source of wealth/source of Funds?					
Primary industry of source of Wealth/Source of Funds?					
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):					
Estimated gross receipts p.a.(\$):					
Estimated net profit p.a. (\$):					
Estimated investable assets (\$):					
Potential Amount to be invested with PWM (\$):					
Other Known Financial Institutions:					
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	
Institution:		Country:		Est. Assets Under Mgt:	

**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

3C. Legal Entity Details (For all Legal Entities)			
Legal Entity Name:	Ferbel Group of Companies, S.L.U. - 00000423364		
Type of Entity:	<input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership	Purpose of Entity:	<input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> Commercial
Type of Entity Other (specify):		Purpose of Entity Other (specify):	
Country of incorporation/registration:	Spain	Date of incorporation / registration:	8/29/2008
Volcker Status:	Identified on Customer Profile	Volcker Flag:	No
Address (city, street, post code):	Poligono Industrial Congost, Avenida Sant Julia, No. 260-266, Granollers, Spain	U.S. TIN/EIN:	██████████
<p>Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:</p> <p>Ferbel Group of Companies, S.L.U. was formerly known as Ferbel Group Limited and Ferbel International Limited. Ferbel International was originally incorporated on April 25, 2003 in Bermuda. The company later changed its registered address to the British Virgin Islands on January 28, 2011.</p> <p>On December 18, 2013 the company transferred to Spain and changed its legal name to the current, Ferbel Group of Companies, S.L.U.</p> <p>The company's authorized capital is set at 560,000 Euros represented by 5,600 shares valued at 100.00 Euros each. As evidenced by the attached Resolution of the Sole Member, the company's authorized capital is registered to the Opus Futurus Foundation.</p> <p>The Board of Directors is comprised of the following members:</p> <ul style="list-style-type: none"> <li>- Juan Fernando Belmont Anderson: Delegate Council Member &amp; President.</li> <li>- Charles Emmanuel de Bavier: Delegate Council Member &amp; Vice-President.</li> <li>- Janine Marion Belmont Dauelsberg: Delegate Council Member.</li> <li>- Jesus Armando Lema Hanke: Delegate Council Member.</li> <li>- Marc Gerard Blaison: Delagate Council Member.</li> </ul>			

<ul style="list-style-type: none"> <li>- Veronica Maria Silva Luna: Delegate Council Member.</li> <li>- Juan Carlos Rodriguez Cuba: Delegate Council Member.</li> <li>- Marlene Strutz Recinos: Council Member.</li> <li>- Paul Georg Peter Pagnia: Council Member.</li> <li>- Olga Del Castillo Alegre: Secretary, non-council member.</li> </ul>	
Please indicate how ownership of the legal entity is reflected: N/A	
<input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:	
Describe the chain from the direct owner of the entity to the ultimate beneficial owner ( <i>if not the same persons</i> ): The company is beneficially owned by Mr. Juan Fernando Belmont Anderson and his family through the Opus Futurus Foundation	
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Describe Nature of Entity's Primary Business and Investment Activities</b>	
Nature of the business:	Private investment company.
Countries where business is transacted:	Spain
Number of employees:	0

<b>3D. Wealth Profile</b> (Only for parties requiring source of wealth description as indicated in Section 2)					
Primary Country of source of wealth/source of Funds?		Peru			
Primary industry of source of Wealth/Source of Funds?		Real Estate			
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities): The company acts as the holding company of the group's investments. As of Q2 2015 the company holds approximately \$197MM USD in net assets					
Estimated gross receipts p.a.(\$):		24,000,000.00			
Estimated net profit p.a. (\$):		19,000,000.00			
Estimated investable assets (\$):		\$100 MM - 500 MM			
Potential Amount to be invested with PWM (\$):		10,500,000.00			
Other Known Financial Institutions:					
Institution:	Santander	Country:	Spain	Est. Assets Under Mgt:	128,000,000.00
Institution:	Deutsche Bank	Country:	USA	Est. Assets Under Mgt:	5,411,000.00
Institution:		Country:		Est. Assets Under Mgt:	

**One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary**

<b>3C. Legal Entity Details</b> (For all Legal Entities)					
Legal Entity Name:		Norval Associated S.A. -			
Type of Entity:		<input type="checkbox"/> Foundation/Association <input type="checkbox"/> Trust <input checked="" type="checkbox"/> Company <input type="checkbox"/> Estate <input type="checkbox"/> Partnership		Purpose of Entity: <input checked="" type="checkbox"/> Private Investment <input type="checkbox"/> <input type="checkbox"/> Philanthropic/Charitable <input type="checkbox"/> <input type="checkbox"/> Commercial	
Type of Entity Other (specify):				Purpose of Entity Other (specify):	
		Panama		4/14/2005	

Country of incorporation/registration:		Date of incorporation / registration:	
Volcker Status:	Identified in previous KYC	Volcker Flag:	No
Address (city, street, post code):	Notaria quinta del circuito, #7222 Panama Panama	U.S. TIN/EIN:	
<p>Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:</p> <p>Norval Associated is the Founder of Opus Futurus Foundation (see attached Translation of Opus Futurs Foundation public registry) UBO and founder of Norval is J. Fernando Belmont (see attached translation of declaration of UBO of Norval)</p> <p>Norval's directors are:  Charles de Bavier - president  Jose Silva - treasurer  Marta Saavedra - secretary</p>			
Please indicate how ownership of the legal entity is reflected: Norval's capital is \$10,000 divided among 100 shares of \$100 value each. (see panama registry- attached)			
<input type="checkbox"/> Special attention: Bearer Shares - Indicate where shares are custodied:			
Describe the chain from the direct owner of the entity to the ultimate beneficial owner ( <i>if not the same persons</i> ): Norval Associated SA is the Fiduciary Founder of Opus Futurus Foundation (see attached Translation of Opus Futurs Foundation public registry) UBO and founder of Norval is J. Fernando Belmont (see attached translation of declaration of UBO of Norval)			
To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:		Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
<b>Describe Nature of Entity's Primary Business and Investment Activities</b>			
Nature of the business:	Norval is a company of Mr J Fernando Belmont. Norval was created as the founder/owner of Opus Futurus Foundation, which owns Dalex. Opus and Dalex are ultimately for long term investments, but Norval itself holds no assets.		
Countries where business is transacted:	Panama		
Number of employees:	0		

<b>3D. Wealth Profile</b> (Only for parties requiring source of wealth description as indicated in Section 2)				
Primary Country of source of wealth/source of Funds?				
Primary industry of source of Wealth/Source of Funds?				
Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):				
Estimated gross receipts p.a.(\$):				
Estimated net profit p.a. (\$):				
Estimated investable assets (\$):				
Potential Amount to be invested with PWM (\$):				
Other Known Financial Institutions:				
Institution:		Country:		Est. Assets Under Mgt:
Institution:		Country:		Est. Assets Under Mgt:
Institution:		Country:		Est. Assets Under Mgt:



## DB PWM GLOBAL KYC/NCA: US/LatAm/Int'l PART B



Relationship Name	BELMONT, F RELATIONSHIP			
Risk Rating Comments:	Overall High Risk Due To: High Risk Factor (PEP), Medium Entity Risk, Medium Industry Risk, High Industry Risk, & High Product Risk, SRF: Complex Structure			
Booking Center	<input checked="" type="checkbox"/> NY	<input type="checkbox"/> NY/Offshore	<input type="checkbox"/> Offshore	<input type="checkbox"/> Moderate Risk <input checked="" type="checkbox"/> High Risk
(Compliance Signature)				
<input type="checkbox"/> DB Employee	<input type="checkbox"/> DB Managed PIC	<input type="checkbox"/> DB is Trustee/Co-Trustee	<input type="checkbox"/> Bearer Shares	

4. Attachments			
A. Type of Photo ID Provided	<input type="checkbox"/> Drivers License	<input checked="" type="checkbox"/> Passport	<input type="checkbox"/> National/State ID <input type="checkbox"/> Other
B. Checklist of names (individuals and/or entities) that were submitted for database searches is attached	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
C. Please indicate the results of the database searches performed			
RDC searches complete	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
PCR checks complete	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
OFAC checks complete	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
BIS searches complete (Lexis/Nexis, Factiva, Reuters, Dow Jones, D&B)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Denial Orders checks complete	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Martindale-Hubbell searched (Lawyers/Law Firms only)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	negative results found	<input type="checkbox"/> Yes <input type="checkbox"/> No
Please summarize any negative results from the database searches indicated above: -Fircosoft Alert for Jesus Armando Lema Hanke who is Director of Aromatiss S.A. . His brother Rolando Enrique Lema Hanke was former Director General, of the Legal Advisory Office of the Ministry of Production in Peru Jan 2010 and ended his term in Nov 2010 and no longer is serving			
D. To the best of your knowledge, has the client ever been convicted of a criminal offense?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
F. To the best of your knowledge, has the customer ever been involved in any past litigation against Deutsche Bank AG or any of its subsidiaries or is the customer threatening litigation against Deutsche Bank AG or any of its subsidiaries? (If Yes, provide details below and contact Quality Mgt. (LatAm/Int'l) or the Regulatory Control Group and notify Compliance immediately)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
G. Does the client or related party have any financial or other association / interactions within countries or regimes sanctioned by the Office of Foreign Assets Control (OFAC)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
H. Does the client or related party have any financial or other association/ interactions within high risk countries?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
I. Corporate Documentation Attached (Legal Entities Only)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	<input type="checkbox"/> Not Applicable
J. Undisclosed Principal Form Complete (Intermediaries Only)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> Not Applicable
K. If Lexis/Nexis Search Results, Corporate Documents or Other Supporting Documentation is not in English, please provide an English summary of the nature/contents of the non-English Documentation: All documents have been translated from Spanish to English			
L. Special Risk Factors			

Does the account have Nexus to Special Risk Countries?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Is the account structure unusually complex?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Is there any indication the client set up a non operating company expressly for the purpose of transferring shares to third parties?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Is there any indication this could be a prohibited business relationship?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Are the bearer shares identified subject to acceptable controls?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

M. Case Comments		
Created By	Date	Comments
Josie Alonso	12/3/118 11:57 AM	Aromatiss S.A.S is 100% owned by Cosmo International Fragrances, S.L. U. former name, Cosmos Enterprises SLU - The change took place on 7/26/2018.
Dimitra Manley	12/4/118 8:21 AM	AFC has accepted the research attached for Juan Pablo Godoy Fajardo. The date format is listed as 03/12/2018. However, this research was conducted and attached to the KYC on 12/3/2018.
Dimitra Manley	12/4/118 10:06 AM	<p>This KYC serves as an EDR for Aromatiss S.A.S (herein referred to as accountholder), an existing Wealth Management client since April 2018.</p> <p>This EDR included the following changes:</p> <ol style="list-style-type: none"> <li>(1) The addition of 3 UBOs, as beneficiaries of the Opus Futurus Foundation.</li> <li>(2) Update to loan signatories (per attached borrowing resolution).</li> <li>(3) Legal name change for an IBO: Cosmos Enterprises SLU changed its name to Cosmo International Fragrances, S.L.U.</li> </ol> <p>Risk Factors Include:</p> <ul style="list-style-type: none"> <li>• PEP Relationship: The UBOs are Relatives or Close Associates (RCAs) of a PEP due to their relationship with Gonzalo Aguirre Arriz, one of the founders of Coordinadora Nacional de Independientes, a political party established in Peru. Mr. Arriz is married to Janine Marion Belmont Dauelsberg. The PEP status was previously escalated (see attached escalation)</li> <li>• Source of Wealth (SOW )Industry: Juan Fernando Belmont Anderson (UBO) created Corporacion Yanbal International, a cosmetics company in Latin America. SOW Verification has been obtained.</li> <li>• Complex Structure: The ownership structure consists of multiple intervening layers.</li> </ul> <p>Below are the reasons why we are comfortable with approving this KYC:</p> <ul style="list-style-type: none"> <li>• Information and supporting documents required by our AML Policy were provided and reviewed; and as a result, we have an understanding of the structure of the accountholder, its purpose, the purpose of the account and expected activity.</li> <li>• The SOW was sufficiently detailed and appears plausible. Internet searches provided enough information to support the wealth for the prospective account holders and UBOs.</li> </ul> <p>Below are the risk factors associated with the accountholder-</p> <ul style="list-style-type: none"> <li>• Our review did not identify any red flags and thus there was no need to escalate this case further.</li> <li>• Due diligence searches were performed on all key and the names searched were not on any sanctions lists</li> <li>• The UBOs and a legal rep/signatory appeared in the ICIJ Database as being linked to Paradise Papers. However, the appearance of the name in ICIJ database alone does not require escalation if there is no other negative information that presents significant or material reputational risk. There was no adverse media on the account holder, UBOs, or legal reps to warrant further escalation.</li> </ul>
Dimitra Manley	12/4/118 8:25 AM	The August 2018 Fircosoft alert generated for Jesus Armando Lema Hanke is the same alert generated in April 2018, when the account holder was on-boarded. BLAFC-WM previously reviewed and cleared the alert. The prior clearance (from April 2018) is attached to the KYC and covers the current alert.

ONSHORE APPROVALS			
Client Facing Professional (CFP):	Josie Alonso	(Signature) Josie Alonso	12/1/2018
Office Director/Business Head:	Eva Pace	(Signature) Eva Pace	12/3/2018
Regional Office Director:		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:		(Signature)	

	Dimitra Manley	Dimitra Manley	12/4/2018
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LATAM/INTERNATIONAL APPROVALS			
Client Facing Professional (CFP):		(Signature)	
Account Manager:		(Signature)	
Global Market Team Head (GMTH)/Sub-Market Team Head (SMTH):		(Signature)	
AML Business Risk:		(Signature)	
AML Compliance:		(Signature)	

**PRIVATE WEALTH MANAGEMENT POLICY STATEMENT:** Deutsche Bank Private Wealth Management (PWM) worldwide is committed to professionally serve the interests of its clients. To do so, Client Facing Professionals(1) (CFP's) should establish and maintain business relationships only with persons who satisfy our high standards of suitability, background and character. Due diligence obtained on the client must be appropriately documented by the CFP. It is the responsibility of CFP's to demonstrate that they know their client both at the inception of a relationship and on an ongoing basis.

**DECLARATION FOR ALL SIGNERS OF THIS FORM:** To the best of my knowledge and belief the above information is correct and up to date. I confirm that I have no suspicions relating to the money laundering or unethical activities on the part of the client(s) and that I have followed all the procedures relating to account opening as described in the PWM Americas Procedures and the PWM Global KYC Policy

(1) Client Facing Professionals (CFP's) include: Relationship Managers, Wealth Advisors, Product Officers, Brokers, Client Managers, etc.

Deutsche Bank Americas New York 
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