

Subject: RE: Assistance Required in Resolving rejection points - KYC
Case#01983253 [I]
From: Mayur Rathod <[REDACTED]>
Date: Fri, 12 Oct 2018 07:44:30 -0400
To: Bradley Gillin <[REDACTED]>
Stewart Oldfield <[REDACTED]>
Cc: Vinita Advani <[REDACTED]>
Richard Iarossi <[REDACTED]>
Vijay-A Sawant <[REDACTED]>

Classification: For internal use only

Hi Brad/Stewart,

Good Morning!!

Any update on the below rejection points.

Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Mayur Rathod

KYC Case Representative
Deutsche CIB Centre Private Limited
Wealth Management

Floor 1, B1, DB Centre Mumbai
Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai
400063, India
Tel.: [REDACTED]
Email: [REDACTED]

From: Vijay-A Sawant
Sent: Wednesday, October 03, 2018 5:23 PM
To: Bradley Gillin <[REDACTED]>; Stewart Oldfield
<[REDACTED]>
Cc: Mayur Rathod <[REDACTED]>; Vinita Advani

<[REDACTED]>; Richard Iarossi <[REDACTED]>
Subject: Assistance Required in Resolving rejection points - KYC
Case#01983253
Importance: High

Good Morning Brad/Stewart,

We require your assistance today in resolving the following rejection points in KYC Case#01983253 for Mort, Inc under the SOUTHERN FINANCIAL RELATIONSHIP.

1. In addition to the provided source of wealth information, please provide info and narrative as to the source of funds that will be deposited into the account. – Please provide info for following blank fields, as appropriate.

{cid:image003.png@01D4624F.06FC1830}

2. Please provide a percentage verification as to the different sources of wealth. Specifically, which sources are responsible for more than 25% of the UBOs wealth? – Our SOW description involves companies Southern Trust Company Inc, J. Epstein & Co and Radar (a celebrity and pop culture magazine in which he shares 50% ownership with its founder). Kindly confirm?

3. Under section 3A of the Global print section covering UBO Jeffrey E. Epstein, there is a box checked reflecting that Mr. Epstein has not resided outside of the US for 5 years or more. This contradicts other media covering Mr. Epstein suggesting he has resided in the US Virgin Islands for longer than 5 years. Please confirm. – We were under the impression that US Virgin Islands is part of the US and all residents of this island have US passports. Could you advise on this, please?

Kind Regards,

{cid:1__=C7BBF707DF9B04F18f9e8a93df9386909@db.com}

Vijay Sawant

KYC Case Representative
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Tel. [REDACTED]

Mobile [REDACTED]

[REDACTED]