

2019

Counterparty Name	Coverage	Office Location	TRADE ID
Trade Description	Trade Date	Maturity Date	Notional
January Cityview Plaza, LLC	01/08/19	Malcolm Kimble	NY
uwind	01/10/19	55000000	0
January Cityview Plaza, LLC	01/08/19	Malcolm Kimble	NY
swap	02/09/24	61000000	
390000			
January 440 Wolfe Road LLC	01/29/19	Dan Gray	LA
swap	03/31/19	42000000	
176200			
January 1149 Gower Street Hollywood LLC	01/29/19	Dan Gray	
LA swap	03/31/19		
22146800	91950		
January 918 Broadway Associates, LLC	01/29/19	Deirdre Judge	
LA swap	01/15/21		
173000000	148455		
January LR 9th & Broadway, LLC	01/29/19	Deirdre Judge	LA
swap	01/15/21	93000000	
69300			
March Amir LLC	09/30/23	Dan Gray	LA
swap	03/06/19	70500000	335000
March Marlin Road Partners, LLC	03/20/19	Dominic Scalzi	NY
swap	04/01/29	6000000	55000
April SNRP West 37 LLC	04/10/19	Mark Gonzalez	NY
swap	03/28/24	82500000	
344000			
April Henry Ritchotte	04/25/19	Doug Robertson	NY
uwind	04/15/21	5000000	2600
May Alfredo Juan Jochamowitz Stafford	05/03/19	Sergie Stiglich	
MIA swap	04/15/24		
10000000	81000		
May 175 West 85 Realty LLC	05/13/19	Joanne Jenson	NY
swap	05/10/26	10011023	
85000			
May Melrose Place No 56 LLC	05/13/19	Dan Gray	LA
swap	05/10/24	40000000	
120000			
May Onni Hudson Limited Partnership	05/13/19	Anthony Scotti	
LA swap	04/27/23		
95000000	310000		
May Baccarat Shoreline, LLC	05/16/19	Ed Lok	LA
swap	09/03/24	250000000	
1485000			
May NJNAM Asset Co., LLC	05/22/19	Brian Convey	NY
swap	05/29/29	10000000	
125000			
May			
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June			

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Paid to XXXXXXXXXX YTD 2018 # of Deals Revenue Total  
Notional

Total COUNT(J\$2:J\$65536)=16.0 SUM(J:J)=3818505.0  
SUM(I3:I60)=9.70157823E8

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2018

Counterparty Name	Coverage	Office Location	TRADE ID
Trade Description	Trade Date	Maturity Date	Notional
January Southern Financial LLC [REDACTED]	[REDACTED]	Terri Sohrab	NY
[REDACTED] 3Ml 5Yr10YR curve cap	[REDACTED]	01/12/18	04/12/18
100000000	4000		
January Southern Financial LLC [REDACTED]	[REDACTED]	Terri Sohrab	NY
[REDACTED] 2yr swap	[REDACTED]	01/12/18	01/16/20
12800000	2500		
February Southern Financial LLC [REDACTED]	[REDACTED]	Terri Sohrab	
NY [REDACTED] Unwind 3Ml 5Yr10YR curve cap	[REDACTED]	01/12/18	
04/12/18	100000000	0	
February Southern Financial LLC [REDACTED]	[REDACTED]	Terri Sohrab	
NY [REDACTED] Unwind 2yr swap	[REDACTED]	01/12/18	01/16/20
12800000	0		
February O.P., L.L.C. Sean Jules	CHI	[REDACTED]	
4.5y swap, rate bought down with 8mm upfront payment		02/27/18	
09/01/22	175000000	850000	
March Karlin Las Palmas Deirdre Judge	LA	[REDACTED]	
[REDACTED] unwind 17.5mm 4y swap with embedded 0% floor			
03/14/18	03/16/18	17500000	0
March Amir Weissfisch Shahin Ahdieh	NY	[REDACTED]	10y GB0
5mm swap	03/20/18	12/01/28	7000000 115000
March MC2 Holdings LLC Freddy Menjivar	MIA	[REDACTED]	
20mm 10y swap	03/20/18	03/28/28	20000000 175000
March Hialeah Fee Commons, Ltd. Dominic Scalzi	NY		
[REDACTED] 30mm 7y swap	[REDACTED]	03/22/18	04/01/25
30000000	0		
April Ben Nevis Inigo Momene-Cardenal	MIA	[REDACTED]	
5yr Swap	04/06/18	04/30/23	10000000 81400
April Southern Financial LLC [REDACTED]	[REDACTED]	Terri Sohrab	NY
[REDACTED] 10y xccy asset swap [REDACTED]	[REDACTED]	04/13/18	
01/15/28	1232550 18488.25		
May Wealth Properties Inc Ed Lok	SF	[REDACTED]	Swap
unwind	05/07/18	05/09/18	8000000 4000
May Southern Financial LLC [REDACTED]	[REDACTED]	Terri Sohrab	NY
[REDACTED] 10y xccy asset swap (XS1715303779)	[REDACTED]	05/10/18	
05/14/18	1232550 2000		
May Southern Financial LLC [REDACTED]	[REDACTED]	Terri Sohrab	NY
[REDACTED] 2y 10y2y Curve Cap 0.07% strike	[REDACTED]	05/22/18	
05/22/20	45000000 6750		
May Crown Wateridge Associates, L.P. [REDACTED]	[REDACTED]	Deirdre Judge	
LA G332924M [REDACTED] swap upsize (unwind)	[REDACTED]	05/29/18	
06/15/23	230000000 1000000		
May Third Street Mall Porperties LLC [REDACTED]	[REDACTED]	Dan Gray	
SF [REDACTED] 10y swap	[REDACTED]	05/31/19	06/01/28
22712500	245000		
June Florescue Family Corporation [REDACTED]	[REDACTED]	Rachel Maglicic	
MIA [REDACTED] unwind	[REDACTED]	06/01/18	06/05/18
10000			5000000

June	Southern Financial LLC	[REDACTED]	Terri Sohrab	NY	
[REDACTED]	2y 10y2y Curve Cap 0.10% strike		06/01/18		
06/01/20	45000000	6750			
June	Trumbull Equity LLC	[REDACTED]	Malcolm Kimble	NY	
[REDACTED]	5yr Swap		06/26/18		
27000000	170000				09/15/23
June	Elysee of Brickell, LLC	[REDACTED]	Rachel Maglicic	MIA	
[REDACTED]	6y Swap	06/28/18	10/18/24		12000000
78000					
July	Bellorfonte LLC	[REDACTED]	Sven Otto Westphalen	NY	
[REDACTED]	5nc3y swap	08/03/18			08/23/23
19000000	94000				
August	MC2 Holdings LLC	[REDACTED]	Freddy Menjivar	MIA	
[REDACTED]	12nc10 swap	08/20/18			08/28/30
20000000	92000				
September	Southern Financial	[REDACTED]		Steward	
Oldfield	NY	[REDACTED]	unwind		09/21/18
09/25/18	45000000	4500			
September	Southern Financial	[REDACTED]		Steward	
Oldfield	NY	[REDACTED]	unwind		09/21/18
09/25/18	45000000	4500			
October	The James P. Kellner 2008 Irrevocable Trust	[REDACTED]			
Brian Convey / Sal Moore	NY	[REDACTED]		Unwind	
10/12/18	10/16/18	30000000	0		
October	The James P. Kellner 2008 Irrevocable Trust	[REDACTED]			
Brian Convey / Sal Moore	NY	[REDACTED]		swap	
10/12/18	10/01/28	40000000	320000		
October	William I. Koch Joe Cothron(AB) NY	[REDACTED]		Unwind	
10/31/18	05/01/20	26500000	15000		
November	30 West 72 Realty LLC	Joanne Jensen	NY		
[REDACTED]	Unwind	11/07/18	11/09/18		6731621.33 0
November	125 Washington Place LLC	Joanne Jensen	NY		
[REDACTED]	Unwind	11/07/18	11/09/18		5503846.56 0
November	237 West 13 Realth LLC	Joanne Jensen	NY		
[REDACTED]	Unwind	11/07/18	11/09/18		3.864954103E7 0
November	198-202 Elizabeth Street LLC and 204-21-	Elizabeth Street			
LLC jointly and severally	[REDACTED]	Joanne Jensen	NY		
[REDACTED]	Unwind	11/07/18	11/09/18		30732013 0
November	198-202 Elizabeth Street LLC and 204-21-	Elizabeth Street			
LLC jointly and severally	[REDACTED]	Joanne Jensen	NY		
[REDACTED]	swap	11/07/18	12/10/25		46694495
450000					
November	239-243-247 W. 21 Realty LLC	[REDACTED]	Joanne		
Jensen NY	[REDACTED]	Unwind	11/07/18		11/09/18
1.439820278E7	0				
November	239-243-247 W. 21 Realty LLC	[REDACTED]	Joanne		
Jensen NY	[REDACTED]	swap	11/07/18		12/10/25
15740000	150000				
December	Kassar Price 2008 Revocable Trust	[REDACTED]	Brian		
Convey NY	[REDACTED]	swap	12/03/18		12/12/28
5000000	82000				

Paid to [REDACTED] YTD 2018 # of Deals Revenue Total  
Notional

Total COUNT(J\$2:J\$65536)=35.0 SUM(J:J)=3980888.25

SUM(I:I)=1.2712273196999998E9

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2017

Counterparty Name	Private Banker	Office Location	TRADE ID
Trade Description	Trade Date	Maturity Date	Notional
January Cityview Plaza LLC [REDACTED] swap upside	[REDACTED] 01/10/17	Malcolm Kimble 02/09/22	NY 5000000
30000			
January JEC Associates unwind 01/13/17	Joseph Cothron (PCS) 01/18/17	NY 3500000 0	[REDACTED]
January 134 w 58TH Realty [REDACTED] swap	[REDACTED] 01/17/17	Joanne Jensen 12/10/21	NY 42000000
175000			
January Arlene Nussdorf unwind 01/25/17	Joe Cothron (PCS) 01/27/17	NY 17850000	[REDACTED] 15000
January Stephen Nussdorf N1579761N unwind 01/25/17	Joe Cothron (PCS) 01/27/17	NY 4225000 5000	[REDACTED]
January Glenn Nussdorf unwind 01/25/17	Joe Cothron (PCS) 01/27/17	NY 12275000	[REDACTED] 9400
February Judge LA 11/30/21	Karlin Las Palmas Subsidiary LLC [REDACTED] swap	[REDACTED] 02/06/17	Deirdre
February [REDACTED] [REDACTED] swap	Crown Wateridge 02/06/17	[REDACTED] 12/27/21	Deirdre Judge LA 125000000
475000			
February Maglicic 02/08/47	Florescue Family Corporation Miami [REDACTED] swap	[REDACTED] 02/08/17	Rachel
February Cardenal 02/06/22	Ben Nevis Partnership SP LatAm (Miami) [REDACTED] swap	[REDACTED] 02/10/17	Inigo Momene-
March MURBAR LTD 03/10/17	Joe Cothron (PCS) 12/20/22	NY 3000000 5000	[REDACTED] unwind
March Unified Wealth Limited unwind 03/13/17	Xavier Santos 03/15/17	NY 10000000	[REDACTED] 20000
March James and Michele Kellner (Cothron) NY [REDACTED] swap	JTWR0S [REDACTED]	[REDACTED] 03/23/17	John Marson
04/01/22	3752000 30000		
March The James P. Kellner 2008 Irrevocable Trust John Marson (Cothron) NY [REDACTED] swap	[REDACTED] [REDACTED]	[REDACTED] swap	[REDACTED] 03/27/17
04/01/27	30000000 535000		
March Jesus Manuel Munoz Maryen Munoz Cataldo LatAm (Miami) [REDACTED] cancellable swap	JT TEN [REDACTED]	[REDACTED] 04/01/24	Stanislao
03/28/17	04/01/24 9000000	85000	
April H&N Shahery Revocable Trust [REDACTED] swap	Dan Gray 04/07/17	LA 04/01/27	10000000
87500			
April Gasper Fiore and Joan Fiore [REDACTED] unwind 04/11/17	Joe Cothron (PCS) 07/01/19	ny 6900000 0	[REDACTED]
May Crown Wateridge [REDACTED] swap upside (unwind)	Deirdre Judge 05/23/17	LA 06/15/22	F1384016M
25000000	100000		

June	DTTCI as Trustee for Bryce Canyon Unit Trust	Armando Estua		
Belaunzaran	LatAm (NY)		unwind	06/28/17
06/30/17	45000000	5000		
July	Sydney Development Corp and Sanmon Inc.	Deirdre Judge	LA	
		Partial unwind		07/07/17
09/26/24	10800000	42000		
July	Karlin Real Estate Europe 2, LLC		fleetwood	
Deirdre Judge	LA		cap	07/13/17
06/23/22	18000000	13030		
July	Karlin Real Estate Europe 2, LLC		talke	Deirdre
Judge	LA		cap	07/13/17
24000000	13030			06/23/22
July	Karlin Real Estate Europe 2, LLC		queens house	
Deirdre Judge	LA		cap	07/13/17
07/19/22	12000000	13030		
August	Wilshire Margot LLC		Lee Hutter	LA
	unwind	08/07/17	03/15/23	65000000
25000				
August	Summit Cove	Joe Cothron (PCS)	NY	
unwind	08/25/17	05/27/19	10000000	10000
August	140-154 w 72 realty		Joanne Jensen	NY
	blend extend	08/28/17		07/10/23
4.134331284E7	132000			
August	IMDN Holdings LLC		Mark Gonzalez	NY
	swap	08/31/17	08/17/22	83000000
553000				
August	SC Delancey LLC		Mark Gonzalez	NY
	swap	08/31/17	08/17/22	32835000
220000				
September	The Williams Family Limited			Joe
Cothron (PCS)	NY		unwind	09/06/17
05/24/22	3000000	9000		
September	Sydney Development Corp and Sanmon Inc.	Deirdre Judge		
LA		unwind	09/15/17	09/18/17
11300000	46000			
October	Tempus Management AG as Trustee of the Balmoral Unit Trust			
	Dessy Arteaga	NY		unwind
10/12/17	10/16/17	16000000	9000	
October	424 Dairy Road, LLC		Joe Cothron (PCS)	
NY		unwind	10/18/17	10/20/17
14270000	5000			
November	Dov J. Berkowitz		Joe Cothron (PCS)	
NY		unwind	11/01/17	11/03/17
3000000	0			
November	Peter Pistor	Deirdre Judge	LA	
unwind	11/03/17	11/07/17	2000000	0
December	Internet Resources Management			Wolfgang
Doerr	NY	swap	12/01/17	12/29/27
70000000	530680			
December	The Stephanie A Williams Revocable Trust			
Joe Cothron (PCS)	NY		unwind	12/04/17
01/20/24	4800000	10000		

December Peter Mulli Family Trust [REDACTED] Joe Cothron  
(PCS) NY [REDACTED] unwind 12/12/17 12/14/17  
10000000 5000

December  
December  
Private Bank Paid to [REDACTED] YTD 2017 # of Deals  
Revenue Total Notional

Total COUNT(J\$2:J\$65536)=37.0 SUM(J:J)=3452170.0

SUM(I:I)=8.1015031284E8

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