

Subject: RE: Southern Financial LLC [I]
From: Paolo De-Leon <[REDACTED]>
Date: Tue, 27 Feb 2018 09:31:38 -0500
To: Keith Buckholz <[REDACTED]>

Yes, sounds good! Davide was pretty efficient with locating and retrieving the necessary docs, so this new process is looking quite promising so far.

From: Keith Buckholz
Sent: Tuesday, February 27, 2018 9:28 AM
To: Paolo De-Leon <[REDACTED]>
Subject: RE: Southern Financial LLC [I]

Classification: For internal use only

Perfect, now we can get this name cleared from the daily margin shortfall/-call report. Thanks.

Keith

From: Paolo De-Leon
Sent: Tuesday, February 27, 2018 9:27 AM
To: Collateral Admin-NY <[REDACTED]>; Abhijit Mukherjee <[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>
Subject: Southern Financial LLC

Hello Abhijit and team,

Can you please add this client to the DDR? Attached are the SACA, Pledge Agreements, and other KYC / onboarding docs.

Please note that there are two accounts for this client: [REDACTED] and [REDACTED]

Thanks and regards,

Paolo

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Paolo De Leon
Assistant Vice President | Credit Risk Officer
Deutsche Bank Trust Company Americas

Business Risk Management
345 Park Avenue - 14th Floor
New York, NY, USA 10154-0004
Tel. [REDACTED]
Email [REDACTED]