

Subject: RE: Southern Financial LLC [I]
From: Paolo De-Leon <[REDACTED]>
Date: Tue, 06 Mar 2018 15:35:01 -0500
To: CUSTOMERBUILD BLO <[REDACTED]>, Abhijit Mukherjee <[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>, CRM-PWM Derivatives <[REDACTED]>, Collateral Admin-NY <[REDACTED]>

Hello Sendhil,

Please utilize the below SIC Code:

6159

Miscellaneous Business Credit Institutions

Thanks,

Paolo

From: Sendhil-kumar K On Behalf Of CUSTOMERBUILD BLO
Sent: Monday, March 05, 2018 2:51 PM
To: Paolo De-Leon <[REDACTED]>; Abhijit Mukherjee <[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>; Collateral Admin-NY <[REDACTED]>; CUSTOMERBUILD BLO <[REDACTED]>
Subject: RE: Southern Financial LLC [I]

Classification: For internal use only

Hi Paolo,

6700 SIC code is not available in LS2, please provide the alternative one.

Hi Abhijit,

Please confirm the customer profile for this request.

{cid:image001.png@01D3B490.E24E9F10}

Kind Regards,
Sendhil-kumar K
Operations Senior Analyst
DBOI Global Services Private Limited
Ph:+ [REDACTED]
Email [REDACTED]

From: Paolo De-Leon
Sent: Friday, March 02, 2018 2:13 PM
To: CUSTOMERBUILD BLO <[REDACTED]>; Abhijit Mukherjee
<[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>
<[REDACTED]>; Collateral Admin-NY <[REDACTED]>
Subject: RE: Southern Financial LLC [I]

Hello Sendhil,

Please see below.

{cid:image002.png@01D3B490.E24E9F10}

From: Sendhil-kumar K On Behalf Of CUSTOMERBUILD BLO
Sent: Friday, March 02, 2018 2:10 PM
To: Abhijit Mukherjee <[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>
<[REDACTED]>; Paolo De-Leon <[REDACTED]>; Collateral
Admin-NY <[REDACTED]>; CUSTOMERBUILD BLO
<[REDACTED]>
Subject: RE: Southern Financial LLC [I]

Classification: For internal use only

Hi Abhijit,

Please confirm the customer profile and SIC code for this request.

Kind Regards,
Sendhil-kumar K
Operations Senior Analyst
DBOI Global Services Private Limited
Ph:+ [REDACTED]
Email [REDACTED]

From: Abhijit Mukherjee
Sent: Thursday, March 01, 2018 5:48 PM
To: CUSTOMERBUILD BLO <[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>
<[REDACTED]>; Paolo De-Leon <[REDACTED]>; Collateral
Admin-NY <[REDACTED]>; Sendhil-kumar K <[REDACTED]>
<[REDACTED]>
Subject: RE: Southern Financial LLC [I]

Classification: For internal use only

Hi Team,

Kindly build the below customer. Attached are the KYC docs. Please let me know if you need anything else.

Thanks.

Southern Financial LLC

Kind regards,
Abhijit Mukherjee

{cid:image008.png@01D03F70.4C7A39B0}

Abhijit Mukherjee

DBOI Global Services Private Limited
Global Business Services
Block 8, Velankani Tech Park, No.:43, Electronic City, Hosur Road, 560100
Bangalore, India

Voip [REDACTED]

Mobile + [REDACTED]

Email [REDACTED]

For Level 1 escalations please contact Mohammed-B Hussain at email id :

[REDACTED]

For Level 2 escalations please contact Melvin V at email id : [REDACTED]

{cid:image009.png@01D03F70.4C7A39B0}

From: Paolo De-Leon

Sent: Tuesday, February 27, 2018 7:57 PM

To: Collateral Admin-NY <[REDACTED]>; Abhijit Mukherjee

<[REDACTED]>

Cc: Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>

[REDACTED]

Subject: Southern Financial LLC

Hello Abhijit and team,

Can you please add this client to the DDR? Attached are the SACA, Pledge Agreements, and other KYC / onboarding docs.

Please note that there are two accounts for this client: N4G025403 and N4G026161.

Thanks and regards,

Paolo

{cid:image008.png@01D03F70.4C7A39B0}

Paolo De Leon
Assistant Vice President | Credit Risk Officer
Deutsche Bank Trust Company Americas

Business Risk Management
345 Park Avenue - 14th Floor
New York, NY, USA 10154-0004
Tel. [REDACTED]
Email [REDACTED]