

Subject: RE: Southern Financial LLC
From: Paolo De-Leon <[REDACTED]>
Date: Wed, 28 Feb 2018 15:02:55 -0500
To: Collateral Admin-NY <[REDACTED]>, Abhijit Mukherjee <[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>, CRM-PWM Derivatives <[REDACTED]>

Hi Collateral Team,

Just wanted to follow up on the DDR set up please.

Thanks,

Paolo

From: Paolo De-Leon
Sent: Tuesday, February 27, 2018 9:27 AM
To: Collateral Admin-NY <[REDACTED]>; Abhijit Mukherjee <[REDACTED]>
Cc: Keith Buckholz <[REDACTED]>; CRM-PWM Derivatives <[REDACTED]>
Subject: Southern Financial LLC

Hello Abhijit and team,

Can you please add this client to the DDR? Attached are the SACA, Pledge Agreements, and other KYC / onboarding docs.

Please note that there are two accounts for this client: [REDACTED] and [REDACTED]

Thanks and regards,

Paolo

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