

Subject: RE: Epstein Summary [I]
From: Keith Buckholz <[REDACTED]>
Date: Thu, 11 Jul 2019 16:14:24 -0400
To: Arjun Nagarkatti <[REDACTED]>
Cc: Carl Jackson <[REDACTED]>, Swasi Bate <[REDACTED]>

Classification: For internal use only

Arjun,

Please note the below comment on the FX trade should read September 2018 and not 2019. i.e. The last trade was booked in September 2018 and closed out in Feb 2019.

Regards,
Keith

From: Keith Buckholz
Sent: Thursday, July 11, 2019 12:01 PM
To: Arjun Nagarkatti <[REDACTED]>
Cc: Carl Jackson <[REDACTED]>; Swasi Bate <[REDACTED]>
Subject: Epstein Summary [I]

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Arjun,

There is currently no OTC trading relationship with Epstein. The previous trading relationship was with an entity named Southern Financial LLC.

- The last remaining trade (\$3MM notional FX fwd) was closed out in Feb 2019 (originally booked in Sept 2019)
- Historical trading relationship was very light (I believe JPM was their primary trading partner), primarily FX and equities

- As per Lending (Americas) never had a lending relationship

- There was AUM here in early 2019, but we don't have visibility into size. I would have to reach out to the banker (Stewart Oldfield) to get historical balances – let me know if you want me to get additional details.

Kind regards,
Keith Buckholz

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Keith Buckholz

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