

Subject: Apr 2016 AML/KYC Escalation Reports [I]
From: Melisa Venegas <[REDACTED]>
Date: Wed, 06 Apr 2016 12:22:14 -0400
To: Armen Brash <[REDACTED]>,
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All,

Included below is the monthly AML/KYC escalation report for overdue and coming due items. Please review and address accordingly. Thank you.

ACUs w/Mat Changes

Risk	Days Overdue	1-30	31-60	61-90	>90	Grand Total
High	-	-	-	7	7	
Moderate	2	5	7	15	29	
Low	1	2	10	13		

Overdue Temporary Exceptions

Risk	Days Overdue	1-30	31-60	61-90	>90	Grand Total
High	15	-	-	1	16	
Moderate	1	1	-	-	2	
Low	2	-	-	-	2	

Overdue High Risk in Person Meetings 25

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