

Subject: April 2017 AML/KYC Escalation Reports [I]
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Date: Mon, 08 May 2017 12:16:27 -0400
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Classification: For internal use only

All,

Attached is the monthly AML/KYC escalation report for overdue and coming due items. Please review and address accordingly.

Overdue Temporary Exceptions

Risk | Days Overdue

1-30

31-60

61-90

>90

Grand Total

High

1

1

Moderate

Low

2

2

Overdue High Risk in Person Meetings

23

Thank you.

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