

Subject: Sept - 2017 AML/KYC Escalation Reports [I]
From: Melisa Venegas <[REDACTED]>
Date: Tue, 10 Oct 2017 12:14:50 -0400
To: Andrew Gallivan <[REDACTED]>,
Michael S Jacoby <[REDACTED]>,
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Patrick Harris <[REDACTED]>,
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Lisa-M Ramos <[REDACTED]>

Classification: For internal use only

All,

Attached is the monthly AML/KYC escalation report for overdue and coming due items. Please review and address accordingly.

§ High Risk in Person Meetings Past Due: 23

§ Overdue Temporary Exceptions: 9

Thank you,

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