

[REDACTED]

[REDACTED]



[REDACTED]

66736 00000487216 JEJE, LLC Paul Morris SOUTHERN FINANCIAL RELATIONSHIP 2/17/2015
No changes in income producing activities Darren Indyke was added as POA in February 2014 73
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby New York COVERAGE-Domestic
AML to Close - Approved KYC #1195111

[REDACTED]

[REDACTED]

COVERAGE-Domestic_TmpExcptDueIn
Temporary Exceptions- Domestic
Overdue by more than 90 days/Closing Queue Due in
Overdue by more than 60 days
Overdue by more than 30 days

Case Number	Customer Number	Customer Name	Customer Record Type	Relationship Number	Cust
Owner	Exception Expires	Reason for Exception (If Applicable)	EM	Days	Overdue
MSR Silo					
01370179	00000506803	TSI Giardina Rabbi Trust	Client	Susan Burnett	6/22/2015
Temporary Exception of 90 Days for executed documents as DB is the Trustee Kimberly Hart -52					
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		
01371036	00000499861	TPG Advisors VI, Inc.	Prospect	00000450836	Cheryl Paller
6/1/2015		Borrowers resolution will be obtained at closing.		Lee Hutter	-31
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		
01375357	00000406736	Brener, Pablo	Prospect	00000406737	Deirdre Judge
2016		The case will be re-evaluated in a year given the internal investigation for possible money laundering.		Kimberly Hart	-343
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					
COVERAGE-Domestic					
01376949	00000499861	TPG Advisors VI, Inc.	Prospect	00000450836	Cheryl Paller
6/1/2015		Borrowers resolution will be obtained at loan closing.		Lee Hutter	-31
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		
01377853	00000495893	Lexington Capital Partners VIII, LP	Client	00000409936	Terr
i Sohrab	5/8/2015	Temporary Exception for Borrowers Resolution and Executed LP documents		Kimberly Hart	-7
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					
COVERAGE-Domestic					
01378283	00000287842	Susan E. Akin Irrev Trust U/A Dtd 1/27/03, Johanna Stolecki TTEE			
Client	00000162399	Liz Payne	7/10/2015	The standard 90 days temporary exception for TIN number has been granted for this KYC.	Kimberly Hart -70
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					
COVERAGE-Domestic					
01380558	00000499861	TPG Advisors VI, Inc.	Prospect	00000450836	Cheryl Paller
6/1/2015		Borrowers resolution to be obtained at closing for signatory authority.		Lee Hutter	-31
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		
01385114	00000508224	Chaitanya Inc	Client	00000168925	Brian Convey
SOS Printout not yet available, will attached within 30 days upon receipt.				Kimberly Hart	-27
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		
00906979	00000471736	Meridiam Infrastructure North America Fund II LP	Prospect		
00000471737	Rosemary Vrablic	6/30/2015	Exception Extended - see email below	Temp -	
exception Extended 60 Day Temporary Exception for Borrowers Resolution			Kimberly Hart	-60	Kim
Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		
01290743	00000471736	Meridiam Infrastructure North America Fund II LP	Prospect		
00000471737	Rosemary Vrablic	6/30/2015	Exception Extended 6/30/15 see e-mail attached		
Exception Extended - see email attached 60 Day Temporary Exception for Borrowers Resolution (see previous KYC-- 00906979 for approval)			Kimberly Hart	-60	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby
COVERAGE-Domestic					
01329886	00000458962	Planned Parenthood of Illinois	Client	00000175329	Terrence Tan
gney	5/17/2015	Kimberly Hart	-16	Kim Hart, Andrew Galivan, Michael J. Davis, Michael	
COVERAGE-Domestic					
01335734	00000471736	Meridiam Infrastructure North America Fund II LP	Prospect		
00000471737	Rosemary Vrablic	6/30/2015	Exception Extended - see email	See Temporary	
Exception for Borrowers Resolution in KYC 906979			Kimberly Hart	-60	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby
COVERAGE-Domestic					
01345897	00000479363	Spencer Family 2012 GRAT I	Client	00000156618	Liz Payne
5/11/2015		A standard 90 day exception for the trust TIN#s and fully executed document.		Kimberly Hart	-10
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		
01347466	00000479316	Jacques, Patrick C.	Client	00000167808	Tim MacGuire
/2015		DB as co ? trustee, there is a Blanket Exception of 90 days for Date of Incorporation, EIN and Executed Documents.		Kimberly Hart	-76
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					
COVERAGE-Domestic					
01350684	00000499861	TPG Advisors VI, Inc.	Prospect	00000450836	Cheryl Paller
6/1/2015		TE for signing authority. Document will be obtained at closing.		Kimberly Hart	-31
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		
01351513	00000454163	Batmasian, Marta	Client	00000454164	Carlos Arrizurieta
9/7/2015		Due to negative media and ongoing legal actions, the KYC is required to be updated in 6 months.		Kimberly Hart	-129
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					
COVERAGE-Domestic					
01353300	00000497010	The GST Exempt Sydell L. Miller Dynasty Trust FBO Lauren Spilman u/a			
/d/8/26/93		Client	00000497011	Lisa Adelstein	6/15/2015
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		-45
01366045	00000505227	Tabizuru Foundation	Prospect	00000214706	Mary Ann Coleman
5/14/2015		Kimberly Hart	-13	Kim Hart, Andrew Galivan, Michael J. Davis, Michael	
COVERAGE-Domestic					
01367302	00000505296	TPG Growth II Finance, L.P.	Prospect	00000450836	Cheryl Paller
5/22/2015		1. Temporary Exception granted for listing of authorized signatory (see attached email) 2. Permanent Exception granted to accept AML Letter from CCO in lieu of DB's AML Questionnaire for Private Equity Firms.		Lee Hutter	-21
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					
COVERAGE-Domestic					

COVERAGE-Domestic_HRIPM_PastDue

Customer Name Explanation	Customer Number Bank	Primary Officer Auto Exempt Reason	High Risk Client	InPerson Meeting Since Date	Due Year Offshore Account	Past-Due Open Date	Exp Date
MSR Silo	Manually Remove?						
Lisa S. Benenson Insurance Trust	00000287202	Andrea Nicoletti		1995	1	Retail Banking < \$250K	8/18/1992
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Altpoint Capital Partners, LLC	00000462886	Brian Convey		2014	1	Retail Banking < \$250K	9/9/2011
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
MISS AMERICA PROPERTIES, LLC	00000464881	Rosemary Vrablic		2014	1	Retail Banking < \$250K	7/14/2011
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Pindle, LLC	00000470744	Cheryl Paller		2014	1	Retail Banking < \$250K	3/8/2012
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
GCI Technologies Ltd.	00000472976	Malcolm Kimble		2013	1	Retail Banking < \$250K	4/2/2012
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Budinger, William M.	00000451232	Terrence Tangney		2014	1	Relationship Exempt	5/30/2001
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Budinger, William Doyle	00000491423	Terrence Tangney		2014	1	Relationship Exempt	2/25/2011
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Nicholls, Naoma	00000412674	Susan Burnett		2013	1	Portfolio of Exempt Accounts	7/30/2007
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Aquiline Holdings LP	00000411484	Joanne Jensen		2014	1	Force Exempt	3/7/2007
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
FDEG Holdings, LLLP	00000464495	Terrence Tangney		2014	1	Force Exempt	7/1/2011
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Zahid, Mervat	00000225289	Paul Bartilucci		2013	1	Relationship Exempt	9/30/1994
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Newamco, LLC	00000423479	Rosemary Vrablic		2011	1	Relationship Exempt	9/18/2008
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Ortiz Consulting, Inc.	00000437284	John Marson		2013	1	Relationship Exempt	7/7/2009
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Nussdorf, Arlene	00000444723	Joanne Jensen		2014	1	Relationship Exempt	3/3/2010
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Nussdorf, Glenn	00000444725	Joanne Jensen		2014	1	Relationship Exempt	3/3/2010
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Nussdorf, Stephen	00000444726	Joanne Jensen		2014	1	Relationship Exempt	3/3/2010
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Left Bank Investments Limited	00000464330	Terrence Tangney		2014	1	Relationship Exempt	6/15/2011
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
CAP III Maritime AIV, LP	00000469156	Joanne Jensen		2013	1	Relationship Exempt	9/14/2011
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Gottbetter Capital Group, Inc.	00000471300	Terrence Tangney		2014	1	Relationship Exempt	12/19/2011
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Limestone Partners	00000472899	Andrea Nicoletti		2012	1	Relationship Exempt	4/18/2012
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
Mitido Invest LTD	00000473138	Terrence Tangney		2014	1	Relationship Exempt	7/13/2012
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						
SYDELL HOLDINGS LLC	00000479783	Susan Burnett		2013	1	Relationship Exempt	12/12/2012
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby							
COVERAGE-Domestic	No						

COVERAGE-Domestic_HRIPM_Due2015

Customer Name Explanation	Customer Number Bank	Primary Officer Auto Exempt Reason	High Risk Client	InPerson Meeting Since Date	Due Year Offshore Account	Past-Due Open Date	Exp Date
MSR Silo Comments							
Bruli International S.A. OK 4/12/1995	00000177992	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Tim MacGuire	2015 1	Retail Banking < \$25	COVERAGE-Domestic No	
Comsup Commodities Inc OK 4/27/2009	00000424709	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Retail Banking < \$25	COVERAGE-Domestic No	
Comsup Ltd. 6/2008	00000424797	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	12/2
Comsup Minerals Ltd OK 12/26/2008	00000424798	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Retail Banking < \$25	COVERAGE-Domestic No	
FDEG Corp. /2009	00000439357	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Terrence Tangney	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	8/21
Lawrence F DeGeorge Irrevocable Trust Agreement u/a dtd November 12, 2010					00000457925		Terr
ence Tangney Michael J. Davis, Michael Jacoby	2015 1	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby		Retail Banking < \$250K	11/19/2010	COVERAGE-Domestic No	
TIPPT MEDIA INC Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	00000471609	Terri Sohrab	2015 1	Retail Banking < \$250K	1/26/2012	COVERAGE-Domestic No	
Viggle Media, Inc. /2012	00000471610	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Terri Sohrab	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	1/26
IUC 701 Times Square LLC ng < \$250K	00000472123	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	
Isaac Franco 2002 Grantor Retained Annuity Trust 1	00000472785	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	2015
Jacoby COVERAGE-Domestic No							
Isaac Franco 2001 Grantor Retained Annuity Trust 1	00000472784	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	2015
Jacoby COVERAGE-Domestic No							
Isaac Franco 2008 Irrevocable Trust il Banking < \$250K	00000472786	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	
Isaac Franco Alaska Trust ng < \$250K	00000472787	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	
Credit Opportunities Master Fund II, LP ng < \$250K	00000473714	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Joanne Jensen	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	
Credit Opportunities Overseas Fund II, LP il Banking < \$250K	00000474124	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Joanne Jensen	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	
Institute for the Preservation of Arts and Culture Limited 1	00000474130	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Liz Payne	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	
Winklevoss Media, LLC /2012	00000474232	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Joanne Jensen	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	5/31
Walkitalk LLC Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	00000474710	Joanne Jensen	2015 1	Retail Banking < \$250K	7/5/2012	COVERAGE-Domestic No	
Technomicom LLC Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	00000474711	Joanne Jensen	2015 1	Retail Banking < \$250K	7/5/2012	COVERAGE-Domestic No	
TRITONIC HOLDINGS, LLC 2012	00000474908	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Joanne Jensen	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	7/9/
Infinity Lifestyle Brands, LLC ng < \$250K	00000475597	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	
Rado Tours, LLC Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	00000478290	Joanne Jensen	2015 1	Retail Banking < \$250K	10/12/2012	COVERAGE-Domestic No	
Infinity AL Holdings, LLC ng < \$250K	00000478501	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Retail Banking < \$250K	COVERAGE-Domestic No	
CKI Events, LLC Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	00000486696	David Rodin	2015 1	Retail Banking < \$250K	2/9/2012	COVERAGE-Domestic No	
Paparoni, Fernando 2/17/2012	00000471849	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Terrence Tangney	2015 1	Relationship Exempt	COVERAGE-Domestic No	
L J & Fa DeGeorge Charitable Trust folio of Exempt Accounts	00000287358	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Terrence Tangney	2015 1	Portfolio of Exempt Accounts	COVERAGE-Domestic No	
Khalilzad, Zalmay M 11/2/2009	00000442073	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	John Marson	2015 1	Portfolio of Exempt Accounts	COVERAGE-Domestic No	
Kushner, Murray 1/1988	00000100705	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	Rosemary Vrablic	2015 1	Non-Funded Accounts	COVERAGE-Domestic No	12/2
Cerberus Capital Management, L.P. Funded Accounts	00000456209	Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	George Zahringer	2015 1	Non-Funded Accounts	COVERAGE-Domestic No	

RAGE-Domestic	No							
Rein, LLC	00000471893	David Rodin	2015	1	Non-Funded Accounts		2/2/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
Denmar, LLC	00000471894	David Rodin	2015	1	Non-Funded Accounts		2/2/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
Ario, LLC	00000476062	David Rodin	2015	1	Non-Funded Accounts		8/24/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
FDEG Family Limited Partnership	00000439358	Terrence Tangney	2015	1	Force Exempt			
8/24/2009		Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		No	
Leto, LLC	00000472507	David Rodin	2015	1	Force Exempt		3/14/2012	Kim
Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
CGK 2011 Annuity Trust	00000472793	David Rodin	2015	1	Force Exempt		3/15/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
TCW Enterprises LLC	00000474015	Joanne Jensen	2015	1	Force Exempt		5/15/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
Hestianic Holdings, LLC	00000474023	Joanne Jensen	2015	1	Force Exempt		5/15/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
Winklevoss Capital Fund, LLC	00000474068	Joanne Jensen	2015	1	Force Exempt		5/17	
/2012		Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		No	
Antonine Investments LLC	00000474081	Joanne Jensen	2015	1	Force Exempt		5/18	
/2012		Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		No	
Hadrian Investments LLC	00000474084	Joanne Jensen	2015	1	Force Exempt		5/18/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
Winklevoss Capital Management LLC	00000474107	Joanne Jensen	2015	1	Force Exempt			
5/21/2012		Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby			COVERAGE-Domestic		No	
Nina Holdings LLC	00000474714	Joanne Jensen	2015	1	Force Exempt		8/17/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
EFPRP Investments LLC	00000474838	David Rodin	2015	1	Force Exempt		7/10/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
Heracleon Holdings LLC	00000475408	Joanne Jensen	2015	1	Force Exempt		7/6/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
CW Crimson Trust	00000478206	Joanne Jensen	2015	1	Force Exempt		12/17/2012	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
The Mao Charitable Remainder Unitrust	00000236057	Russell Daulton	2015	1			11/30/2003	
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
MJX, LLC	00000415485	Terri Sohrab	2015	1	6/25/2007			Kim Hart, Andrew Gal
ivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
Sea Change Foundation	00000418125	Terri Sohrab	2015	1	5/8/2008			Kim Hart, An
drew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
Franco, Isaac S.	00000418627	Rosemary Vrablic	2015	1	7/18/2008			Kim
Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
The Nagel Family Living Trust	00000423815	Deirdre Judge	2015	1	10/20/2008			Kim
Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
FF Property Management, LLC	00000441355	John Marson	2015	1	12/7/2009			Kim
Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
The Roger William Norman Trust	00000459676	Patricia Bennett	2015	1	3/22/2011			
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
NF Airport Spectrum Investors LP	00000469638	Deirdre Judge	2015	1	1/11/2012			
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
Paparoni, Cesar	00000471993	Terrence Tangney	2015	1	2/17/2012			Kim Hart, An
drew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
Estate of Florence A. DeGeorge	00000474025	Terrence Tangney	2015	1	6/1/2012			
Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
VannaRae, LLC	00000474696	Terrence Tangney	2015	1	6/25/2012			Kim Hart, An
drew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
NF Marina, LP	00000477716	Deirdre Judge	2015	1	10/9/2012			Kim Hart, Andrew Gal
ivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
ASEAN China Investment Fund L.P	00000477766	Malcolm Kimble	2015	1	10/25/2012			Kim
Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
CIP Five Holdings, Ltd	00000478177	George Zahringer	2015	1	11/16/2012			Kim
Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
AL Infinity, LLC	00000478655	Rosemary Vrablic	2015	1	11/8/2012			Kim
Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	
IDL Wheel Tenant, LLC	00000478687	Terri Sohrab	2015	1	11/16/2012			Kim Hart, An
drew Galivan, Michael J. Davis, Michael Jacoby					COVERAGE-Domestic		No	

Acct Opening	Case Number	Customer Name	Created By	Opened Date	Product Description	Exception Ex
Days Overdue	Created Alias	Escalation Manager	Manager	Silo	Reason for Exception	Fax Copies Exception
01175721	107227	Canada Inc	Janet Sible	1/8/2014	IA	ABR- Please vett the signors with W-8 Ben for approval. NAO Case# 7306319 (FOR ADVISOR SELECT IA ACC) KYC# 1156121 Contact: Janet Sible 312-952-9280 New ADVISOR SELECT account - Manager Clearbridge 1/22/2014 PB S
			Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby		COVERAGE-Domestic	Temp
01250909	9800	La Cienega, LLC	Mayra Fonseca	6/30/2014	Business Checking	ABR
			Thank you.	7/22/2014	PB S-LA Kim Hart, Andrew Galivan, Michael J. Davis, Michael Jacoby	COVERAGE-Domestic
01385497	4513	NAO # 9459820	Carol Meister	212-454-0022	***PLEASE DISREGARD THE INTERNET BANKING SERVICES Link to existing online relationship. It does not pertain to this client.*** **PLEASE SEE EXCEPTION T O OPEN ACCOUNT ATTACHED****	4/29/2015
			Michael Jacoby		COVERAGE-Domestic	1 week exception for signature card N 3
01244488		Gummy HR, LLC	Cynthia Rodriguez	6/16/2014	Please vett the signers with W-9 approval. DDA Account Type - 10 APY - 0.00% MMDA Account Type - 65 Interest Plan - 129 APY - 0.20% KYC 01242729 NAO 8045240 RUSH CASE: Reserved account numbers are 42956019 and 44131512. Please email cynthia.rodriguez@db.com once these account are officially opened. Thank you.	7/16/2014
			Michael J. Davis, Michael Jacoby		COVERAGE-Domestic	Plea
01287301		Heinz Eppler Life Insurance Trust 1993	Anna-Sofiya Lupolover	9/30/2014	ABR	
			Michael J. Davis, Michael Jacoby		COVERAGE-Domestic	2 week temporary exception is approved for our standard letter to be signed by the Trustee and the Limited Agents, to be provided by Tammy N