

Subject: Oct - 2017 AML/KYC Escalation Reports [I]  
From: Melisa Venegas <[REDACTED]>  
Date: Mon, 06 Nov 2017 17:30:47 -0500  
To: Andrew Gallivan <[REDACTED]>,  
Michael S Jacoby <[REDACTED]>,  
Lee Hutter <[REDACTED]>,  
Mark LaRoe <[REDACTED]>,  
Armen Brash <[REDACTED]>  
Cc: zia Memon <[REDACTED]>,  
Patrick Harris <[REDACTED]>,  
Sheffali Welch <[REDACTED]>,  
Anna-Sofiya Lupolover <[REDACTED]>,  
Teresa Metallo <[REDACTED]>,  
Lisa-M Ramos <[REDACTED]>

Classification: For internal use only

All,

Attached is the monthly AML/KYC escalation report for overdue and coming due items. Please review and address accordingly.

§ High Risk in Person Meetings Past Due: 36

§ Overdue Temporary Exceptions: 13

Thank you,

---

{cid:image003.gif@01D2E8FA.C574F450}

Melisa Venegas

Deutsche Bank Wealth Management  
345 Park Avenue, 26th FL, New York, NY, USA  
{phone} +1 (212) 250-1493  
{grey\_new\_seo-14-512} [REDACTED]