

Sheet1

Reason for KYC Manager RAG Outreach	GCIS Customer Number	Status	Next Step by	KYC ID	Full Legal Name	Case #	Legal Name	Exclusion Reason	Days in DC
RR	████████	1883718	Techview Investments Ltd.		Vijay Sawant				
Due soon		Quality Rejection							
10/18/18		High							
RR	████████	1981932	Sillerman, Robert F.X.		Adrian Lopez				Overdue
Initial Due Diligence		8/28/18	High		ABR SME working on				
Remediation	████████	████████	Nativos Investment LTD		Chantelle Williams				
Overdue		Pending Approval			with ABR				2/28/18
High	EXCLUSION COMPLETED								
Remediation	████████	████████	BPP F.1 Cayman LP		Pradeep Vaje				
Due soon		Client Outreach	with Client		12				10/31/18
High									
RR	████████	████████	BPP F.1 DE 3800 Alameda L.P.		Pradeep Vaje				
Due soon		Client Outreach	with Client		12				11/3/18 High
RR	████████	████████	BPP F.1 DE Central Park L.P.		Pradeep Vaje				
Due soon		Client Outreach	with Client		12				11/3/18 High
RR	████████	████████	BPP F.1 DE Media Studios L.P.		Pradeep Vaje				
Due soon		Client Outreach	with Client		12				11/3/18 High
RR	████████	████████	BPP F.1 DE Pointe L.P.		Pradeep Vaje				Due
soon		Client Outreach	with Client		12				11/3/18 High
Remediation	████████	████████	BPP F.1 DE Viking LP		Pradeep Vaje				
Due soon		Quality Rejection	with Client		12				
10/31/18		High							
Remediation	████████	████████	BPP F.1 DE Viking 2 LP		Pradeep Vaje				
Due soon		Quality Rejection	with Client		12				
10/31/18		High							
Remediation	████████	████████	BPP F.1 DE Viking 3 LP		Pradeep Vaje				
Due soon		Quality Rejection	with Client		12				
10/31/18		High							
Remediation	████████	████████	BPP F.1 DE Viking 4 LP		Pradeep Vaje				
Due soon		Quality Rejection	with Client		12				
10/31/18		High							
Remediation	████████	████████	BPP F.2 DE Viking 2 LP		Pradeep Vaje				
Due soon		Quality Rejection	with Client		12				
10/31/18		High							
Remediation	████████	████████	BPP F.2 DE Viking 3 LP		Pradeep Vaje				
Due soon		Quality Rejection	with Client		12				
10/31/18		High							
Remediation	████████	████████	BPP F.2 DE Viking LP		Pradeep Vaje				
Due soon		Quality Rejection	with Client		12				
10/31/18		High							
RR	████████	████████	Blackstone Tactical Opportunities Fund II.F L.P.						
Pradeep Vaje		Due soon	Client Outreach	with Client					12
10/17/18		High							
RR	████████	████████	Blackstone Tactical Opportunities Fund II (Cayman)-						
NQ L.P.	Pradeep Vaje	Due soon	Client Outreach	with Client					
12	10/17/18	High							
RR	████████	████████	Blackstone Tactical Opportunities Fund II-NQ						

L.P.	Pradeep Vaje	Due soon	Client Outreach with Client
12	10/17/18	High	
RR	██████████	██████████	Blackstone Tactical Opportunities Fund II.F
(Cayman)-NQ L.P.	Pradeep Vaje	Due soon	Client Outreach
with Client	12	10/17/18	High
RR	██████████	██████████	Blackstone Tactical Opportunities Fund II.F-Q
L.P.	Pradeep Vaje	Due soon	Client Outreach with Client
12	10/17/18	High	
RR	██████████	██████████	Blackstone Tactical Opportunities Fund II-Q L.P.
Pradeep Vaje	Due soon	Client Outreach with Client	12
10/17/18	High		
RR	██████████	██████████	Blackstone Tactical Opportunities Fund II L.P.
Pradeep Vaje	Due soon	Client Outreach with Client	12
10/17/18	High		
RR	██████████	██████████	Blackstone Tactical Opportunities Fund II (Cayman)
L.P.	Pradeep Vaje	Due soon	Client Outreach with Client
12	10/17/18	High	
RR	██████████	██████████	Blackstone Tactical Opportunities Fund II.F
(Cayman) L.P.	Pradeep Vaje	Due soon	Client Outreach with
Client	12	10/17/18	High
RR	██████████	██████████	Blackstone Tactical Opportunities Fund-F (Cayman)
L.P.	Pradeep Vaje	Due soon	Client Outreach with Client
12	10/17/18	High	
RR	██████████	██████████	Blackstone Tactical Opportunities Fund-I-NQ L.P.
Pradeep Vaje	Due soon	Client Outreach with Client	12
10/17/18	High		
RR	██████████	██████████	Blackstone Tactical Opportunities Fund-F-Q L.P.
Pradeep Vaje	Due soon	Client Outreach with Client	12
10/17/18	High		
RR	██████████	██████████	Blackstone Tactical Opportunities Fund-I (Cayman)-
NQ L.P.	Pradeep Vaje	Due soon	Client Outreach with Client
12	10/17/18	High	
RR	██████████	██████████	Blackstone Tactical Opportunities Fund-I L.P.
Pradeep Vaje	Due soon	Client Outreach with Client	12
10/17/18	High		
RR	██████████	██████████	Blackstone Tactical Opportunities Fund-I (Cayman)
L.P.	Pradeep Vaje	Due soon	Client Outreach with Client
12	10/17/18	High	
RR	██████████	██████████	Blackstone Tactical Opportunities Fund-F L.P.
Pradeep Vaje	Due soon	Client Outreach with Client	12
10/17/18	High		
RR	██████████	██████████	Blackstone Tactical Opportunities Fund-F (Cayman)-
NQ L.P.	Pradeep Vaje	Due soon	Client Outreach with Client
12	10/17/18	High	
RR	██████████	██████████	SDMP Services, Inc
Pending Approval		7/31/18	Natalie Barak Overdue
RR	██████████	██████████	with AFC High EDR
Babu	Due soon		Vernon E. Jordan, Jr. Revocable Trust Alka
10/20/18	High		Client Outreach with Client 47
RR	██████████	██████████	Tananbaum, Steven A.
soon	Pending Approval	with PO 11/7/18	Vijay Sawant Due
			High

RR	██████	██████	Jazz Realty LLC	Chantelle Williams	Due
soon	Pending	Approval	with AFC	10/31/18	High
RR	██████	██████	Fairfax Harbor Real Estate LLC	Crystal Pouncy	Overdue
Quality	Rejection		10/3/18	High	Will be submitted this week
RR	██████	██████	Lucy's Landing, LLC	Rachel Wachs	Overdue
Client Outreach	with RM 8		9/15/18	High	Yes
RR	██████	██████	The JWM Generations Trust Share B-JRJ	Crystal Pouncy	Overdue
Quality	Rejection		with RM 8	7/14/18	High
Will be	submitted this week				
RR	██████	██████	Prime Overseas Investments and Enterprises Limited	Vijay Sawant	Overdue
Quality	Rejection				with Client
35	9/15/18	High			
RR	██████	██████	The JWM Generations Trust Share B-AES	Crystal Pouncy	Overdue
Quality	Rejection		with RM 8	7/14/18	High
Will be	submitted this week				
RR	██████	██████	Big River Real Estate, LLC	Rachel Wachs	Overdue
Client Outreach	with RM 8		9/19/18	High	Yes
Remediation	██████	██████	Cerberus Executive, LLC	Vijay Sawant	Overdue
Client Outreach	with RM 60		7/31/18	High	
RR	██████	██████	The JWM Generations Trust Share A-DMH	Crystal Pouncy	Overdue
Quality	Rejection		with Client	6	5/25/18
High	Will be submitted this week				
RR	██████	██████	The JWM Generations Trust Share C-JWM	Crystal Pouncy	Overdue
Quality	Rejection		with Client	6	6/2/18
High	Will be submitted this week				
RR	██████	██████	Cerberus Capital Management, L.P.	Vijay Sawant	Overdue
Quality	Rejection		with RM 16	8/18/18	High
This has over 60 party roles					
Remediation	██████	██████	Franco, Isaac S.	TBD	Overdue
Pending	Approval		with AFC	7/31/18	High
RR	██████	██████	Evergreen Property, LLC	Crystal Pouncy	Overdue
Quality	Rejection		with Client	6	6/6/18
High	Will be submitted this week				
RR	██████	██████	The JWM Generations Trust Share D-DSM	Crystal Pouncy	Overdue
Quality	Rejection		with Client	6	6/7/18
High	Will be submitted this week				
Remediation	██████	██████	Convey, Brian	Armen Brash	Due
soon	Not Managed by CM		10/31/18	High	
Remediation	██████	██████	CP IV QP, LLC	Vijay Sawant	Overdue
Quality	Rejection		with Client	43	6/30/18
High					
RR	██████	██████	Gemini Trust Company, LLC	Akash Bhosle	Due soon
Client Outreach	with Client		12	10/17/18	High
RR	██████	██████	Sillerman Investment Company III, LLC	Adrian Lopez	Overdue
Initial	Due Diligence		8/3/18	High	
RR	██████	██████	Elderberry X, LLC	Adrian Lopez	Overdue
Initial	Due Diligence		8/3/18	High	
RR	██████	██████	Sillerman Investment Corporation	Adrian Lopez	Overdue
Initial	Due Diligence		8/3/18	High	
RR	483289	1977695	Epstein, Jeffrey E.	Mayur Rathod	Overdue
Quality	Rejection		with RM 2	8/8/18	High
Yes	Additional				

neg media; waiting for guidance from DCO

RR	483882	1977703	Southern Financial LLC	Mayur Rathod	Overdue
Pending Approval		with PO	8/8/18 High	No	Additional neg

media; waiting for guidance from DCO

RR	483883	1977695	Southern Trust Company, Inc.	Mayur Rathod	
Overdue Quality Rejection		with RM 2	8/8/18 High	No	

Additional neg media; waiting for guidance from DCO

RR	486427	1977699	J. Epstein Virgin Islands Foundation, Inc		
Mayur Rathod Overdue Pending Approval		with PO	8/8/18 High		
No Additional neg media; waiting for guidance from DCO					

RR	████████	████████	GoldenTree Asset Management UK LLP		Alka
Babu Overdue Client Outreach		with Client	49	8/9/18 High	

RR	████████	████████	Gratitude America Ltd	Mayur Rathod	Overdue
Quality Rejection		with RM 14	8/16/18 High	No	Additional

neg media; waiting for guidance from DCO

RR	████████	████████	NES, LLC	Alka Babu	Overdue Pending
Approval		with MD	8/16/18 High	SUBMITTED	

RR	499733	1977703	LSJE, LLC	Mayur Rathod	Overdue Pending
Approval		with PO	8/18/18 High	No	Additional neg media;

waiting for guidance from DCO

RR	████████	████████	Hyperion Air, LLC	Mayur Rathod	Overdue
Pending Approval		with PO	8/18/18 High	No	Additional neg

media; waiting for guidance from DCO

RR	████████	████████	JEGE, Inc	Alka Babu	Overdue Pending
Approval		with AFC	8/18/18 High	SUBMITTED	

RR	████████	████████	JEGE, LLC	Alka Babu	Overdue Pending
Approval		with AFC	8/18/18 High	SUBMITTED	

RR	████████	████████	Plan D, LLC	Alka Babu	Overdue Pending
Approval		with MD	8/18/18 High	SUBMITTED	

RR	████████	████████	Jeepers, Inc	Alka Babu	Overdue Pending
Approval		with AFC	8/18/18 High	SUBMITTED	

RR	████████	████████	Mort, Inc	Mayur Rathod	Overdue Quality
Rejection		with RM 2	8/18/18 High	No	Additional neg

media; waiting for guidance from DCO

RR	550331	1977695	Zorro Management, LLC	Mayur Rathod	Overdue
Quality Rejection		with RM 2	8/18/18 High	No	Additional

neg media; waiting for guidance from DCO

RR	487199	1977694	The 2007 Jeffrey E. Epstein Insurance Trust #3		
Alka Babu Overdue Pending Approval		with MD	9/6/18 High		
SUBMITTED					

RR	486428	1977694	The Haze Trust	Alka Babu	Overdue Pending
Approval		with MD	9/6/18 High	SUBMITTED	

RR	████████	████████	The Jockey Club PAC	Sandeep Ruparelia	
Overdue Client Outreach		with Client	21	9/12/18 High	

RR	████████	████████	Forest Road Realty, LLC	Hilda Berrios	Overdue
Client Outreach		with RM 14	9/13/18 High	Yes	Will be submitted

this week

RR	████████	████████	Christian Cove, LLC	Hilda Berrios	Overdue
Client Outreach		with RM 14	9/13/18 High	Yes	Will be submitted

this week

RR	████████	████████	Golden Road LLC	Hilda Berrios	Overdue Client
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Outreach this week		with RM 14	9/13/18	High	Yes	Will be submitted
RR	██████	██████	Hernstadt, Edward W.	Jacqueline Holloman		
Overdue	Client	Outreach	with RM 6	9/19/18	High	
RR	██████	██████	Shadowbrook Properties, LLC	Rachel Wachs		
Overdue	Client	Outreach	with RM 30	9/26/18	High	Yes
RR	██████	██████	GoldenTree Asset Management (Ireland) Limited			
Priyanka Mohite	Overdue	Profile Completion		9/27/18	High	
RR	██████	██████	GOLDENTREE EMERGING MARKETS GP LLC	Priyanka		
Mohite	Overdue	Quality Rejection		9/27/18	High	No
RR	██████	██████	MA Multi-Sector Opportunistic Fund GP, LLC			
Priyanka Mohite	Overdue	Quality Rejection		9/27/18	High	No
RR	██████	██████	Hernstadt II, William L.	Clayton Allen		
Overdue	Client	Outreach	with RM 13	9/29/18	High	
RR	██████	██████	Goldentree Asset Management Singapore PTE Ltd			
Alka Babu	Overdue	Client Outreach	with Client	19	10/4/18	
High						
RR	██████	██████	GoldenTree Asset Management, LP	Alka Babu		
Overdue	Client	Outreach	with Client	16	10/4/18	High
RR	██████	██████	Fertitta, Tilman	Preeti Srivastav		
Overdue	Pending	Approval	with AFC	10/5/18	High	SUBMITTED
RR	██████	██████	GNLC Holdings, Inc.	Preeti Srivastav		
Overdue	Pending	Approval	with AFC	10/5/18	High	SUBMITTED
RR	██████	██████	Parkview Associates, LLC	Krupa Shah		
Overdue	Client	Outreach	with Client	20	10/5/18	High
RR	██████	██████	Fertitta Entertainment, Inc.	Preeti		
Srivastav	Overdue	Pending Approval		with AFC	10/5/18	
High	SUBMITTED					
RR	██████	██████	GT NM GP LLC	Krupa Shah	Due soon	
Client	Outreach	with RM	20	10/10/18	High	
RR	██████	██████	GOLDENTREE SPC LLC	Alka Babu	Due	
soon	Pending	Approval		10/10/18	High	
RR	██████	██████	Meritage Group LP	Priyanka Mohite	Due	
soon	Initial	Due Diligence		10/18/18	High	
RR	██████	██████	GOLDENTREE PARTNERS LOAN FUND LLC	Alka		
Babu	Due soon	Client Outreach	with Client	19		
10/20/18		High				
RR	██████	██████	GTIS REOF SPONSOR LLC	Alem Shaikh	Due	
soon	Client	Outreach	with RM 13	10/20/18	High	
RR	██████	██████	GoldenTree Leverage Loan Manager LLC	Pritesh		
Master	Due soon	Client Outreach	with RM 16	10/20/18		
High						
RR	██████	██████	William H. Hernstadt 2016 Irrevocable Trust			
Clayton Allen	Due soon	Initial Due Diligence		10/25/18		
High						
RR	██████	██████	GoldenTree Capital Partners, LLC	Nikita		
Mistry	Due soon	Client Outreach	with RM 22	10/26/18		
High						
RR	██████	██████	GOLDENTREE REINVESTMENT VEHICLE LLC	Nikita		
Mistry	Due soon	Client Outreach	with RM 22	10/26/18		
High						

RR Mohite	Due soon	GoldenTree Credit Opportunities GP LLC	Priyanka
High		Client Outreach with RM 40	10/26/18
RR	Due soon	GoldenTree GTIS SPV LLC	Priyanka Mohite
High	Client Outreach	with RM 40	10/26/18
RR	Due soon	DVI PE (Mauritius) Ltd	Natalie Barak
High	Not Managed by CM	10/31/18	High
RR	Pending Approval	Zises, Selig A	Keyzad Patel
High		11/6/18	High
RR	Due soon	Mediterranean Properties Inc	Keyzad Patel
High	Client Outreach	with Client 6	11/6/18
RR	Pending Approval	Bal Harbour 1604N, LLC	Aracely Sanchez
High		with AFC	11/8/18
RR	Pending Approval	First & 91st LLC	Aracely Sanchez
High		with AFC	11/8/18
RR	Pending Approval	Forkosh, Alexander	Aracely Sanchez
High		with AFC	11/8/18
Remediation	Overdue	Bekkedam, Barry R.	Crystal Pouncy
EXCLUSION	Quality Rejection	with RM 8	8/31/18
Remediation	Overdue	Infinity Lifestyle Brands, LLC	Keyzad
SUBMITTED	Pending Approval	with AFC	7/31/18
Remediation	Overdue	Infinity S Kingswood LLC	Keyzad
SUBMITTED	Pending Approval	with AFC	7/31/18
Remediation	Managed by CM	Herncal Realty Corp	Overdue
High	5/31/18	High	No
RR	Client Outreach	GTAM Allied LLC	Krupa Shah
High	with RM 30	10/10/18	High
Remediation	Overdue	Atlas Real Estate Funds, Inc.	Krupa
High	Client Outreach	with Client 39	8/31/18
Remediation	Overdue	Zoe Hernstadt Irrevocable Trust	Chantelle
High	Pending Approval	with ABR	6/30/18
Remediation	Overdue	W L Hernstadt Est	W L Hernstadt Ii Tr
Rachel Wachs	Pending Approval	with PO	5/31/18
High			No
Remediation	Overdue	W L Hernstadt Est	Wh Hernstadt Tr #2
Rachel Wachs	Pending Approval	with PO	5/31/18
High			No
Remediation	Due soon	The Hawk 3A 2015 GST Trust	Natalie
Barak	Pending Approval	with ABR	
High			
RR	Due soon	BPP F.1 DE Pinnacle L.P.	Pradeep Vaje
High	Client Outreach	with Client 12	11/3/18
RR	Due soon	BPP F.1 DE Tower L.P.	Pradeep Vaje
High	Client Outreach	with Client 12	11/3/18
Remediation	Overdue	Wyser Pratte, Guy	Sandeep
Ruparelia	Client Outreach	with RM 19	10/2/18
High			
RR	Due soon	Blackstone Tactical Opportunities Fund II (Cayman)-	
NQ II, LP	Pradeep Vaje	Due soon	Client Outreach with
Client 12	10/17/18	High	

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