

PIVOT

| Temporary Exceptions Overdue | | Temporary Exceptions Coming Due | | | | | | | |
|------------------------------|-----------------------|---------------------------------|---------------|---------------|----|-----|------|-----|-----|
| Count of Case Number | Column Labels | Count of Customer Name | Column Labels | | | | | | |
| Row Labels | 1-30 | Grand Total | Row Labels | -20 | -3 | -10 | -113 | -29 | -81 |
| Grand Total | | | | | | | | | |
| COVERAGE | | | | | | | | | |
| Brian M. Biggar | Brian M. Biggar | | | | | | | | |
| Brian M. Biggar | Malcolm Kimble | 1 | | 1 | | | | | |
| Andrea Nicoletti | | 1 | 1 | Paul Morris | 1 | 1 | | | |
| Terrence Tangney | | 1 | 1 | (blank) | | | | | |
| Kimberly Hart | Cindy L. Loudenslager | 1 | 1 | | 1 | | | | |
| Terrence Tangney | | 1 | 1 | Deirdre Judge | 1 | 1 | | | |
| Grand Total | 3 | 3 | Kimberly Hart | | | | | | |
| Terrence Tangney | | 1 | 1 | | | | | | |
| Andrea Nicoletti | | 1 | 1 | | | | | | |
| Grand Total | 1 | 1 | 1 | 1 | 1 | 1 | 6 | | |

For internal use only

All Temp Exceptions Overdue

&"Arial,Bold"DECEMBER 2009

Temporary Exceptions- Domestic (All Overdue) 4/1/2014

Overdue by more than 90 days/Closing Queue

Overdue by more than 60 days

Overdue by more than 30 days

| Case Number | Customer Number | Customer Name | Customer Record Type | Relationship Number | Cust |
|--|-------------------|---|--------------------------------------|---------------------|---------------------|
| Owner | Exception Expires | Days Overdue | Reason for Exception (If Applicable) | EM | |
| MSR Silo | | | | | |
| 01190331 | 00000490522 | 45 Rockefeller Associates / Neuberger, T-I-C, | CEW Partners as Chief | | |
| Tenant Prospect | 00000164021 | Andrea Nicoletti | 3/28/2014 | 4 | TE request f |
| or Hold Harmless agreemetns to be presented with Account opening documents. | | | | | Brian M. Biggar New |
| York COVERAGE | | | | | |
| 01191461 | 00000432753 | Silverman, Phyllis | Client 00000175329 | | Terrence Tangney |
| 3/14/2014 | 18 | 30 Day TE for Affidavit that POA is in Full Force. | | | Brian M. Biggar New |
| York COVERAGE | | | | | |
| 01205604 | 00000491307 | Greener Pastures Foundation | Client 00000175329 | | Terrence Tan |
| gney 3/30/2014 | 2 | 10 day temp exceptions: Photo ID, SS# and DOB for Sam Warwar, Photo | | | |
| ID, and DOB for Brewer Ezzell, Revised/corrected Corporate Resolution and Incumbency which correctly | | | | | |
| reflects Randall Gunlock as the President. | | Kimberly Hart | New York | | COVERAGE |

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All Exceptions Due In

&"Arial,Bold"DECEMBER 2009

Temporary Exceptions- Domestic 4/1/2014

Overdue by more than 90 days/Closing Queue Due in

Overdue by more than 60 days

Overdue by more than 30 days

| Case Number | Customer Number | Customer Name | Customer Record Type | Relationship Number | Cust |
|-------------------------------|-----------------------------------|--|--------------------------------------|---------------------|-----------------------|
| Owner | Exception Expires | Days Overdue | Reason for Exception (If Applicable) | EM | |
| MSR 01139183 olm Kimble | Silos 00000487551 7/23/2014 | Russell and Willene Dobson Foundation -113 | Foundation | Client 00000416846 | Malc |
| | | IRS exemption letter:6 month extension is approved. I unders | | | |
| | | tand that the process to receive charitable status can be quite lengthy, and last years IRS shutdown | | | |
| | | certainly exacerbated the the situation | Brian M. Biggar New York | COVERAGE | |
| 01148936 r | 00000489105 4/4/2014 | 400 Fifth 53H LLC -3 | Client | 00000486645 | Cindy L. Loudenslage |
| | | TE extended based on signed and dated copy of EIN application that w | | | |
| | | as provided. | New York | COVERAGE | |
| 01154932 etti | 00000028642 4/30/2014 | Benenson Funding Corporation -29 | Client | 00000163011 | Andrea Nicol |
| | | Temporary exception granted for the following: 1. CFP to obtain 3 tr | | | |
| | | ust agreements (see attached email) 2. ABR to update Risk Calculator and Checklist and attach them t | | | |
| | | o KYC. Kimberly Hart New York | COVERAGE | | |
| 01195100 /2014 | 00000483289 -10 | Epstein, Jeffrey | Client | 00000483290 | Paul Morris 4/11 |
| | | Temporary Exception granted to obtain a corrected copy of the POA Form granting Mr. | | | |
| | | Indyke authority to act as agent on Mr. Epstein's personal account. The intent to add him is clear h | | | |
| | | owever the Form was not completed correctly. | Brian M. Biggar New York | COVERAGE | |
| 01201674 dre Judge | 00000415687 6/21/2014 | Richard P. Brown Irrevocable Trust -81 | Client | 00000228455 | Deir |
| | | Standard temporary 90 exception granted for new EIN's for ea | | | |
| | | ch account. DBNTC will apply for new EINs when the new accounts open. | Los Angeles | COVERAGE | |
| 01204231 /2014 | 00000491271 -20 | RG Indiana, LLC Kimberly Hart New York | Client | 00000175329 | Terrence Tangney 4/21 |
| | | | COVERAGE | | |

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