

PIVOT

Temporary Exceptions Overdue		Temporary Exceptions Coming Due							
Count of Case Number	Column Labels	Count of Customer Name	Column Labels						
Row Labels	1-30	Grand Total	Row Labels	-91	-83	-1	-51	-14	-4
Grand Total									
COVERAGE									
Brian M. Biggar	Brian M. Biggar	1	1						
Paul Morris	Malcolm Kimble	1	Rosemary Vrablic	1	1				
Kimberly Hart	(blank)	1	Deirdre Judge	1	1				
Joanne Jensen	1	1							
(blank) Kimberly Hart									
Cindy L. Loudenslager	1	1	Terrence Tangney	1	1	2			
Grand Total	3	3	Brian Convey	1	1				
Grand Total	1	1	1	1	1	6			

For internal use only

All Temp Exceptions Overdue

&"Arial,Bold"&#12DECEMBER 2009

Temporary Exceptions- Domestic (All Overdue) 5/1/2014

Overdue by more than 90 days/Closing Queue

Overdue by more than 60 days

Overdue by more than 30 days

Case Number	Customer Number	Customer Name	Customer Record Type	Relationship Number	Cust
owner Owner	Exception Expires	Days Overdue	Reason for Exception (If Applicable)	EM	
MSR Silo					
[REDACTED]	[REDACTED]	400 Fifth 53H LLC	Client [REDACTED]	Cindy L. Loudenslage	
r 4/4/2014	27	TE extended based on signed and dated copy of EIN application that w			
as provided.	New York	COVERAGE			
[REDACTED]	[REDACTED]	Carlyle Cardinal Ireland Fund, LP	Prospect	[REDACTED]	
Joanne Jensen	4/28/2014	3	Temporary Exception granted to run searches for CJIP III GP		
LP Kimberly Hart	New York	COVERAGE			
01195100	00000483289	Epstein, Jeffrey	Client 00000483290	Paul Morris	4/11
/2014 20		Temporary Exception granted to obtain a corrected copy of the POA Form granting Mr.			
Indyke authority to act as agent on Mr. Epstein's personal account. The intent to add him is clear h		however the Form was not completed correctly. Brian M. Biggar New York		COVERAGE	
[REDACTED]	[REDACTED]	Teiva Securityholders Representative, LLC	Client [REDACTED]		
Joanne Jensen	4/25/2014	6 Kimberly Hart	New York	COVERAGE	

For internal use only

All Exceptions Due In

&"Arial,Bold"&#12DECEMBER 2009

Temporary Exceptions- Domestic 4/1/2014

Overdue by more than 90 days/Closing Queue Due in

Overdue by more than 60 days

Overdue by more than 30 days

Case Number	Customer Number	Customer Name	Customer Record Type	Relationship Number	Cust
Owner	Exception Expires	Days Overdue	Reason for Exception (If Applicable)		EM
MSR [REDACTED] Silos	[REDACTED]	The Women Global Cancer Initiative, Inc.		Client [REDACTED]	
Brian Convey	7/31/2014	-91	Temporary Exception to accept not for profit organization until they get their approval from IRS - pls see email attached	Kimberly Hart New York	COVERAGE
[REDACTED] olm Kimble	7/23/2014	-83	Russell and Willene Dobson Foundation IRS exemption letter:6 month extension is approved. I understand that the process to receive charitable status can be quite lengthy, and last years IRS shutdown certainly exacerbated the the situation	Brian M. Biggar New York	COVERAGE
[REDACTED] Rosemary Vrablic	5/2/2014	-1	LS Power Equity Partners III, L.P	Brian M. Biggar New York	COVERAGE
[REDACTED] dre Judge	6/21/2014	-51	Richard P. Brown Irrevocable Trust Standard temporary 90 exception granted for new EIN's for each account. DBNTC will apply for new EINs when the new accounts open.	Client [REDACTED] Los Angeles	Deir COVERAGE
[REDACTED] /2014	-14	Kimberly Hart	RG Indiana, LLC	Client [REDACTED] New York	Terrence Tangney COVERAGE
[REDACTED] Terrence Tangney	5/5/2014	-4	Judy Laufer Irrevocable Trust DTD 11/23/2012 30 Day TE for W9	Client [REDACTED] Kimberly Hart New York	
[REDACTED] t	6/23/2014	-53	Zeno Capital LLC Tempory Exception from Compliance to be turned to Permanent as soon as Account is closed	Prospect [REDACTED] Michael J. Davis Los Angeles	Susan Burnet COVERAGE
[REDACTED] -21	Kimberly Hart	New York	Black, Leon D.	Client [REDACTED]	Paul Morris 5/22/2014
[REDACTED] blic	5/2/2014	-1	Two Hundred Lafayette LLC Temporary Exception granted to: 1. add address and nature of business for i) 200 Kushner Member LLC and ii) JJMC LLC iii) perform RDC and PCR for i and ii above 2. add name of owner of JJMC LLC to KYC AND perform PCR and RDC for them.	Client [REDACTED] Kimberly Hart New York	Rosemary Vra COVERAGE
[REDACTED] 5/12/2014	-11	Kimberly Hart	Meyer, Jr. , William A.	Client [REDACTED] New York	Terrence Tangney COVERAGE

For internal use only