

Walker

Customer Number	Customer Name	Type	* High Risk	* Moderate Risk	Annual Client Update Due Date
e	Auto Exempt Reason	Exemption Reason	Exemption Reason	Customer Owner	
	Ripplewood Advisors LLC	Client	Y	N	4/19/2017
	Cohen, Lawrence	Client	N	Y	9/29/2017
	Lorani Partners Corporation	Client	N	Y	10/7/2017
	Podesta, Anthony	Client	Y	N	10/17/2017

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