

Kirby

Customer Number	Customer Name	Type	* High Risk	* Moderate Risk	Annual Client Update	Due Date
e	Auto Exempt Reason	Exemption Reason	Exemption Reason	Customer Owner		
	IPE Limited	Client	Y	N	6/14/2017	Heather Kirby
	Irrawaddy Policy Exchange Trust	Client	Y	N	6/14/2017	Heather Kirb
	Trust f/b/o Louise G. Collins u/a Kevin J. Collins	Client	N	N	12/12/02	Heather Kirby
	Boehly, Todd L.	Client	N	Y	8/23/2017	Heather Kirby
	NZC 136, LLC	Client	N	Y	9/1/2017	Heather Kirby
	NZC LA, LLC	Client	N	Y	9/20/2017	Heather Kirby
	George Soros Revocable Trust	Client	Y	N	10/25/2017	Heather Kirb
	NZC CO, LLC	Client	N	Y	11/15/2017	Heather Kirby
	NZC SC, LLC	Client	N	Y	11/15/2017	Heather Kirby
	McElvenny, Ralph W.	Client	N	Y	12/20/2017	Heather Kirby
	ASL Media Holdings, LLC	Client	Y	N	2/10/2018	Heather Kirby

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