

Vrabolic

Customer Number	Customer Name	Type	* High Risk	* Moderate Risk
Annual Client Update Due Date	Auto Exempt Reason	Reason	Exemption	
Reason	Customer Owner			
	AGP Holdings One LLC	Client	N	Y
	Rosemary Vrabolic			
	Hals Realty Associates LP	Client	N	Y
	Rosemary Vrabolic			
	Affordable Equity Partners Inc	Client	N	Y
	Rosemary Vrabolic			
	Relsure America Inc	Client	N	Y
	Rosemary Vrabolic			
	Higgins, Matthew T.	Client	N	N
	Rosemary Vrabolic			
	TZP Capital Partners I DLT (AIV) LP	Client	N	
1/2017	Rosemary Vrabolic			
	Rockpoint Growth and Income Real Estate Fund I LP (DE)			
Y	4/21/2017	Rosemary Vrabolic		
	AEP Financial Services LLC	Client	N	Y
	Rosemary Vrabolic			
	85 Tenth Avenue Associates LLC	Client	N	Y
	Rosemary Vrabolic			
	Lone Star Fund VI (U.S.), L.P.	Client	Y	N
	Rosemary Vrabolic			
	Oak Plaza Associates (Del) LLC	Client	Y	N
	Rosemary Vrabolic			
	Ross, Stephen M.	Client	N	Y
	Rosemary Vrabolic			
	Trans Union Interstate Pipeline LP	Client	N	
9/2017	Rosemary Vrabolic			
	KSE UK, INC	Client	N	Y
				5/13/2017
	EIF United States Power Fund IV LP	Client	N	
9/2017	Rosemary Vrabolic			
	Desnick, Ahuva	Client	Y	N
				5/20/2017
	Desnick, James	Client	Y	N
				5/20/2017
	Related General II LP	Client	N	Y
	Rosemary Vrabolic			
	The Related Companies LP	Client	N	Y
	Rosemary Vrabolic			
	The ABEN Liquidating Trust	Client	Y	N
	Rosemary Vrabolic			
	DBX Holdings One LLC	Client	N	Y
	Rosemary Vrabolic			
	Washington Park Fidelco LLC	Client	N	Y
	Rosemary Vrabolic			
	Altchek, M.D., David W.	Client	N	N
	Rosemary Vrabolic			
	Institute for Community Living Inc	Client	N	

Y	6/2/2017	Rosemary Vrablic				
		MEI-GSR Holdings, LLC	Client	N	Y	
		Rosemary Vrablic				
		Goldsmith, James	Client	N	Y	
		Rosemary Vrablic				
		Kanders, Warren B.	Client	N	Y	
		Rosemary Vrablic				
		Gator St. Andrews LLC	Client	N	Y	
		Rosemary Vrablic				
		Gator Hillside Village, LLC	Client	N	Y	
		Rosemary Vrablic				
		Abrams, Stephanie	Client	N	Y	
		Rosemary Vrablic				
		Haros, Nicholas	Client	N	Y	6/20/2017
		Rosemary Vrablic				
		Ingram, Terese	Client	N	N	6/23/2017
		Rosemary Vrablic				
		16 West 12 Holdings LLC	Client	N	Y	
		Rosemary Vrablic				
		MX Holdings One, LLC	Client	N	N	
		Rosemary Vrablic				
		GEH Air Transportation LLC	Client	N	Y	
		Rosemary Vrablic				
		Lewin, Jeffrey Michael	Client	N	Y	
		Rosemary Vrablic				
		Ashken, Ian	Client	N	Y	7/5/2017
		Rosemary Vrablic				
		Jacobs Private Equity, LLC	Client	N	Y	
		Rosemary Vrablic				
		Newamco, LLC	Client	N	Y	7/5/2017
		Rosemary Vrablic				
		New Castagna, LLC	Client	N	Y	
		Rosemary Vrablic				
		SMR 2014 Irrevocable Trust III	Client	N	Y	
		Rosemary Vrablic				
		SMR 2014 Irrevocable Trust II	Client	N	Y	
		Rosemary Vrablic				
		Chartouni, Fouad	Client	N	Y	
		Rosemary Vrablic				
		Gellert, George	Client	N	N	7/14/2017
		Rosemary Vrablic				
		Smith, Marla	Client	N	N	7/18/2017
		Rosemary Vrablic				
		Macandrews Deluxe Holdings LLC	Client	N	Y	
		Rosemary Vrablic				
		Railroad Properties, LLC	Client	N	Y	
		Rosemary Vrablic				
		Infinity 1200 Ocean Drive, LLC	Client	Y	N	
		Rosemary Vrablic				
		Edward Ingram, Benjamin	Client	N	N	
		Rosemary Vrablic				

8/2/2017

	Clinton Group Inc	Client	N	N
	Rosemary Vrablic			
	Butenhoff, Kimberly	Client	N	N
	Rosemary Vrablic			
	Sobel, Peter	Client	N	Y
				8/15/2017
ablic	AL Infinity, LLC	Client	Y	N
	Rosemary Vrablic			
	Sobel, Jonathan A.	Client	N	Y
	Rosemary Vrablic			
	Isaac Franco Alaska Trust	Client	Y	N
	Rosemary Vrablic			
	Kanders CCP Holdings LLC	Client	N	Y
	Rosemary Vrablic			
	SMR 2014 Irrevocable Trust II FBO Kimberly Ross	Client		
	8/26/2017	Rosemary Vrablic		
	SMR 2014 Irrevocable Trust II FBO Jennifer Ross	Client		
	8/26/2017	Rosemary Vrablic		
	Arclight Energy Partners Fund V LP	Client	N	
9/2017	Rosemary Vrablic			
	Infinity Lifestyle Brands, LLC	Client	Y	N
	Rosemary Vrablic			
	AEP Feeder Fund V-B, LLC	Client	N	Y
	Rosemary Vrablic			
	The Bollag Family Trust	Client	N	N
	Rosemary Vrablic			
	Rockpoint Real Estate Fund V Offshore AIV LP	Client		
	9/1/2017	Rosemary Vrablic		
	Chocolas LLC	Client	N	Y
				9/1/2017
ablic	Rockpoint Real Estate Fund V LP	Client	N	Y
	Rosemary Vrablic			
	Silver, Morton F.	Client	N	Y
	Rosemary Vrablic			
	Zises, Selig A	Client	Y	N
				9/6/2017
ablic	The Juliana Meryl Jacobs Trust	Client	N	Y
	Rosemary Vrablic			
	225 Fourth LLC	Client	N	Y
				9/6/2017
ablic	Sugar & Plumm Upper West, LLC	Client	N	Y
	Rosemary Vrablic			
	Thomas A. Berkenkamp Insurance Trust Dated 7/11/02			
	N	9/11/2017	Rosemary Vrablic	
	Marla S. Smith Insurance Trust Dated 7/11/02	Client		
	9/11/2017	Rosemary Vrablic		
	Carve Designs Holdings LLC	Client	N	Y
	Rosemary Vrablic			
	Kanders Carve LLC	Client	N	Y
	Rosemary Vrablic			
	Desnick Descendants Irrevocable Trust	Client	Y	

N	9/14/2017	Rosemary Vrablic						
		Jordan Desnick Minority Trust	Client	Y			N	
		Rosemary Vrablic						
		Michelle Desnick Minority Trust dtd 8/14/89					Client	
	9/14/2017	Rosemary Vrablic						
		Infinity NYY2, LLC	Client	Y			N	
		Rosemary Vrablic						
		Infinity Brands, LLC	Client	Y			N	
		Corporate/PIC/Other Entity Accounts - See Owner/Signatory's						
		Rosemary Vrablic						
		Infinity Wooster Street LLC	Client	Y			N	
		Rosemary Vrablic						
		YGE Branding, LLC	Client	Y			N	
		Rosemary Vrablic						
		SCP Baseball, LP	Client	Y			N	
		Rosemary Vrablic						
		355 Greenwich, LLC	Client	N			Y	
		Rosemary Vrablic						
		Karlton, Fredric N.	Client	N			Y	
		Rosemary Vrablic						
		500 West 30th LLC	Client	N			Y	
		Rosemary Vrablic						
		AGS Ventures II LLC	Client	N			Y	
		Rosemary Vrablic						
		The Related Companies, Inc.	Client	N			Y	
		Rosemary Vrablic						
		Love 300 LLC	Client	N	Y		9/26/2017	
		Rosemary Vrablic						
		Kassin Brothers LLC	Client	N			Y	
		Rosemary Vrablic						
		Lang, Barry	Client	N	N		9/27/2017	
		Rosemary Vrablic						
		Barry Lang Living Trust	Client	N			N	
		Rosemary Vrablic						
		Infinity Equity Ventures LLC	Client	Y			N	
		Rosemary Vrablic						
		Desnick, Jordan	Client	Y	N		9/28/2017	
		Rosemary Vrablic						
		Lone Star Fund VIII (Bermuda) LP				Client	Y	
	8/2017	Rosemary Vrablic						
		Lone Star Fund VIII (US), L.P	Client	Y			N	
		Rosemary Vrablic						
		Bollag, Michael	Client	N	N		9/28/2017	
		Rosemary Vrablic						
		Comsup Minerals Ltd	Client	Y			N	
		Rosemary Vrablic						
		Comsup Ltd.	Client	Y	N		9/28/2017	
		Rosemary Vrablic						
		Comsup Commodities Inc	Client	Y			N	
		Rosemary Vrablic						
		Estate of Freda L Hartfield	Client	N			N	

9/29/2017

	Rosemary Vrablic					
	Simon, Herbert	Client	N	Y	9/29/2017	
	ablic					
	Westbury Management Group LLC	Client	Y	N		
	Rosemary Vrablic					
	Fund and Subscription Finance	Client	N	Y		
	Rosemary Vrablic					
	Kanders & Company Inc	Client	N	Y		
	Rosemary Vrablic					
	Aretsky, Kenneth	Client	N	Y		
	Rosemary Vrablic					
	Personal Care Group Inc	Client	N	Y		
	Rosemary Vrablic					
	Capitol News Company, LLC	Client	Y	N		
	Rosemary Vrablic					
	Perpetual Air, LLC	Client	Y	N		
	Rosemary Vrablic					
	Zivile, Mituzaitte-Berley	Client	N	Y		
	Rosemary Vrablic					
	Palisades-Calistoga Resort, L.P.	Client	N			
20/2017	Rosemary Vrablic					
	Patroon, LLC	Client	N	Y	10/31/2017	
	ablic					
	509 Madison Avenue Associates, LP	Client	N			
1/2017	Rosemary Vrablic					
	Walsam 160 Lexington Avenue, LLC	Client	N			
8/2017	Rosemary Vrablic					
	Milber 219 Mamaroneck LLC	Client	N	Y		
	Rosemary Vrablic					
	45 E 22, LLC	Client	N	Y	11/8/2017	
	ablic					
	Walter & Samuels, Inc	Client	N	Y		
	Rosemary Vrablic					
	22 Ericsson Owner LLC	Client	N	Y		
	Rosemary Vrablic					
	Infinity LW 12th Street, LLC	Client	Y	N		
	Corporate/PIC/Other Entity Accounts - See Owner/Signatory's					
	Rosemary Vrablic					
	1960 East 5th Street, LLC	Client	Y	N		
	Rosemary Vrablic					
	Stallion 44, LLC	Client	Y	N		
	Rosemary Vrablic					
	MISS AMERICA PROPERTIES, LLC	Client	Y	N		
	Rosemary Vrablic					
	Franco, Isaac S.	Client	Y	N		
	Rosemary Vrablic					
	I Like Ike, LLC	Client	Y	N	11/10/2017	
	PIC/Other Entity Accounts - See Owner/Signatory's ACU					Rosemary
	Isaac Franco 2001 Grantor Retained Annuity Trust	Client				
	11/10/2017	Trust Client-See ACU for Grantor or				

Y N

Trustee Rosemary Vrablic

		Infinity Sports Licensing, LLC	Client	Y	N
		Rosemary Vrablic			
		Infinity S Kingswood LLC	Client	Y	N
		Rosemary Vrablic			
		Infinity AL Holdings, LLC	Client	Y	N
		Corporate/PIC/Other Entity Accounts - See Owner/Signatory's			
		Rosemary Vrablic			
		Isaac Franco 2008 Irrevocable Trust	Client	Y	
10/2017		Rosemary Vrablic			
		Isaac Franco 2002 Grantor Retained Annuity Trust	Client		
	11/10/2017	Trust Client-See ACU for Grantor or			
		Rosemary Vrablic			
		Infinity Entertainment, LLC	Client	Y	N
		Corporate/PIC/Other Entity Accounts - See Owner/Signatory's			
		Rosemary Vrablic			
		I Like Ike Kingswood, LLC	Client	Y	N
		Corporate/PIC/Other Entity Accounts - See Owner/Signatory's			
		Rosemary Vrablic			
		IUC 701 Times Square LLC	Client	Y	N
		Rosemary Vrablic			
		Bestin Worldwide Limited LLC	Client	N	Y
		Rosemary Vrablic			
		Silverstein, Larry A.	Client	N	Y
		Rosemary Vrablic			
		Zeckendorf, William Lie	Client	N	Y
		Rosemary Vrablic			
		Gerald and Joan Colangelo Family Trust Agreement Dated			
94		Client	N	N	11/21/2017
		Rosemary Vrablic			
		Cella Bella, LLC	Client	N	Y
		Rosemary Vrablic			
		Orland Oil & Gas LLC	Client	N	Y
		Rosemary Vrablic			
		Bollag Descendants POT Trust	Client	N	N
		Rosemary Vrablic			
		Ingram, Jonathan	Client	N	N
		Rosemary Vrablic			
		Walsam 130 Mad LLC	Client	N	Y
		Rosemary Vrablic			
		FR XIII Charlie AIV LP	Client	N	N
		Rosemary Vrablic			
		229 Cherry Street LLC	Client	N	Y
		Rosemary Vrablic			
		New Park Row LLC	Client	N	Y
		Rosemary Vrablic			
		The Kanders 2012 LM Investment Trust	Client	N	
1/2017		Rosemary Vrablic			
		SP Investment Corp	Client	N	Y
		Rosemary Vrablic			
		TZP Holding Vehicle I SP LLC	Client	N	Y
		Rosemary Vrablic			

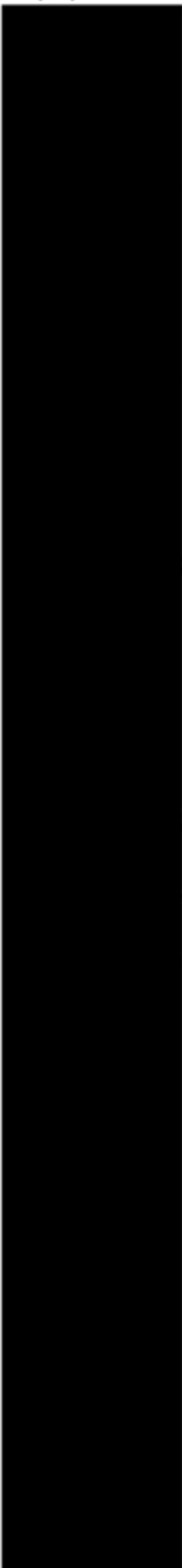
12/1/2017

	TZP Holding Vehicle I SP (PIV) LLC	Client	N		
1/2017	Rosemary Vrablic				
	TZP Capital Partners I SP (AIV) LP	Client	N		
1/2017	Rosemary Vrablic				
	TZP Capital Partners I SP (PIV-AIV) LP	Client	N		
1/2017	Rosemary Vrablic				
	TZP Holding Vehicle I DLT LLC	Client	N		Y
	Rosemary Vrablic				
1/2017	TZP Holding Vehicle I DLT (PIV) LLC	Client	N		
	Rosemary Vrablic				
1/2017	TZP Capital Partners I DLT (PIV-AIV) LP	Client	N		
	Rosemary Vrablic				
	Kanders MK LLC	Client	N	Y	12/1/2017
ablic					
	MK-K LLC	Client	N	Y	12/1/2017
ablic					
	Mediterranean Properties Inc	Client	N		N
	Rosemary Vrablic				
	The Mahler Trust	Client	N	Y	
	Rosemary Vrablic				
	Ward Capital, LLC	Client	N		N
	Rosemary Vrablic				
5/2017	2011 M&T Bollag Family Irrevocable Trust	Client	N		
	Rosemary Vrablic				
	DZB 2014 Irrevocable Trust	Client	N		N
	Rosemary Vrablic				
	Chaparral Five, LLC	Client	N		N
	Rosemary Vrablic				
	Sunny Hill, LLC	Client	N	Y	12/5/2017
ablic					
	English village LLC	Client	N	Y	
	Rosemary Vrablic				
	Berlman, LLC	Client	N	Y	12/5/2017
ablic					
	Kanders LM LLC	Client	N	N	12/5/2017
ablic					
	JEMY 9604, LLC	Client	N	Y	12/5/2017
ablic					
	Lillie, James	Client	N	N	12/5/2017
ablic					
	Jacobs, Lamia	Client	N	Y	12/5/2017
ablic					
	DZB, LLC	Client	N	Y	12/5/2017
ablic					
	Kanders SAF Investment Trust	Client	N		Y
	Rosemary Vrablic				
	Menands Park LLC	Client	N	Y	
	Rosemary Vrablic				
rust	Kurt W. Butenhoff and Kimberly A. Butenhoff Joint Life	Client	N	Y	12/5/2017
	Rosemary Vrablic				
	Brookview Court Apartments LLC	Client	N		Y

12/5/2017

	Rosemary Vrablic						
	Clarus LLC	Client	N	Y		12/5/2017	
ablic							
	Kanders IMI, LLC		Client	N	Y		
	Rosemary Vrablic						
	Colonie East LLC		Client	N	Y		
	Rosemary Vrablic						
	Sterling Management Corp		Client	N	Y		
	Rosemary Vrablic						
	Bogota Associates		Client	N	Y		
	Rosemary Vrablic						
	85 Tenth First Mezz Associates LLC		Client	N			
5/2017	Rosemary Vrablic						
	85 Tenth Senior Mezz Associates LLC		Client	N			
5/2017	Rosemary Vrablic						
	85 Tenth Junior Mezz Associates LLC		Client	N			
5/2017	Rosemary Vrablic						
	TZP Capital Partners I LP		Client	N	Y		
	Rosemary Vrablic						
	Meister, Keith A.		Client	N	Y		
	Rosemary Vrablic						
	Jacobs, Brad	Client	N	Y		12/6/2017	
ablic							
	The Article SECOND Trust u/a/d 11/22/12 for Issue of Ellen						
ent	N	N	12/6/2017		Rosemary Vrablic		
	The Ponds LLC	Client	N	N		12/7/2017	
ablic							
	The Kanders 2010 Family Trust		Client	N	Y		
	Rosemary Vrablic						
	SMR Trust No. 1 for Kimberly Ross and Jennifer Ross						
Y	12/7/2017		Rosemary Vrablic				
	SBIDF, LLC	Client	N	Y		12/7/2017	
ablic							
	Palm V Associates LP		Client	N	Y		
	Rosemary Vrablic						
	Power Love Associates LP		Client	N	Y		
	Rosemary Vrablic						
	IRE-NG 1715 E. 13th Street, LLC	Client	Y		N		
	Rosemary Vrablic						
	S Franco Realty, LLC	Client	Y		N		
	Corporate/PIC/Other Entity Accounts - See Owner/Signatory's						
emary	Vrablic						
	ISF Parkway LLC	Client	Y	N		12/8/2017	
ablic							
	Franco Realty Group, LLC		Client	Y	N		
	Rosemary Vrablic						
	Community Organizations, LLC		Client	Y	N		
	Rosemary Vrablic						
	Gator Newport News Partners II, LLLP		Client	N			
8/2017	Rosemary Vrablic						
	Gator Hampton Partners, LLLP		Client	N	Y		

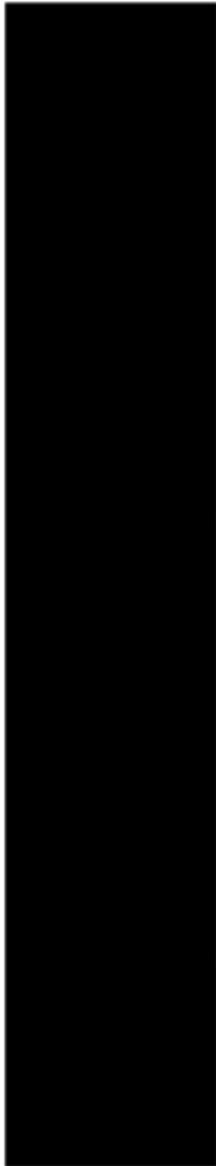
12/8/2017



Rosemary Vrablic					
469 Avenue S LLC	Client	Y		N	
Rosemary Vrablic					
Infinity PLR 44, LLC	Client	Y		N	
Rosemary Vrablic					
TF Associates	Client	N	Y		12/8/2017
ablic					
SP Investment Vehicle LLC			Client	N	Y
Rosemary Vrablic					
Katzoff, Stuart	Client	Y	N		12/23/2017
ablic					
Katzoff, Gerald	Client	Y	N		12/23/2017
ablic					
Milber Holding LLC	Client	N		Y	
Rosemary Vrablic					
Cole, Jeffrey E.	Client	N		N	
Rosemary Vrablic					
Homestead Village Associates I LLC			Client	N	
/2018	Rosemary Vrablic				
Industrial Associates	Client	N		Y	
Rosemary Vrablic					
Kanders Aviation Llc	Client	N		N	
Rosemary Vrablic					
Community Cares Local Development Corporation			Client		
1/4/2018	Rosemary Vrablic				
LSF6 Affiliate Finance (U.S.), LLC			Client	N	
/2018	Rosemary Vrablic				
Gator Newport News Partners, LLLP			Client	N	
1/2018	Rosemary Vrablic				
Blau, Jeff	Client	N	Y		1/11/2018
ablic					
Gator Kietzke LLC	Client	N		Y	
Rosemary Vrablic					
Kroenke, Josh	Client	N	N		1/12/2018
ablic					
Kroenke, Whitney	Client	N		N	
Rosemary Vrablic					
Carson Valley Center, LLC			Client	N	Y
Rosemary Vrablic					
Nevada Land III LLC	Client	Y		N	
Rosemary Vrablic					
SK Baseball Holdings LLC			Client	Y	N
Rosemary Vrablic					
The Elyse Franco 2016 Trust			Client	Y	N
Rosemary Vrablic					
TKG Cranston Development, LLC			Client	N	N
Rosemary Vrablic					
Kroenke, E. Stanley	Client	N		Y	
Rosemary Vrablic					
Lone Star DDD Land and Cattle Company LLC					Client
1/12/2018	Rosemary Vrablic				

N Y

	Kanders GMP Holdings, LLC	Client	N	N	
	Rosemary Vrablic				
	LW 12th Street Holding, LLC	Client	Y	N	
	Rosemary Vrablic				
	XPO LOGISTICS, INC.	Client	N	N	
	Rosemary Vrablic				
	Aventura Investment Partners, LLC	Client	N		
15/2018	Rosemary Vrablic				
ablic	FILS 9604, LLC	Client	N	N	1/25/2018
	Ferrer & Poirot, G.P	Client	N	Y	
	Rosemary Vrablic				
ablic	Silver-Park Co	Client	N	Y	2/6/2018
ablic	Silver, Thomas	Client	N	N	2/6/2018
	NE 1ST AVENUE DEVELOPMENT LLC	Client	N	Y	
	Rosemary Vrablic				
	FIRST RESERVE FUND XIII, LP	Client	N	Y	
	Rosemary Vrablic				
	AQL Hartwell LLC	Client	Y	N	
	Rosemary Vrablic				
	Seminole Housing LLC	Client	Y	N	
	Rosemary Vrablic				
	Gator Flower Mound, LLC	Client	N	Y	
	Rosemary Vrablic				
	The Estate of Stuart Goldsmith	Client	N	N	
	Rosemary Vrablic				
	Kassin, Albert J.	Client	N	Y	
	Rosemary Vrablic				
	The Shenandoah 2008 Trust	Client	N	Y	
	Rosemary Vrablic				
	Reno Soccer Investors LLC	Client	N	Y	
	Rosemary Vrablic				
	FR XIII Foxtrot AIV LP	Client	N	N	
	Rosemary Vrablic				
ablic	APOGEE 801 LLC	Client	N	Y	3/23/2018
	IRE 353 Newbury LLC	Client	Y	N	
	Rosemary Vrablic				
ablic	Butenhoff, Kurt	Client	N	N	4/5/2018
	JDM Partners, LLC	Client	N	N	
	Rosemary Vrablic				
ablic	Kushner, Murray	Client	Y	N	4/25/2018
	M.Kushner Investment Fund, LLC	Client	Y	N	
semary Vrablic	Corporate/PIC/Other Entity Accounts - See Owner/Signatory's				
alpha LP	Rockpoint Growth and Income Real Estate Parallel Fund II-	Client	N	Y	4/27/2018
	Rosemary Vrablic				



Rockpoint Growth and Income Real Estate Fund II LP
 Y 4/27/2018 Rosemary Vrablic
 Infinity Biscayne Preferred LLC Client Y N
 Rosemary Vrablic
 The Isaac S. Franco 2012 Trust Client Y N
 Rosemary Vrablic
 The Elyse Franco 2012 Trust Client Y N
 Rosemary Vrablic
 Gator Greatwoods, LLC Client N Y
 Rosemary Vrablic
 Infinity-Seven Bleecker, LLC Client Y N
 Rosemary Vrablic
 Rockpoint Growth and Income Real Estate On-Shore Fund I LP
 ent N Y 5/2/2018 Rosemary Vrablic
 SLO Promenade DE LLC Client N Y
 Rosemary Vrablic
 2770 Broadway Owner LLC Client N Y
 Rosemary Vrablic
 TKG VAN DORN PLAZA, LLC Client N Y
 Rosemary Vrablic
 Berley, David I. Client N N
 Rosemary Vrablic
 IL MULINO USA, LLC Client Y N
 Rosemary Vrablic
 Altitude Sports & Entertainment, LLC Client N
 0/2018 Rosemary Vrablic
 Riverside 7 Hills LLC Client N N
 Rosemary Vrablic
 Perpetual Aviation, LLC Client Y N
 Rosemary Vrablic
 LSF8 Affiliate Finance (US) LLC Client Y N
 Rosemary Vrablic

5/18/2018

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