

Moore

| Customer Number | Customer Name | Type | * High Risk | * Moderate Risk |
|-------------------------------|--------------------|--------|-------------|-----------------|
| Annual Client Update Due Date | Auto Exempt Reason | Reason | Exemption | |

| | | | | | |
|--|-------------------------|--------|---|---|-----------|
| | MACK, MARGARET B. | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | CHRISTOPHER WILEY TRUST | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | Aresty, Patricia P. | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | Aresty, Jeffrey | Client | N | N | 4/26/2017 |

Moore

| | | | | | |
|--|-----------------------------------------------------|-----------|--------------------|---|-----------|
| | Lozier, Kathleen K. | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | Doering, Julia E. | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | John L. Loeb Associates, Inc. Employee Pension Plan | | | | |
| | N | 4/28/2017 | Salvatore E. Moore | | |
| | Loeb Jr, John | Client | Y | N | 4/28/2017 |

Moore

| | | | | | |
|--|--------------------------------------------------------|-----------|--------------------|---|-----------|
| | Katherine L.Karl Trust | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | Credit Shelter Trust UWO Leon Birnbaum | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | Karl, Katherine Louise | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | LAZAR & FAIGY FAMILY PARTNERSHIP LP | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | HELEN W KARL MD TR U/A/O 8/17/1998 | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | Friedman, Sidney J. | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | Moore, Devon O'Brien | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | The Leon and Frances Birnbaum Children's Trust of 2008 | | | | |
| | N | 5/13/2017 | Salvatore E. Moore | | |
| | Samuel D Rosner Trust | Client | N | Y | |
| | Salvatore E. Moore | | | | |
| | Horn, Esther | Client | Y | N | 5/16/2017 |

Moore

| | | | | | |
|--|------------------------------------|--------|---|---|-----------|
| | Ann Myers Williams Revocable Trust | Client | N | N | |
| | Salvatore E. Moore | | | | |
| | Fenner, Carla | Client | N | N | 5/18/2017 |

Moore

| | | | | |
|--|--------------------------------------------|--------|---|---|
| | MCGRATH, THOMAS J | Client | N | N |
| | Salvatore E. Moore | | | |
| | Silver, Martin M. | Client | N | Y |
| | Salvatore E. Moore | | | |
| | Susan W Wiley Trust U/A/D 8/14/98 | Client | N | N |
| | Salvatore E. Moore | | | |
| | Deutsche Bank Microcredit Development Fund | Client | | |

| N | Y | | | | | | |
|---|----------|-------------------------------------------------------------|--------------------|-----------|--------------------|-----------|---|
| | | 5/20/2017 | Salvatore E. Moore | | | | |
| | | Wiley, Susan | Client | N | Y | 5/20/2017 | |
| | | Moore | | | | | |
| | | Rosenberg, Henry | Client | N | Y | | |
| | | Salvatore E. Moore | | | | | |
| | | Westfield, Kenneth C. | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | Gerald C. Meyers and Gladys F. Meyers Revocable Inter Vivos | | | | | |
| | ent | N | N | 5/24/2017 | Salvatore E. Moore | | |
| | | Meyers, Gerald C. | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | Trust Under Article 6 U/W/O Sigmund Sommer | | | | Client | |
| | | 5/25/2017 | Salvatore E. Moore | | | | |
| | | Holcombe, Carolyn | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | WFIF LLC | Client | N | N | 5/31/2017 | |
| | | Moore | | | | | |
| | | International Banking Security Association, Inc. | Client | | | | |
| | | 5/31/2017 | Salvatore E. Moore | | | | |
| | | Crossley, Trevor A. | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | Ronald Allen Luzier Rev Trust | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | LAURA WILEY TRUST | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | Krause, Margaret D. | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | The Luis Rodriguez Family Trust | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | The Crouse 1994 Living Trust | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | MCKELDIN, MARY JONES | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | Trust u/w/o Ulo Barad - Clause FIFTH (QTIP) | | | | Client | |
| | | 6/7/2017 | Salvatore E. Moore | | | | |
| | | ELGEE AVIATION, LLC | Client | N | Y | | |
| | | Salvatore E. Moore | | | | | |
| | | Birnbaum, Frances | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | MURRAY W. STEIN REV TR DTD 8/1/03 | | | | Client | N |
| | /2017 | Salvatore E. Moore | | | | | |
| | | Linda Brittain Tr | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | Reichmann, Louis | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | Marital Trust B fbo Lorraine Aresty Under Jerome Aresty | | | | | |
| | 11/10/08 | Client | N | N | 6/13/2017 | Salvatore | |
| | | Paauiilo Enterprises, LLLP | Client | N | N | | |
| | | Salvatore E. Moore | | | | | |
| | | Bridy, Joseph J. | Client | N | N | | |

6/14/2017

| | | | | | |
|-------------------------------------------------------------|--------------------|---|---|--------------------|--|
| Salvatore E. Moore | | | | | |
| James Aresty Revocable Trust | Client | N | | N | |
| Salvatore E. Moore | | | | | |
| Stuart, Nina | Client | N | N | 6/20/2017 | |
| Moore | | | | | |
| Schwartz, Alan E. | Client | Y | | N | |
| Salvatore E. Moore | | | | | |
| Netri Private Foundation | Client | N | | Y | |
| Salvatore E. Moore | | | | | |
| WEBSTER, GINGER L | Client | N | | N | |
| Salvatore E. Moore | | | | | |
| Werdiger, Solomon | Client | N | | Y | |
| Salvatore E. Moore | | | | | |
| THOMAS R KARL TRUST | Client | N | | N | |
| Salvatore E. Moore | | | | | |
| Lorin, Naima | Client | N | N | 6/22/2017 | |
| Moore | | | | | |
| Eisenberg, David | Client | N | | Y | |
| Salvatore E. Moore | | | | | |
| Deutsch Esq., Alvin | Client | N | | Y | |
| Salvatore E. Moore | | | | | |
| Monastir Properties | Client | N | | Y | |
| Salvatore E. Moore | | | | | |
| Stitzer, Parker Brown | Client | N | | N | |
| Salvatore E. Moore | | | | | |
| Kevin Roache Revocable Trust as Amended and Restated in May | | | | | |
| Client N N 6/29/2017 | | | | Salvatore E. Moore | |
| Liskin, Joyce | Client | N | N | 6/29/2017 | |
| Moore | | | | | |
| Raubvogel & Jonas TTEES UWO L. Raubvogel | Client | N | | | |
| /2017 | Salvatore E. Moore | | | | |
| Dana, William B. | Client | N | | N | |
| Salvatore E. Moore | | | | | |
| Betts, Bertha | Client | N | N | 6/30/2017 | |
| Moore | | | | | |
| Belleclaire Hotel LLC | Client | N | | Y | |
| Salvatore E. Moore | | | | | |
| McDonnell, Peter H. | Client | N | | N | |
| Salvatore E. Moore | | | | | |
| Russell, Greg | Client | N | N | 7/11/2017 | |
| Moore | | | | | |
| Elizabeth H. Hall Living Trust | Client | N | | N | |
| Salvatore E. Moore | | | | | |
| Unterberg, Ruth | Client | N | N | 7/11/2017 | |
| Moore | | | | | |
| Mary J. Mckeldin Credit Shelter Trust dtd 10/1/11 | | | | | |
| N 7/12/2017 | | | | Salvatore E. Moore | |
| Finaldi, Jr., Arnold E. | Client | N | | N | |
| Salvatore E. Moore | | | | | |
| Arconti, James J. | Client | N | | N | |
| Salvatore E. Moore | | | | | |

| | | | | |
|-------|-------------------------------------|--------------------|---|-----------|
| | Williams Latham, Rhonda A. | Client | N | Y |
| | Salvatore E. Moore | | | |
| | Brittain, Kate L. | Client | N | N |
| | Salvatore E. Moore | | | |
| | Stuart, Eve | Client | N | N |
| Moore | | | | 7/20/2017 |
| | Rucker, Bernetta | Client | N | N |
| | Salvatore E. Moore | | | |
| | BETTS, ELIZABETH GLYNNE | Client | N | N |
| | Salvatore E. Moore | | | |
| | Eadan, Batsheva | Client | N | N |
| Moore | | | | 7/22/2017 |
| | Horn Family LLC | Client | N | Y |
| Moore | | | | 7/22/2017 |
| | Zuckerman, Charlotte | Client | N | N |
| | Salvatore E. Moore | | | |
| | THE INN AT WALL STREET, LTD | Client | N | Y |
| | Salvatore E. Moore | | | |
| | Karl, Helen | Client | N | N |
| Moore | | | | 7/27/2017 |
| | Latham Realty LLC | Client | N | Y |
| | Salvatore E. Moore | | | |
| | HB-47 Holdings, LLC | Client | Y | N |
| | Salvatore E. Moore | | | |
| | Shimmie Horn Life Insurance Trust | FBO Issue | | Client |
| | 7/29/2017 | Salvatore E. Moore | | |
| | Horn, Shimmie | Client | Y | N |
| Moore | | | | 7/29/2017 |
| | Reichmann, Andre | Client | N | N |
| | Salvatore E. Moore | | | |
| | Hamlin Capital Management, LLC | Client | N | Y |
| | Salvatore E. Moore | | | |
| | Kuppermann, M. David | Client | N | N |
| | Salvatore E. Moore | | | |
| | Batmimepa Inc | Client | N | Y |
| Moore | | | | 8/3/2017 |
| | Birnbaum, Leon | Client | N | Y |
| Moore | | | | 8/8/2017 |
| | Dana, Hope | Client | N | N |
| Moore | | | | 8/9/2017 |
| | Morrison, James M | Client | N | N |
| | Salvatore E. Moore | | | |
| | Taylor, Kathleen M | Client | N | N |
| | Salvatore E. Moore | | | |
| | Elinor Myers Rees Trust | Client | N | N |
| | Salvatore E. Moore | | | |
| | CAITLIN S. FUCHS TRUST | Client | N | N |
| | Salvatore E. Moore | | | |
| | Jan O Stein Rev Tr U/A/D 8/1/03 | Client | N | N |
| | Salvatore E. Moore | | | |
| | Kuniko Matsui Bratt Revocable Trust | Client | N | |

| | | | | | |
|---------|-----------|-----------------------------------------------------|--------------------|--------------------|------------|
| N | 8/24/2017 | Salvatore E. Moore | | | |
| | | Fishman, Alicia Client | N | N | 8/29/2017 |
| | | Moore | | | |
| | | Stevenson Dec of Trust Ray | | Client | N N |
| | | Salvatore E. Moore | | | |
| | | The Brian P. Convey 2016 Irrevocable Life Insurance | | | |
| ent | Y | N | 9/12/2017 | Salvatore E. Moore | |
| | | Singh, Alok Client | N | Y | 9/12/2017 |
| | | Moore | | | |
| | | Hobbs, Kate H Client | N | N | 9/14/2017 |
| | | Moore | | | |
| | | D'Agnes, Christopher M. Client | N | | N |
| | | Salvatore E. Moore | | | |
| | | Lifschitz, Sang Nyo Client | N | | N |
| | | Salvatore E. Moore | | | |
| | | Demeo, Carol Zipse Client | N | | N |
| | | Salvatore E. Moore | | | |
| | | Matthew H. Kustel 2012 Irrevocable Trust Agreement | | | |
| | N | 9/23/2017 | Salvatore E. Moore | | |
| | | Samuel J. Kustel 2012 Irrevocable Trust Agreement | | | |
| | N | 9/23/2017 | Salvatore E. Moore | | |
| | | Stitzer, Howard T. Client | N | | Y |
| | | Salvatore E. Moore | | | |
| | | Epstein, Danielle A. Client | N | | Y |
| | | Salvatore E. Moore | | | |
| | | Liskin, Stephen Client | N | N | 9/29/2017 |
| | | Moore | | | |
| | | Catino, Isabelle McDonnell Client | N | | N |
| | | Salvatore E. Moore | | | |
| | | Barad Elias, Cindy Client | N | | Y |
| | | Salvatore E. Moore | | | |
| | | Eye Fund I, LLC Client | N | Y | 10/7/2017 |
| | | Moore | | | |
| | | Oussani, Rita C. Client | N | | N |
| | | Salvatore E. Moore | | | |
| | | Camp Otterbrook Trust Client | N | | Y |
| | | Salvatore E. Moore | | | |
| | | SANFORD JACOBI POWER OF APPOINTMENT TR | | Client | N |
| 18/2017 | | Salvatore E. Moore | | | |
| | | Rodriguez, Luis Client | N | N | 10/18/2017 |
| | | Moore | | | |
| | | Gerald Z. Barad 2014 Family Trust | | Client | N |
| 19/2017 | | Salvatore E. Moore | | | |
| | | Cindy Barad Elias 2014 Family Trust | | Client | N |
| 19/2017 | | Salvatore E. Moore | | | |
| | | Pearson, William Client | N | | N |
| | | Salvatore E. Moore | | | |
| | | Willis Larry & Willis Viola Trust | | Client | N |
| 21/2017 | | Salvatore E. Moore | | | |
| | | Horn, Michele Client | N | Y | 10/25/2017 |
| | | Salvatore E. Moore | | | |

| | | | | |
|------------|-----------------------------------------------------|---|---|------------|
| | Aresty, Adam J. Client | N | N | 10/25/2017 |
| . | Moore | | | |
| | Huntsman, Daniel Client | N | N | |
| | Salvatore E. Moore | | | |
| | O'Shea, John Client | N | N | 10/28/2017 |
| . | Moore | | | |
| | Margo Collins Arneberg Client | N | N | |
| | Salvatore E. Moore | | | |
| | Hannon Boulder Family LP Client | N | N | |
| | Salvatore E. Moore | | | |
| | S.P.L. MANAGEMENT, INC. Client | N | N | |
| | Salvatore E. Moore | | | |
| | SOUTH WILLIAM STREET ASSOCIATES, LLC Client | N | | |
| 1/2017 | Salvatore E. Moore | | | |
| | HB Hotel Management LLC Client | N | Y | |
| | Salvatore E. Moore | | | |
| | Kathleen King Trust Client | N | N | |
| | Salvatore E. Moore | | | |
| | E.H. Management Client | N | Y | 11/7/2017 |
| . | Moore | | | |
| | MARY MCKELDIN LIVING TRUST DTD 3/4/14 Client | N | | |
| 8/2017 | Salvatore E. Moore | | | |
| | JESSIE L FIEDLER REV TR DTD 5/22/01 Client | N | | |
| 8/2017 | Salvatore E. Moore | | | |
| | Hamilton, William P. Client | N | N | |
| | Salvatore E. Moore | | | |
| | Daniel W. Sullivan 2004 Trust Client | N | N | |
| | Salvatore E. Moore | | | |
| | Walker, Carolyn W Client | N | N | |
| | Salvatore E. Moore | | | |
| | Webster, Ralph Client | N | N | 11/14/2017 |
| . | Moore | | | |
| | Roger Collins Revocable Trust Client | N | Y | |
| | Salvatore E. Moore | | | |
| | Betts, Katherine H. Client | N | N | |
| | Salvatore E. Moore | | | |
| | JONAS CLOJO LLC Client | N | Y | 11/16/2017 |
| . | Moore | | | |
| | Ernst, Paul Client | N | N | 11/18/2017 |
| . | Moore | | | |
| | Borg, Abraham Client | N | N | 11/22/2017 |
| . | Moore | | | |
| | GLAUBINGER, LUCIENNE M Client | N | Y | |
| | Salvatore E. Moore | | | |
| | Shimmie Horn Life Insurance Trust FBO Spouse Client | | | |
| 11/28/2017 | Salvatore E. Moore | | | |
| | Betts, William W. Client | N | N | |
| | Salvatore E. Moore | | | |
| | Helen W. Karl C/F Thomas R. Karl Client | N | | |
| 29/2017 | Salvatore E. Moore | | | |
| | The Shimmie Horn Life Insurance Trust Client | N | | |

| | | | | | | |
|---|------------|---------------------------------------------------------|--------|---|------------|------------|
| Y | 11/29/2017 | Salvatore E. Moore | | | | |
| | | Horn Family Trust | Client | N | Y | |
| | | Salvatore E. Moore | | | | |
| | | AML LLC Client | N | Y | 11/29/2017 | Salvatore |
| | | Karl, John | Client | N | N | 11/29/2017 |
| | | Moore | | | | |
| | | Wiley, Christopher | Client | N | Y | |
| | | Salvatore E. Moore | | | | |
| | | Hope, Sandra | Client | N | N | 11/29/2017 |
| | | Moore | | | | |
| | | Deutsch, Davida | Client | N | N | 11/29/2017 |
| | | Moore | | | | |
| | | Moore, George M. | Client | N | Y | |
| | | Salvatore E. Moore | | | | |
| | | Carnarvon, Jean | Client | N | Y | 12/1/2017 |
| | | Moore | | | | |
| | | Williams, Ann Myers | Client | N | N | |
| | | Salvatore E. Moore | | | | |
| | | MP INVESTORS I DELAWARE LLC | Client | N | Y | |
| | | Salvatore E. Moore | | | | |
| | | Creative Team, Inc. | Client | N | N | |
| | | Salvatore E. Moore | | | | |
| | | Lorin, Jean Claude | Client | N | N | |
| | | Salvatore E. Moore | | | | |
| | | Parks, Hannah | Client | N | N | 12/6/2017 |
| | | Moore | | | | |
| | | Sandra Oster Interiors LTD | Client | N | N | |
| | | Salvatore E. Moore | | | | |
| | | Angus Theatricals, Inc | Client | N | N | |
| | | Salvatore E. Moore | | | | |
| | | The 2014 Marc S. Goldberg Charitable Remainder Unitrust | | | | |
| | | N | | | | |
| | | 12/7/2017 | | | | |
| | | Salvatore E. Moore | | | | |
| | | Hannon, Vivien G | Client | N | N | |
| | | Salvatore E. Moore | | | | |
| | | Fishman, Nelson | Client | N | N | 12/9/2017 |
| | | Moore | | | | |
| | | Lawrence Economic Consulting, Inc. | | | | Client N |
| | | 9/2017 | | | | |
| | | Salvatore E. Moore | | | | |
| | | HOPPIN, CHARLES S | Client | N | N | |
| | | Salvatore E. Moore | | | | |
| | | Rees, Jenifer I. | Client | N | Y | |
| | | Salvatore E. Moore | | | | |
| | | Lazar & Faigy, Inc. | Client | N | N | |
| | | Salvatore E. Moore | | | | |
| | | Ashford Realty Corp | Client | N | Y | |
| | | Salvatore E. Moore | | | | |
| | | Crouse, William | Client | N | N | 12/14/2017 |
| | | Moore | | | | |
| | | CG BAR PARTNERS LLC | Client | N | Y | |
| | | Salvatore E. Moore | | | | |

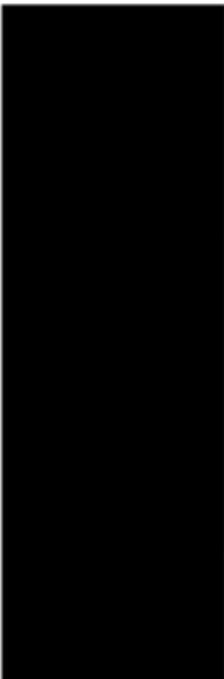
12/16/2017

| | | | | |
|---|--------------------------------------------------------|--------------------|--------------------|------------|
| | Presbyterian Homes Foundation | Client | Y | N |
| | Salvatore E. Moore | | | |
| | Kahn, Herbert | Client | N | 12/19/2017 |
| . | Moore | | | |
| | Lemmo, Amelia L. | Client | N | N |
| | Salvatore E. Moore | | | |
| | Kirby Jonas 2012 Trust F/B/O Sarah Lendri Purcell | | | |
| | N | 12/20/2017 | Salvatore E. Moore | |
| | Kirby Jonas 2012 Trust F/B/O Adam Purcell | | | Client |
| | 12/20/2017 | Salvatore E. Moore | | |
| | Donald Lee Jonas 2012 Trust F/B/O Sarah Lendri Purcell | | | |
| | N | 12/20/2017 | Salvatore E. Moore | |
| | Estate of David Kupperman | Client | N | N |
| | Salvatore E. Moore | | | |
| | Parks, Monica | Client | N | Y |
| | 12/20/2017 | | | |
| . | Moore | | | |
| | Estate of Genia Horn | Client | N | Y |
| | Salvatore E. Moore | | | |
| | BCE Management LLC | Client | N | N |
| | Salvatore E. Moore | | | |
| | LEON BIRNBAUM AND FRANCES BIRNBAUM GRANTOR TRUST DATED | | | |
| | Client | N | N | 12/20/2017 |
| | Salvatore E. Moore | | | |
| | Onethirty 12 LLC | Client | N | Y |
| | Salvatore E. Moore | | | |
| | Childs, John W. | Client | N | N |
| | 12/20/2017 | | | |
| . | Moore | | | |
| | Sommer, Jack | Client | N | Y |
| | 12/23/2017 | | | |
| . | Moore | | | |
| | Fishman, James | Client | N | N |
| | 12/27/2017 | | | |
| . | Moore | | | |
| | Trotman, Arthur | Client | N | N |
| | 12/27/2017 | | | |
| . | Moore | | | |
| | Paradise Waits LLC | Client | N | Y |
| | Salvatore E. Moore | | | |
| | MP INVESTORS DELAWARE LLC | Client | N | Y |
| | Salvatore E. Moore | | | |
| | DOV B ELIAS LIFE INSURANCE TRUST | | | Client |
| | 28/2017 | Salvatore E. Moore | | N |
| | Lorin, Cedric | Client | N | N |
| | 12/29/2017 | | | |
| . | Moore | | | |
| | Reichmann, Charles | Client | N | N |
| | Salvatore E. Moore | | | |
| | Epstein, Dasha | Client | N | Y |
| | 1/3/2018 | | | |
| . | Moore | | | |
| | Rees, David P. | Client | N | Y |
| | 1/4/2018 | | | |
| . | Moore | | | |
| | Gandall, Warren | Client | N | N |
| | 1/4/2018 | | | |
| . | Moore | | | |
| | Moffat, Laura | Client | N | N |
| | 1/9/2018 | | | |
| . | Moore | | | |
| | The 1988 Abbassi Living Trust | Client | N | N |

| | | | | | | |
|--------|----------------------------------|--------|---|--------|--------|---------------------|
| | Salvatore E. Moore | | | | | |
| | Schiel, Bernhard R. | Client | N | | N | |
| | Salvatore E. Moore | | | | | |
| | Read, Carol V. | Client | N | N | | 1/17/2018 |
| . | Moore | | | | | |
| | Joseph Parsons Trust | Client | N | | N | |
| | Salvatore E. Moore | | | | | |
| | Fiedler, Jessie L | Client | N | | N | |
| | Salvatore E. Moore | | | | | |
| | UB LLC | Client | N | Y | | 1/20/2018 Salvatore |
| | UB-EDISON LLC | Client | N | Y | | 1/20/2018 |
| . | Moore | | | | | |
| | MASTERTON, BEAUMONT | Client | N | | N | |
| | Salvatore E. Moore | | | | | |
| | Hobbs, Margaret M | Client | N | | N | |
| | Salvatore E. Moore | | | | | |
| | Aresty, James | Client | N | N | | 1/23/2018 |
| . | Moore | | | | | |
| | Williams, Scot A. | Client | N | | N | |
| | Salvatore E. Moore | | | | | |
| | Jelke, John | Client | N | Y | | 1/24/2018 |
| . | Moore | | | | | |
| | Gerald L. Harp Family Trust | | | Client | N | N |
| | Salvatore E. Moore | | | | | |
| | Charlotte Harp Irrevocable Trust | | | | Client | N |
| 5/2018 | Salvatore E. Moore | | | | | |
| | Moore III, Paul | Client | N | Y | | 1/25/2018 |
| . | Moore | | | | | |
| | Jerome Aresty Trust | Client | N | | Y | |
| | Salvatore E. Moore | | | | | |
| | TANNER, ESTELLE N. | Client | N | | N | |
| | Salvatore E. Moore | | | | | |
| | Rees, Richard K. | Client | N | | Y | |
| | Salvatore E. Moore | | | | | |
| | Mary Elinor Rees Living Trust | Client | N | | N | |
| | Salvatore E. Moore | | | | | |
| | Muscato, David M | Client | N | | N | |
| | Salvatore E. Moore | | | | | |
| | Kustel, Karen | Client | N | N | | 1/26/2018 |
| . | Moore | | | | | |
| | Rees, James N. | Client | N | Y | | 1/27/2018 |
| . | Moore | | | | | |
| | Horn, Aaron | Client | Y | N | | 1/29/2018 |
| . | Moore | | | | | |
| | Ray, Franklin | Client | N | N | | 1/30/2018 |
| . | Moore | | | | | |
| | Pultz, Priscilla Hite Jennings | Client | N | | N | |
| | Salvatore E. Moore | | | | | |
| | Kahn-Czyz, Laura | Client | N | | N | |
| | Salvatore E. Moore | | | | | |

| | | | |
|----------------------------------------------------------|--------------------|---|-----------|
| STEPHEN M BRITTAIN TRUST | Client | N | N |
| Salvatore E. Moore | | | |
| Garland, III, Charles S. | Client | N | N |
| Salvatore E. Moore | | | |
| Milligan, Roger | Client | N | 2/1/2018 |
| oore | | | |
| PERRY, NICOLE HAWES | Client | N | N |
| Salvatore E. Moore | | | |
| Fiedler, Carol B. | Client | N | N |
| Salvatore E. Moore | | | |
| Trust FBO Joyce Liskin U/A Sixth | | | Client N |
| 18 Salvatore E. Moore | | | |
| Fishman, Elizabeth | Client | N | N |
| Salvatore E. Moore | | | |
| Irrevocable Trust for the benefit of Karen Aresty Kustel | | | |
| N 2/1/2018 | Salvatore E. Moore | | |
| Irrevocable Trust for the benefit of James Aresty | | | |
| N 2/1/2018 | Salvatore E. Moore | | |
| Neese, Jr., Clifford J. | Client | N | N |
| Salvatore E. Moore | | | |
| ERNST FAMILY TRUST | Client | N | N |
| Salvatore E. Moore | | | |
| Bone, Timothy F. | Client | N | N |
| Salvatore E. Moore | | | |
| Karam, Robert M. | Client | N | N |
| Salvatore E. Moore | | | |
| Beard, Frank H. | Client | N | 2/13/2018 |
| oore | | | |
| Hamilton, Jo L. | Client | N | 2/14/2018 |
| oore | | | |
| Miller, Benjamin | Client | N | N |
| Salvatore E. Moore | | | |
| Marc S. Goldberg 2011 Declaration of Trust | | | Client |
| 2/14/2018 | Salvatore E. Moore | | |
| Ferriss Family Trust | Client | N | N |
| Salvatore E. Moore | | | |
| Wiener, Lawrence A | Client | N | N |
| Salvatore E. Moore | | | |
| Artuso, John D. | Client | N | 2/22/2018 |
| oore | | | |
| Wiener, Susan R | Client | N | 2/23/2018 |
| oore | | | |
| D'Agnes, Michael R. | Client | N | Y |
| Salvatore E. Moore | | | |
| 2015 Frank Hoffman and Denise O'Neil-Hoffman Revocable | | | |
| N N 3/1/2018 | Salvatore E. Moore | | |
| ROBIN C HEAVEN TRUST | Client | N | N |
| Salvatore E. Moore | | | |
| Say Living Trust | Client | N | Y |
| Salvatore E. Moore | | | |
| ROBERT E. KRAFT TRUST DATED 5/23/94 | Client | N | |

| | | | | | |
|---|-----------|------------------------------------------------|----------|-----------|--------------------|
| N | 3/2/2018 | Salvatore E. Moore | | | |
| | | Abbassi, H. Mohammad | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | John W Karl Trust | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Horn, Jacob | Client | N | N |
| | | Moore | | | 3/3/2018 |
| | | Frain, Richard | Client | N | N |
| | | Moore | | | 3/3/2018 |
| | | Alicia Fishman Trust Agency | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Smith, Robert | Client | N | N |
| | | Moore | | | 3/8/2018 |
| | | Nicole M. Fagan Trust | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Cunniffe, Maurice | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Fagan, Nicole M. | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Aresty, Benjamin A. | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Cupps, William | Client | N | N |
| | | Moore | | | 3/16/2018 |
| | | Reynolds Hastie, John C. | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Donald Gregory Harp Trust | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Carolyn V. Pankey Family Trust | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | James Aresty 2011 Irrevocable Trust | Client | N | N |
| | 1/2018 | Salvatore E. Moore | | | |
| | | Anderson Living Trust | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Marjorie S Daitch Revocable Trust | Client | Y | Y |
| | 2/2018 | Salvatore E. Moore | | | |
| | | WASATCH PEAKS, L.P. | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Frances Birnbaum 2011 Irrevocable Trust | Client | N | N |
| | 2/2018 | Salvatore E. Moore | | | |
| | | Aresty Solomon, Rachel | Client | N | N |
| | | Salvatore E. Moore | | | |
| | | Rousseau, Douglas E | Client | Y | N |
| | | Salvatore E. Moore | | | |
| | | Rees, Elinor Myers | Client | N | Y |
| | | Salvatore E. Moore | | | |
| | | Rabbi Trust A/U Hamlin Capital Management, LLC | Deferred | | |
| | ent | N | N | 3/27/2018 | Salvatore E. Moore |
| | | The Kenneth C. Westfield Family Trust | Client | N | N |
| | 7/2018 | Salvatore E. Moore | | | |
| | | The Carl and Dianne Corson Trust | Client | N | N |
| N | 3/28/2018 | Salvatore E. Moore | | | |



| | | | | |
|--------------|-------------------------------------------------------------|--------|---|--------------------|
| | Moore, Daniel S. | Client | N | N |
| | Salvatore E. Moore | | | |
| | Lynnabeth O Mays Trust | Client | N | N |
| | Salvatore E. Moore | | | |
| | William Paul Hamilton Living Trust, As Amended and Restated | | | |
| 2013 | Client | N | N | 4/5/2018 Salvatore |
| | Swensen, J. Scott | Client | N | N |
| | Salvatore E. Moore | | | |
| | Smyrnios, Dimitrios | Client | N | Y |
| | Salvatore E. Moore | | | |
| | CLAT, GOLDBERG | Client | N | N 4/19/2018 |
| | Moore | | | |
| | Shimmie Horn Insurance Trust FBO Issue | Client | N | N |
| 0/2018 | Salvatore E. Moore | | | |
| | KUPPERMANN, POLA | Client | N | N |
| | Salvatore E. Moore | | | |
| | Solomon Werdiger 2014 Irrevocable Trust | Client | N | N |
| Y 12/19/2018 | Salvatore E. Moore | | | |

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