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From:  
To:  
Cc:  
Janice Franklin  
Sylvia Escobar  
Derek St-Hilaire  
Subject:  
Date:  
Attachments:  
RE: EEA Client Notation in dbForce  
Wednesday, November 28, 2018 9:20:43 AM  
image004.png  
image003.png

Good morning Sylvia:

Hope all is well. Have you identified any KYCs where a primary decision maker was not identified? As previously stated in the October 17th call, AFC is reviewing for primary decision maker and EEA clients. After the October meeting, the requirement was reiterated to the AFC team and detailed guidance provided. Moreover, I included the requirement on the quick reference section of our KYC Checklist.

Regards,  
Janice P. Franklin

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Janice Franklin, CAMS, CFSA, CFIRS  
Vice President | AML Compliance Officer  
Deutsche Bank Americas  
Regulation, Compliance & Anti-Financial Crime  
60 Wall Street, 10005-2836 New York, NY, USA  
Tel. +1(212) 250-4430  
Email [REDACTED]

From: Sylvia Escobar  
Sent: Tuesday, November 27, 2018 5:38 PM  
To: Derek St-Hilaire <[REDACTED]>; Janice Franklin <[REDACTED]>  
Subject: EEA Client Notation in dbForce

Dear All,

I hope you are doing well.

Could you please confirm that your teams are following the agreed steps to identify EEA clients in dbForce based on the Primary Decision Maker identified by the FO in the KYC?

Also, have your KOPs being updated?

Thanks for your support.

Regards,

Sylvia

♀Sylvia Escobar

Vice President

Deutsche Bank Securities Inc.

Wealth Management-Americas

1 South Street, 21202-3298 Baltimore, MD, USA

Tel: +1 (410) 895-2021 Mobile: +1 [REDACTED]

Email: [REDACTED]