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From:  
To:  
Derek St-Hilaire  
Subject:  
Date:  
Attachments:

Hi Sylvia,

Yes, I have facilitated training for ABR. Moreover, we have added this to our broader training framework that all new joiners will go through (ABR and Case Managers). The KOP update is in flight with a rough ETA for end of December / start of January.

Best regards,

Derek

Derek St. Hilaire, CAMS

Vice President

Head of Anti-Money Laundering Business Risk

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Sylvia Escobar; Janice Franklin

RE: EEA Client Notation in dbForce

Tuesday, November 27, 2018 5:44:31 PM

image002.png

image003.png

From: Sylvia Escobar

Sent: Tuesday, November 27, 2018 5:38 PM

To: Derek St-Hilaire <[REDACTED]>; Janice Franklin <[REDACTED]>

Subject: EEA Client Notation in dbForce

Dear All,

I hope you are doing well.

Could you please confirm that your teams are following the agreed steps to identify EEA clients in dbForce based on the Primary Decision Maker identified by the FO in the KYC?

Also, have your KOPs being updated?

Thanks for your support.

Regards,

Sylvia

♀Sylvia Escobar

Vice President

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