

KYC Print

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DB PWM GLOBAL KYC/NCA: PART A

KYC Case # : 02019466

One sheet must be established per relationship - list all accounts included in the relationship

1. Relationship Details

Relationship Name:

Relationship Manager:

BELMONT, F [REDACTED]

Ralf Fischer

New PWM Relationship

Existing PWM Relationship

Relationship to PWM:

If existing, please indicate since when the relationship exists, provide reason for new profile and attach old

profile: EDR - KYC Update to reflect the Beneficial Owners:

Juan Fernando Belmont Anderson

Janine Belmont Dauelsberg

Marion Dauelsberg de Belmont

Fernando Federico Belmont Dauelsberg

Previous KYC #01861240. Please refer to other previously approved KYCs for this relationship: 01837751,

1461855, 1347325, 1272743, 1162451, 384817.

Client Referral

How Was the Client(s)

Introduced? How long

has the RM personally

known the client?

Does Deutsche Bank pay

a retrocession or similar

compensation to a third

party for the introduction

of this relationship?

RM Prospect

Intermediary/FIM Other Source (CIB, etc.)

Please provide details (e.g. name of referral source, how many years RM personally has known client,

etc.): Mr. Juan Fernando Belmont is an existing client of DB since 2003. He was introduced to the bank by

the former RM Maria Zita La Rosa.

Yes

(If Yes, describe):

List all existing and new accounts involved in this relationship

Legal Entity

Account(s)

1 Existing

2 Existing

Account Name / Number

Aromatiss S.A.S - [REDACTED]

Aromatiss S.A.S. - [REDACTED]

Who is the primary  
contact person for the  
RM? (Note: This person  
needs to have signatory  
rights and/or information  
right for the accounts.):  
Opening Date (intended/actual)

4/27/2018

5/9/2018

填 No

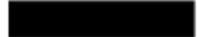
Booking Center:

New York

Status : 6. Approved

Astrid Pelaez Sanchez

Preferred method of  
contact(indicate phone  
no., fax no., e-mail  
address, etc.):

  
<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?-lid=01N30000000D9Di&...>

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One sheet must be established for each account to be opened

## 2. Account Ownership Summary

Account Name:

Account Manager:

Aromatiss S.A.S - DDA

Josie Alonso

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the checking account is to pay the interest payments of the loan. To receive loan disbursements and to process wire transfers to Aromatiss S.A.S.

The loan will be issued in favour of Aromatiss SAS in an amount of USD 2M-5M using as collateral the assets in the account of Ferbel Group of Companies, S.L.U.

Indicate from where the assets are expected to arrive?

填 DB Group: Same Booking Center (indicate account number): 18312053 - FERBEL GROUP OF COMPANIES, S.L.U

DB Group: Other Booking Center (indicate DB location and account details):

Other Institution – (Indicate name & location):

Physical Deposits (specify cash, securities, cheques, ...):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? The inflows an outflows range from 0 to 8 transactions per month and the amount will be ranging from \$0.00 to \$400,000.00.

What is the expected volume of assets and currency for the account approx. 90 days after opening?

What is the expected volume of assets and currency for the account approx. one year after opening?

Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?

227008.59

Yes填 No

Please list all parties related to the account.

For each party:

- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

Legal

Entity

Description

of Source

of Wealth

required

Parties related to

this account

1 填 填  
2  
3  
4  
填  
5 填 填  
6 填  
Aromatiss S.A.S 填  
Veronica Maria  
Silva Luna  
Jesus Armando  
Lema Hanke  
Juan Fernando  
Belmont Anderson  
Ferbel Group of  
Companies, S.L.U.  
Jafer Enterprises  
R&D SLU  
填  
100  
100  
Account  
Holder  
PIC  
Owner  
Settlor of  
Trust /  
Founder of  
Foundation  
Ultimate  
Beneficial  
Owner  
Signatory  
or Full  
POA  
Limited  
POA  
Financial  
Intermediary  
(FIM)  
Significant  
Shareholder  
(>=25%)  
Non-PIC  
entity  
(indicate  
ownership  
%)  
填  
填  
Primary decision  
maker;

Other (please describe other roles and/or indicate relationship between parties)

Currency

Currency

USD

USD

Acct. Number (if available):

██████████

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7 填

Cosmo

International

Fragrances, S.L.U.

The Opus

8 填 填

Opus Futurus

Foundation

100

Futurus

Foundation owns

Ferbel Group of

Companies SLU,

and Mr. Juan

Fernando

Belmont

Anderson

established the

Foundation.;;

9

10

11 填

12 填

13 填

14 填

15

Astrid Pelaez

Sanchez

Marc Gerard

Blaison

Norval Associated

S.A.

FERNANDO

FEDERICO

BELMONT

DAUELSBERG

JANINE MARION

BELMONT

DAUELSBERG

MARION

DAUELSBERG

EHLERS DE

BELMONT

Juan Pablo

Godoy Fajardo

填

填

填

填

填

Founder of Opus

Foundation;

100

<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...>

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## 2. Account Ownership Summary

Account Name:

Account Manager:

Aromatiss S.A.S. - Loan

Josie Alonso

What is the purpose of the account (e.g. portfolio management, advisory account, custody services, long-term investment, payment/expense account)? The purpose of the loan is to support the investment of Aromatiss S.A.S. in land and infrastructure in Colombia and set up the factory that will develop natural cosmetic ingredients and essential oils, to be used in the production of their fragrances and perfumes.

Indicate from where the assets are expected to arrive?

填 DB Group: Same Booking Center (indicate account number): DB Lending

DB Group: Other Booking Center (indicate DB location and account details):

Other Institution – (Indicate name & location):

Physical Deposits (specify cash, securities, cheques, ...):

What is the expected size and frequency of regular inflows and outflows for the account (indicate estimated number and volume per month)? The loan facility is for USD2,000,000.00 using as collateral the assets in the account of Ferbel Group of Companies, S.L.U.

Current outstanding principal is \$600,000.00 and a Notice of Borrowing request for \$340,000.00 value date 11.30.2018.

What is the expected volume of assets and currency for the account approx. 90 days after opening?

What is the expected volume of assets and currency for the account approx. one year after opening?

Does/will the client have Assets Under Management (AuM) within DB under Eur. 3M?

2000000

Yes填 No

Please list all parties related to the account.

For each party:

- Check if a source of wealth description is required for the party.
- Check the appropriate box to describe the relationship of the party to this account (> 1 can be selected).
- If none of the check boxes apply, describe the party's relation to the account in the "Other" column.
- Always describe the relationship between the parties in the last column.
- Please drill down to the ultimate/underlying Beneficial Owner(s).

Legal

Entity

Description

of Source

of Wealth

required

Parties related to

this account

1 填 填

2 填  
3 填  
4 填 填  
5 填 填  
Aromatiss S.A.S 填  
Cosmo  
International  
Fragrances, S.L.U.  
Jafer Enterprises  
R&D SLU  
Ferbil Group of  
Companies, S.L.U.  
Opus Futurus  
Foundation  
100  
100  
100  
100  
Account  
Holder  
PIC  
Owner  
Settlor of  
Trust /  
Founder of  
Foundation  
Ultimate  
Beneficial  
Owner  
Signatory  
or Full  
POA  
Limited  
POA  
Financial  
Intermediary  
(FIM)  
Significant  
Shareholder  
( $\geq 25\%$ )  
Non-PIC  
entity  
(indicate  
ownership  
%)  
Other (please  
describe other  
roles and/or  
indicate  
relationship  
between parties)

Currency

Currency

USD

USD

Acct. Number (if  
available):

+ [REDACTED]

The Opus

Futurus

Foundation owns

Ferbel Group of

Companies SLU,

and Mr. Juan

Fernando

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Belmont

Anderson

established the  
Foundation.;;

6

7

8

9 填

10 填

11 填

12 填

13

填

Juan Fernando

Belmont Anderson

Veronica Maria

Silva Luna

Jesus Armando

Lema Hanke

Norval Associated

S.A.

FERNANDO

FEDERICO

BELMONT

DAUELSBERG

JANINE MARION

BELMONT

DAUELSBERG

MARION

DAUELSBERG

EHLERS DE

BELMONT

Juan Pablo

Godoy Fajardo

填

填

填

填

填

填

Founder of Opus

Foundation;

Primary decision  
maker;

[https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?-  
lid=01N30000000D9Di&...](https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...)

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2.  
Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:

Country of Residence:

Address of primary  
residence:

Profession/Occupation:

Current Employer:

Address of employer:

Juan Fernando Belmont Anderson -  
Peru

Av [REDACTED]

Founder & Owner

Corporacion Yanbal International

Date of Birth:

Country of Citizenship:

Has client resided outside  
of his/her country of  
nationality for 5 years or  
more?

Tax ID / SSN:

Position/Title/Rank:

[REDACTED]

Does the person work as senior executive of a DB-recognized regulated entity in  
the financial industry? or an entity listed on a DB-recognised exchange? (Not  
applicable for operating entities

Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)

Father of

Janine Belmont and therefore father in law of Gonzalo Aguirre Arriz, one of  
the  
founders of Coordinadora Nacional de Independientes, a political party  
established in Peru. It changed name to Todos por el Peru.

To the best of your knowledge, is the individual related to an employee of  
the DB  
group?(if Family or Friendship, describe )

To the best of your knowledge, is the individual party to a non-banking  
relationship with Deutsche Bank (e.g., external legal counsel, client  
referral

source, supplier of goods or services)?(if Yes,describe )

Yes 填 No

[REDACTED]

Peru

Yes 填 No

[REDACTED]

Chairman

填 Yes

No

Family

Friendship 填 None

Yes 填 No

If applicable, indicate which bank officers have met the person:

Bank Officer Name(s)

Indicate where and when the client meeting(s) took place:

Bank Office:

Client

Private

Domicile:

Client Place

of Business:

Other Location (specify): Date:

Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:

Cosmetics company in Latin America, which follows the Avon business model.

Primary Country of source of wealth/source of Funds? Peru

Primary industry of source of Wealth/Source of Funds?

Summarize Source of Wealth:

Goods

填 Business Owner 填 Salary/Earnings

Other:

Investment

Inheritance/Gifts

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Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth? For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Mr. Belmont created Corporacion Yanbal International, a cosmetics company in Latin America that follows Avon business model in 1967. Mr. Belmont created a fortune through the company's success in the region.

Mr. Fernando Belmont earned a Bachelor's of Arts degree at the University of North Carolina in Chapel Hill. After graduating, his father, who owned a different cosmetics company in Peru, helped him found Yanbal by providing the initial capital necessary when the company was created over 30 years ago in Peru. The company's website is: [www.yanbal.com](http://www.yanbal.com).

Since then, the company has grown tremendously holding the second position of market share in this sector after Ebel Limited, which is owned by his brother Eduardo Belmont (also a client of the bank). Unfortunately, due to the competition between their companies, the relationship between the two brothers has suffered and today is not a good one.

Yanbal uses a very grassroots approach to grow its business. Individuals can become sales persons of Yanbal by simply ordering their cosmetics and then selling them in their neighborhoods. Individuals can grow in the company by adding other people to their "team," thus receiving commission on their sales as well as those of the team they manage. This approach has allowed the company to grow into a common household name in Latin America like other well-known brands such as L'Oreal, Avon and Revlon. Today the company remains privately owned.

Mr. Belmont is married to Marion Dauelsberg de Belmont, originally from Germany but has lived in Peru for many years. They have two children, Janine and Fernando. Janine earned a Bachelor of Arts (Political Science) from Georgetown University; she is married to Gonzalo Aguirre, resides in Lima, Peru and has two girls and one boy. She started working with Yanbal, Mexico over 19 years ago and then moved to Peru to continue learning about the different areas of the company. About 11 years ago she became the general manager of Yanbal, Peru. Currently she is the acting CEO and her father is the Chairman. His son, Fernando, is married to Andrea Merelo. They live in Peru, most of the time in a province called Piura (north of Lima). Fernando does not have a University degree and doesn't participate in the family business. He is dedicated to surfing.

Estimated Annual Income  
(\$):

Estimated Net Worth(\$):

10,000,000.00

\$500 MM +

Other Known Financial Institutions:

Institution: Julius Baer

Institution: Citibank

Institution:

Estimated amount of investable assets(\$):

Amount of assets planned to invest with PWM(\$):

\$100 MM - 500 MM

150,000,000.00

Country:

Country:

Country:

Switzerland

Est. Assets Under Mgt:

USA

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Please indicate the family situation of the individual (marital status, other family members, etc.): Married with 2 children.

100,000,000.00

15,000,000.00

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2.  
Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:

Country of Residence:

Address of primary  
residence:

Profession/Occupation:

Current Employer:

Address of employer:

Veronica Maria Silva Luna -

Peru

Tudela Y Varela #440, Lima Peru

Director

Corporacion Yanbal International

[REDACTED], San Isidro Peru

Does the person work as senior executive of a DB-recognized regulated entity  
in  
the financial industry? or an entity listed on a DB-recognised exchange? (Not  
applicable for operating entities

Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)

To the best of your knowledge, is the individual related to an employee of  
the DB  
group?(if Family or Friendship, describe )

To the best of your knowledge, is the individual party to a non-banking  
relationship with Deutsche Bank (e.g., external legal counsel, client  
referral

source, supplier of goods or services)?(if Yes,describe )

Yes 填 No

Yes 填 No

Family

Friendship 填 None

Yes 填 No

Date of Birth:

Country of Citizenship:

Has client resided outside  
of his/her country of  
nationality for 5 years or  
more?

Tax ID / SSN:

Position/Title/Rank:

[REDACTED]  
Peru

Yes 填 No

[REDACTED]

Director

If applicable, indicate which bank officers have met  
the person:

Bank Officer Name(s)

Nicolas Rueda

Indicate where and when the client meeting(s) took place:

Bank Office:

Client

Private

Domicile:

Client Place

of Business:

填

Other Location (specify): Date:

4/18/2014

Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of Funds?

Summarize Source of Wealth:

Business Owner

Other:

Salary/Earnings

Investment

Inheritance/Gifts

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Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income

(\$):

Estimated Net Worth(\$):

Other Known Financial Institutions:

Institution:

Institution:

Institution:

Estimated amount of investable assets(\$):

Amount of assets planned to invest with PWM(\$):

Country:

Est. Assets Under Mgt:

Country:

Country:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Please indicate the family situation of the individual (marital status, other family members, etc.):

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2.

Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:

Country of Residence:

Address of primary residence:

Profession/Occupation:

Current Employer:

Address of employer:

Jesus Armando Lema Hanke -  
Peru

Lawyer

Corporacion Yanbal, Lema Abogados

Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)

Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)

To the best of your knowledge, is the individual related to an employee of the DB

group?(if Family or Friendship, describe )

To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral

source, supplier of goods or services)?(if Yes,describe )

Yes 填 No

Yes 填 No

Family

Friendship 填 None

Yes 填 No

Date of Birth:

Country of Citizenship:

Has client resided outside of his/her country of nationality for 5 years or more?

Tax ID / SSN:

Position/Title/Rank:

Peru

Yes 填 No

Director, Partner

If applicable, indicate which bank officers have met the person:

Bank Officer Name(s)

Indicate where and when the client meeting(s) took place:

Bank Office:

Client

Private

Domicile:

Client Place

of Business:

Other Location (specify): Date:

Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of Funds?

Summarize Source of Wealth:

Business Owner

Other:

Salary/Earnings

Investment

Inheritance/Gifts

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Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income

(\$):

Estimated Net Worth(\$):

Other Known Financial Institutions:

Institution:

Institution:

Institution:

Estimated amount of investable assets(\$):

Amount of assets planned to invest with PWM(\$):

Country:

Est. Assets Under Mgt:

Country:

Country:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Please indicate the family situation of the individual (marital status, other family members, etc.):

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2.

Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:

Country of Residence:

Address of primary residence:

Profession/Occupation:

Current Employer:

Address of employer:

Astrid Pelaez Sanchez -  
Colombia

General Manager

Aromatiss S.A.

Date of Birth:

Country of Citizenship:

Has client resided outside of his/her country of nationality for 5 years or more?

Tax ID / SSN:

Position/Title/Rank:

Autopista Medellin km 9,5 Piso 3º. Planta Keeps Tenjo , Cundinamarca Colombia

Does the person work as senior executive of a DB-recognized regulated entity in

the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities

Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)

To the best of your knowledge, is the individual related to an employee of the DB

group?(if Family or Friendship, describe )

To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral

source, supplier of goods or services)?(if Yes,describe )

Yes 填 No

Yes 填 No

Family

Friendship 填 None

Yes 填 No

Colombia

Yes 填 No

General Manager

If applicable, indicate which bank officers have met the person:

Bank Officer Name(s)

Indicate where and when the client meeting(s) took place:

Bank Office:

Client

Private

Domicile:

Client Place

of Business:

Other Location (specify): Date:

Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of Funds?

Summarize Source of Wealth:

Business Owner

Other:

Salary/Earnings

Investment

Inheritance/Gifts

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Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income

(\$):

Estimated Net Worth(\$):

Other Known Financial Institutions:

Institution:

Institution:

Institution:

Estimated amount of investable assets(\$):

Amount of assets planned to invest with PWM(\$):

Country:

Est. Assets Under Mgt:

Country:

Country:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Please indicate the family situation of the individual (marital status, other family members, etc.):

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2.

Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:

Country of Residence:

Address of primary residence:

Profession/Occupation:

Current Employer:

Address of employer:

Marc Gerard Blaison -  
United States

United

States

VP

Cosmo Fragrances

Date of Birth:

Country of Citizenship:

Has client resided outside of his/her country of nationality for 5 years or more?

Tax ID / SSN:

Position/Title/Rank:

Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)

Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)

To the best of your knowledge, is the individual related to an employee of the DB group?(if Family or Friendship, describe )

To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral source, supplier of goods or services)?(if Yes,describe )

Yes 填 No

Yes 填 No

Family

Friendship 填 None

Yes 填 No

France

Yes 填 No

VP

If applicable, indicate which bank officers have met the person:

Bank Officer Name(s)

Indicate where and when the client meeting(s) took place:

Bank Office:

Client

Private

Domicile:

Client Place

of Business:

Other Location (specify): Date:

Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of Funds?

Summarize Source of Wealth:

Business Owner

Other:

Salary/Earnings

Investment

Inheritance/Gifts

<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...>

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Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income

(\$):

Estimated Net Worth(\$):

Other Known Financial Institutions:

Institution:

Institution:

Institution:

Estimated amount of investable assets(\$):

Amount of assets planned to invest with PWM(\$):

Country:

Est. Assets Under Mgt:

Country:

Country:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Please indicate the family situation of the individual (marital status, other family members, etc.):

<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N300000000D9Di&...>

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2.

Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:

Country of Residence:

Address of primary residence:

Profession/Occupation:

Current Employer:

Address of employer:

JANINE MARION BELMONT DAUELSBERG -  
Peru

Director

Corporacion Yanbal International

Date of Birth:

Country of Citizenship:

Has client resided outside of his/her country of nationality for 5 years or more?

Tax ID / SSN:

Position/Title/Rank:

Does the person work as senior executive of a DB-recognized regulated entity in the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)

Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)

Janine

Belmont Dauelsberg's husband, Gonzalo German Aguirre Arriz, was one of the founders of Coordinadora Nacional de Independientes, a political party established in Peru on February 23, 2002. It changed name to Todos por el Peru.

To the best of your knowledge, is the individual related to an employee of the DB group?(if Family or Friendship, describe )

To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral

source, supplier of goods or services)?(if Yes,describe )

Yes 填 No

Peru

Yes 填 No

CEO

填 Yes

No

Family

Friendship 填 None

Yes 填 No

If applicable, indicate which bank officers have met the person:

Bank Officer Name(s)

Indicate where and when the client meeting(s) took place:

Bank Office:

Client

Private

Domicile:

Client Place

of Business:

Other Location (specify): Date:

Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:

Cosmetics company

Primary Country of source of wealth/source of Funds? Peru

Primary industry of source of Wealth/Source of Funds?

Summarize Source of Wealth:

Goods

Business Owner 填 Salary/Earnings

Other:

Investment 填 Inheritance/Gifts

<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...>

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Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income

(\$):

Estimated Net Worth(\$):

1,000,000.00

\$20 MM - 50 MM

Other Known Financial Institutions:

Institution: Julius Baer

Institution:

Institution:

Estimated amount of investable assets(\$):

Amount of assets planned to invest with PWM(\$):

\$20 MM - 50 MM

Janine Belmont Dauelsberg is not a contributor of wealth. She is simply a beneficiary of the Opus Futurus Foundation. All assets have been derived from the wealth of her father, Juan Fernando Belmont Anderson. Please refer to his profile for additional source of wealth information.

Country:

Country:

Country:

Switzerland

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Please indicate the family situation of the individual (marital status, other family members, etc.): Married with 3 children; daughter of Juan Fernando Belmont Anderson.

5,000,000.00

[https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?-](https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...)

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2.

Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:

Country of Residence:

Address of primary residence:

Profession/Occupation:

Current Employer:

Address of employer:

FERNANDO FEDERICO BELMONT DAUELSBERG - Date of Birth:

Peru

[REDACTED]

Unemployed

Unemployed

N/A

Does the person work as senior executive of a DB-recognized regulated entity in

the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities

Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)

Brother in

law of Gonzalo Aguirre Arriz, one of the founders of Coordinadora Nacional de Independientes, a political party established in Peru. It changed name to Todos

por el Peru.

To the best of your knowledge, is the individual related to an employee of the DB

group?(if Family or Friendship, describe )

To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client

referral

source, supplier of goods or services)?(if Yes,describe )

Yes 填 No

Country of Citizenship:

Has client resided outside

of his/her country of

nationality for 5 years or

more?

Tax ID / SSN:

Position/Title/Rank:

[REDACTED]

Peru

Yes 填 No

[REDACTED]

Unemployed

填 Yes

No

Family

Friendship 填 None

Yes 填 No

If applicable, indicate which bank officers have met the person:

Bank Officer Name(s)

Indicate where and when the client meeting(s) took place:

Bank Office:

Client

Private

Domicile:

Client Place

of Business:

Other Location (specify): Date:

Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:

Beneficiary of his father's wealth, derived from the cosmetics industry

Primary Country of source of wealth/source of Funds? Peru

Primary industry of source of Wealth/Source of Funds?

Summarize Source of Wealth:

Goods

Business Owner

Other:

Salary/Earnings

Investment 填 Inheritance/Gifts

<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...>

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Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Fernando Federico Belmont Dauelsberg is not a contributor of wealth. He is simply a beneficiary of the Opus Futurus Foundation. All assets have been derived from the wealth of his father, Juan Fernando Belmont Anderson. Please refer to his profile for additional source of wealth information.

Estimated Annual Income

(\$):

25,000.00

Estimated Net Worth(\$): \$1 MM - 2 MM

Other Known Financial Institutions:

Institution: Julius Baer

Institution:

Institution:

Estimated amount of investable assets(\$):

Amount of assets planned to invest with PWM(\$):

\$1 MM - 2 MM

Country:

Country:

Country:

Switzerland

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Please indicate the family situation of the individual (marital status, other family members, etc.): Son of Juan Fernando Belmont Anderson and Marion Dauelsberg Ehlers De Belmont.

<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...>

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2.

Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:

Country of Residence:

Address of primary residence:

Profession/Occupation:

Current Employer:

Address of employer:

MARION DAUELSBERG EHLERS DE BELMONT -

Peru

Av [REDACTED]

Housewife

Housewife

N/A

Does the person work as senior executive of a DB-recognized regulated entity in

the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities)

Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)

Mother in

law of Gonzalo Aguirre Arriz, one of the founders of Coordinadora Nacional de Independientes, a political party established in Peru. It changed name to Todos

por el Peru.

To the best of your knowledge, is the individual related to an employee of the DB

group?(if Family or Friendship, describe )

To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral

source, supplier of goods or services)?(if Yes,describe )

Yes 填 No

Date of Birth:

Country of Citizenship:

Has client resided outside of his/her country of nationality for 5 years or more?

Tax ID / SSN:

Position/Title/Rank:

[REDACTED]

Peru

Yes 填 No

[REDACTED]

Housewife

填 Yes

No

Family

Friendship 填 None

Yes 填 No

If applicable, indicate which bank officers have met the person:

Bank Officer Name(s)

Indicate where and when the client meeting(s) took place:

Bank Office:

Client

Private

Domicile:

Client Place

of Business:

Other Location (specify): Date:

Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:

Beneficiary of Juan Fernando Belmont's wealth which is derived from the cosmetics industry

Primary Country of source of wealth/source of Funds? Peru

Primary industry of source of Wealth/Source of Funds?

Summarize Source of Wealth:

Goods

Business Owner

填 Other:

Salary/Earnings

Investment

Inheritance/Gifts

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Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.) Mrs. Marion Dauelsberg Ehlers De Belmont is a housewife and her husband Juan Fernando Belmont Anderson, is her main source of wealth. Please refer to his profile for additional source of wealth information.

Estimated Annual Income

(\$):

Estimated Net Worth(\$):

\$500 MM +

Other Known Financial Institutions:

Institution: Julius Baer

Institution:

Institution:

Estimated amount of investable assets(\$):

Amount of assets planned to invest with PWM(\$):

\$100 MM - 500 MM

Country:

Country:

Country:

Switzerland

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Please indicate the family situation of the individual (marital status, other family members, etc.): Married to Juan Fernando Belmont

Anderson; mother of Fernando and Janine

[https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?-lid=01N30000000D9Di&...](https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...)

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One sheet must be established for EACH INDIVIDUAL PARTY in Section 2.

Account Ownership Summary

3A. Individual Details (for all parties)

Individual's Name:

Country of Residence:

Address of primary residence:

Profession/Occupation:

Current Employer:

Address of employer:

Aromatiss S.A.S

United States

Does the person work as senior executive of a DB-recognized regulated entity in

the financial industry? or an entity listed on a DB-recognised exchange? (Not applicable for operating entities

Is the individual a Politically Exposed Person (PEP)?(if Yes, describe)

To the best of your knowledge, is the individual related to an employee of the DB

group?(if Family or Friendship, describe )

To the best of your knowledge, is the individual party to a non-banking relationship with Deutsche Bank (e.g., external legal counsel, client referral

source, supplier of goods or services)?(if Yes,describe )

Yes 填 No

Yes 填 No

Family

Friendship 填 None

Yes 填 No

Juan Pablo Godoy Fajardo -

Peru

Lima Peru

Date of Birth:

Country of Citizenship:

Has client resided outside of his/her country of nationality for 5 years or more?

Tax ID / SSN:

Position/Title/Rank:

Colombia

Yes 填 No

Legal Representative

If applicable, indicate which bank officers have met the person:

Bank Officer Name(s)

Indicate where and when the client meeting(s) took place:

Bank Office:

Client

Private

Domicile:

Client Place

of Business:

Other Location (specify): Date:

Wealth Details for this individual are not filled in, because they are the same as for the following person:

3B. Wealth Details (Only for parties requiring source of wealth description as indicated in Section 2)

Nature of the Individual's Business:

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of

Funds?

Summarize Source of Wealth:

Business Owner

Other:

Salary/Earnings

Investment

Inheritance/Gifts

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Further Describe Source of Wealth /Detail the history of wealth for each of the sources: (e.g. For trusts, how did settlor accumulate wealth? For inheritance, how did family accumulate wealth?For business owners, how long in business, how many employees, level of profitability? Indicate type of business, countries of major activities, important business partners.)

Estimated Annual Income (\$):

Estimated Net Worth(\$):

Other Known Financial Institutions:

Institution:

Institution:

Institution:

Estimated amount of investable assets(\$):

Amount of assets planned to invest with PWM(\$):

Country:

Est. Assets Under Mgt:

Country:

Country:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Please indicate the family situation of the individual (marital status, other family members, etc.):

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:

Type of Entity:

Type of Entity Other

(specify):

Country of

incorporation/registration:

Volcker Status:

Address (city, street, post code):

Colombia

Aromatiss S.A.S - [REDACTED]

Foundation/Association

Estate

Partnership

Purpose of Entity

Other (specify):

Date of

incorporation /

registration:

Identified in previous KYC

[REDACTED],

Cundinamarca Tenjo Colombia

Volcker Flag:

U.S. TIN/EIN:  
Trust 壩 Company  
壩 Private Investment  
Purpose of Entity:  
Philanthropic/Charitable  
Commercial  
PIC to purchase property in  
Colombia  
7/8/2011  
Yes

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:  
Aromatiss S.A.S is 100% owned by Costmos Enterprises SLU that is 100% owned by Jafer Enterprises R&D SLU that is 100% owned FERBEL GROUP OF COMPANIES SLU that is 100% owned by Opus Futurus Foundation  
The beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson

The following are Legal Representative of Aromatiss S.A.S  
Juan Pablo Godoy Fajardo TAX ID: [REDACTED]  
Astrid Pelaez Sanchez Tax ID: [REDACTED]  
Veronica Silva Luna Tax ID: [REDACTED]  
Marc Blaison Tax ID: [REDACTED]  
Armando Lema Hanke Tax ID: [REDACTED]

Please indicate how ownership of the legal entity is reflected:  
Special attention: Bearer Shares - Indicate where shares are custodied:  
<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...>  
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Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Aromatiss S.A.S is 100% owned by Costmos Enterprises SLU that is 100% owned by Jafer Enterprises R&D SLU that is 100% owned FERBEL GROUP OF COMPANIES SLU that is 100% owned by Opus Futurus Foundation The beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson and his family.

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:

Yes

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:

Countries where business is transacted:

Number of employees:

Colombia

0

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of Funds?

Colombia

Goods

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):

Assets are currently held in the underlying entity Ferbel Group of Companies, S.L.U. acts as the holding company of the group's investments. As of Q2 2015 the company holds approximately \$197MM USD in net assets

Estimated gross receipts p.a.(\$):

Estimated net profit p.a. (\$):

Estimated investable assets (\$):

Potential Amount to be invested with PWM (\$):

Other Known Financial Institutions:

Institution: Deutsche Bank

Institution:

Institution:

24,000,000.00

19,000,000.00

\$2 MM - 5 MM

2,000,000.00

No填

Private Investment Company

Country:

Country:

Country:

USA

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:

Type of Entity:

Type of Entity Other

(specify):

Country of

incorporation/registration:

Volcker Status:

Panama

Opus Futurus Foundation - 00000490287

Foundation/Association

Estate

Partnership

Purpose of Entity

Other (specify):

Date of

incorporation /

registration:

Identified on Customer Profile

Volcker Flag:

U.S. TIN/EIN:

11/30/2010

Trust

Company

Private Investment

Purpose of Entity:

Philanthropic/Charitable

Commercial

827,008.59

No

  
<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...>

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Address (city, street, post code):

[REDACTED],  
16th Floor, Panama Panama

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:  
The Opus Futurus Foundation is a private interest foundation established in Panama in 2010. It was formed through Deed No. 25,505 dated November 30, 2010 and registered into Panama's Public Registry on December 1, 2010.

Its founder is Norval Associated S.A., a PIC set up by Mr. Juan Fernando Belmont, which is also registered in Panama. The beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson, as evidenced by the attached Beneficial Owner Certification.

The Foundation's Council is Cygnus Coporation, a company incorporated in Seychelles in March 2010. The Council Members are:

- Juan Fernando Belmont Anderson: President
- Charles Emmanuel de Bavier
- Jesus Armando Lema Hanke
- Veronica Maria Silva Luna
- Marlene Strutz Recinos
- Paul Georg Peter Pagnia

The Registered Agent is Morgan & Morgan.

Please indicate how ownership of the legal entity is reflected:

Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): The beneficiary of the Opus Futurus Foundation is Mr. Juan Fernando Belmont Anderson along with his wife and children, Marion Dauelsberg de Belmont, Janine Belmont Dauelsberg, and Fernando Federico Belmont Dauelsberg  
To the best of your knowledge, is the entity party to a non-banking relationship

with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of

goods or services)?:

Yes

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:

Private investments

Countries where business is transacted:

Number of employees:

Panama

0

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of

Funds?

Peru

Goods

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):

Assets of Opus Futurus Foundation come from Mafer International Holdings and from Ferbel Group of Companies SL. These two entities derive cash from Corporacion Yanbal International's dividends. Mr. Juan Fernando Belmont Anderson is the owner and Chairman of Corporacion Yanbal International. Please refer to his profile for additional information.

Estimated gross receipts p.a.(\$):

Estimated net profit p.a. (\$):

Estimated investable assets (\$):

Potential Amount to be invested with PWM (\$):

Other Known Financial Institutions:

Institution: Deutsche Bank

Institution: Julius Baer

Institution:

20,000,000.00

15,000,000.00

\$2 MM - 5 MM

No填

Country:

Country:

Country:

USA

Est. Assets Under Mgt:

Switzerland

Est. Assets Under Mgt:

Est. Assets Under Mgt:

10,000.00

5,000,000.00

<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...>

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One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:

Type of Entity:

Type of Entity Other

(specify):

Country of

incorporation/registration:

Volcker Status:

Address (city, street, post code):

Bermuda

Cosmo International Fragrances, S.L.U. -

Foundation/Association

Estate

Partnership

Purpose of Entity

Other (specify):

Date of

incorporation /

registration:

Identified in previous KYC

Volcker Flag:

U.S. TIN/EIN:

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:

Cosmo International Fragrances, S.L. U. former name, Cosmos Enterprises SLU is 100% owned by Jafer Enterprises R&D SLU that is

100% owned FERBEL GROUP OF COMPANIES SLU that is 100% owned by Opus Futurus Foundation The beneficial owner of the

Foundation is Mr. Juan Fernando Belmont Anderson and his family.

Please indicate how ownership of the legal entity is reflected: Cosmo International Fragrances, S.L. U. former name, Cosmos

Enterprises SLU is 100% owned by Jafer Enterprises R&D SLU

Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Cosmo International

Fragrances, S.L. U. former name, Cosmos Enterprises SLU is 100% owned by Jafer Enterprises R&D SLU that is 100% owned FERBEL

GROUP OF COMPANIES SLU that is 100% owned by Opus Futurus Foundation The beneficial owner of the Foundation is Mr. Juan

Fernando Belmont Anderson and his family.

\*\*\* On July 26, 2018 through a General Extraordinary and Universal Board Meeting of partners of Cosmo Enterprises, S.L.U. it was

approved the MERGER with Cosmo Enterprises, S.L.U. (Absorbing entity) and

Cosmo Fragrances CGE, S.L.U. (entity absorbed) acquiring the first one by universal succession all the assets of the second one, that will be dissolved without liquidation.

It was approved to modify the entity denomination that will be denominated "Cosmo International Fragrances, S.L.U., amplify its social object and change domicile to Granollers (Barcelona), Avenida Sant Julia, numerous 260-266, Poligono Industrial Congost.

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:

Yes

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:

Countries where business is transacted:

Number of employees:

Spain

0

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of Funds?

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):

No

Trust

Company

Private Investment

Purpose of Entity:

Philanthropic/Charitable

Commercial

Invest financial Markets and

Sale of purchase of securities for

[REDACTED]

Yes

Private Investment Company

<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...>

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Estimated gross receipts p.a.(\$):

Estimated net profit p.a. (\$):

Estimated investable assets (\$):

Potential Amount to be invested with PWM (\$):

Other Known Financial Institutions:

Institution:

Institution:

Institution:

Country:

Est. Assets Under Mgt:

Country:

Country:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:

Type of Entity:

Type of Entity Other

(specify):

Country of

incorporation/registration:

Volcker Status:

Address (city, street, post code):

Spain

Jafer Enterprises R&D SLU -

Foundation/Association

Estate

Partnership

Purpose of Entity

Other (specify):

Date of

incorporation /

registration:

Identified in previous KYC

██████████,poligono.ind.congost

(Granollers) Barcelona Spain

Volcker Flag:

U.S. TIN/EIN:

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:

Jafer Enterprises R&D is 100% owned by FERBEL GROUP OF COMPANIES SLU and is 100% owned by Opus Futurus Foundation The

beneficial owner of the Foundation is Mr. Juan Fernando Belmont Anderson and his family.

Please indicate how ownership of the legal entity is reflected: N/A

Special attention: Bearer Shares - Indicate where shares are custodied:  
Describe the chain from the direct owner of the entity to the ultimate  
beneficial owner (if not the same persons): Jafer Enterprises R&D  
is 100% owned by FERBEL GROUP OF COMPANIES SLU and is 100% owned by Opus  
Futurus Foundation The beneficial owner of the  
Foundation is Mr. Juan Fernando Belmont Anderson and his family.  
To the best of your knowledge, is the entity party to a non-banking  
relationship  
with Deutsche Bank (e.g. external legal counsel, client referral source,  
supplier of  
goods or services)?:

Yes

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:

Countries where business is transacted:

Number of employees:

Spain

0

No 填

Trust 填 Company

填 Private Investment

Purpose of Entity:

Philanthropic/Charitable

Commercial

PIC Research and Development

of cosmetic related products

9/17/2014

Yes

Research and Development

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lid=01N30000000D9Di&...](https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N30000000D9Di&...)

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3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of Funds?

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):

Estimated gross receipts p.a.(\$):

Estimated net profit p.a. (\$):

Estimated investable assets (\$):

Potential Amount to be invested with PWM (\$):

Other Known Financial Institutions:

Institution:

Country:

Institution:

Institution:

Country:

Country:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:

Type of Entity:

Type of Entity Other

(specify):

Country of incorporation/registration:

Volcker Status:

Address (city, street, post code):

Spain

Ferbel Group of Companies, S.L.U. - 00000423364

Foundation/Association

Estate

Partnership

Purpose of Entity

Other (specify):

Date of incorporation / registration:

Identified on Customer Profile

Poligono Industrial Congost, Avenida Sant Julia,

Volcker Flag:

U.S. TIN/EIN:

Trust 堧 Company  
堧 Private Investment  
Purpose of Entity:  
Philanthropic/Charitable  
Commercial  
No

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:  
Ferbel Group of Companies, S.L.U. was formerly known as Ferbel Group Limited and Ferbel International Limited. Ferbel International was originally incorporated on April 25, 2003 in Bermuda. The company later changed its registered address to the British Virgin Islands on January 28, 2011.

On December 18, 2013 the company transferred to Spain and changed its legal name to the current, Ferbel Group of Companies, S.L.U.

The company's authorized capital is set at 560,000 Euros represented by 5,600 shares valued at 100.00 Euros each. As evidenced by the attached Resolution of the Sole Member, the company's authorized capital is registered to the Opus Futurus Foundation.

The Board of Directors is comprised of the following members:

- Juan Fernando Belmont Anderson: Delegate Council Member & President.
- Charles Emmanuel de Bavier: Delegate Council Member & Vice-President.
- Janine Marion Belmont Dauelsberg: Delegate Council Member.
- Jesus Armando Lema Hanke: Delegate Council Member.
- Marc Gerard Blaison: Delagate Council Member.

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- Veronica Maria Silva Luna: Delegate Council Member.
- Juan Carlos Rodriguez Cuba: Delegate Council Member.
- Marlene Strutz Recinos: Council Member.
- Paul Georg Peter Pagnia: Council Member.
- Olga Del Castillo Alegre: Secretary, non-council member.

Please indicate how ownership of the legal entity is reflected: N/A

Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): The company is beneficially owned by Mr. Juan Fernando Belmont Anderson and his family through the Opus Futurus Foundation

To the best of your knowledge, is the entity party to a non-banking relationship with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of goods or services)?:

Yes

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:

Countries where business is transacted:

Number of employees:

Spain

0

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of Funds?

Peru

Real Estate

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):

The company acts as the holding company of the group's investments. As of Q2 2015 the company holds approximately \$197MM USD in

net assets

Estimated gross receipts p.a.(\$):

Estimated net profit p.a. (\$):

Estimated investable assets (\$):

Potential Amount to be invested with PWM (\$):

Other Known Financial Institutions:

Institution: Santander

Institution: Deutsche Bank

Institution:

24,000,000.00

19,000,000.00

\$100 MM - 500 MM

10,500,000.00

No

Private investment company.

Country:

Country:

Country:

Spain

Est. Assets Under Mgt:

USA

Est. Assets Under Mgt:

Est. Assets Under Mgt:

One sheet must be established for EACH LEGAL ENTITY in Section 2. Account Summary

3C. Legal Entity Details (For all Legal Entities)

Legal Entity Name:

Type of Entity:

Type of Entity Other

(specify):

Panama

Norval Associated S.A. -

Foundation/Association

Estate

Partnership

Purpose of Entity

Other (specify):

4/14/2005

Trust 填 Company

填 Private Investment

Purpose of Entity:

Philanthropic/Charitable

Commercial

128,000,000.00

5,411,000.00

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Country of

incorporation/registration:

Volcker Status:

Address (city, street, post code):

Identified in previous KYC

Date of

incorporation /

registration:

Volcker Flag:

U.S. TIN/EIN:

Provide a description of the entity's organizational structure, its ownership structure and its Top Management. For trusts/foundations, include information about revocability, settlor and beneficiaries, etc.:

Norval Associated is the Founder of Opus Futurus Foundation (see attached Translation of Opus Futurs Foundation public registry)

UBO and founder of Norval is J. Fernando Belmont (see attached translation of declaration of UBO of Norval)

Norval's directors are:

Charles de Bavier - president

Jose Silva - treasurer

Marta Saavedra - secretary

Please indicate how ownership of the legal entity is reflected: Norval's capital is \$10,000 divided among 100 shares of \$100 value each.

(see panama registry- attached)

Special attention: Bearer Shares - Indicate where shares are custodied:

Describe the chain from the direct owner of the entity to the ultimate beneficial owner (if not the same persons): Norval Associated SA

is the Fiduciary Founder of Opus Futurus Foundation (see attached Translation of Opus Futurs Foundation public registry)

UBO and founder of Norval is J. Fernando Belmont (see attached translation of declaration of UBO of Norval)

To the best of your knowledge, is the entity party to a non-banking relationship

with Deutsche Bank (e.g. external legal counsel, client referral source, supplier of

goods or services)?:

Yes

Describe Nature of Entity's Primary Business and Investment Activities

Nature of the business:

Countries where business is transacted:

Number of employees:

Panama

0

3D. Wealth Profile (Only for parties requiring source of wealth description as indicated in Section 2)

Primary Country of source of wealth/source of Funds?

Primary industry of source of Wealth/Source of Funds?

Provide Evidence of Corporate Assets (e.g. balance sheet or equivalent summary of assets/liabilities):

Estimated gross receipts p.a.(\$):

Estimated net profit p.a. (\$):

Estimated investable assets (\$):

Potential Amount to be invested with PWM (\$):

Other Known Financial Institutions:

Institution:

Country:

Institution:

Institution:

Country:

Country:

No填

Norval is a company of Mr J Fernando Belmont. Norval was created as the founder/owner of Opus Futurus Foundation, which owns Dalex. Opus and Dalex are ultimately for long term investments, but Norval itself holds no assets.

No

Est. Assets Under Mgt:

Est. Assets Under Mgt:

Est. Assets Under Mgt:

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DB PWM GLOBAL KYC/NCA: US/LatAm/Int'l PART B

Relationship Name

Risk Rating Comments:

Booking Center

BELMONT, F RELATIONSHIP

Overall High Risk Due To: High Risk Factor (PEP), Medium Entity Risk, Medium

Industry Risk, High Industry

Risk, & High Product Risk, SRF: Complex Structure

填 NY

NY/Offshore

Offshore

Risk

Moderate 填 High Risk

(Compliance Signature)

DB Employee

DB Managed PIC

DB is Trustee/Co-Trustee

4. Attachments

A. Type of Photo ID Provided

B.

Drivers License 填 Passport

Checklist of names (individuals and/or entities) that were submitted for database

searches is attached

C. Please indicate the results of the database searches performed

RDC searches complete

PCR checks complete

OFAC checks complete

BIS searches complete (Lexis/Nexis, Factiva, Reuters, Dow

Jones, D&B)

Denial Orders checks complete

Martindale-Hubbell searched (Lawyers/Law Firms only)

D.

E.

填 Yes

No

National/State ID

Other

Bearer Shares

填 Yes

填 Yes

填 Yes

填 Yes

填 Yes

No negative results found

Yes 填 No

No negative results found

No negative results found

No negative results found

No negative results found

Yes 填 No negative results found

Yes 填 No

Yes 填 No

Yes 填 No

Yes 填 No

Yes

No

Please summarize any negative results from the database searches indicated above: -Fircosoft Alert for Jesus Armando Lema

Hanke who is Director of Aromatiss S.A. . His brother Rolando Enrique Lema Hanke was former Director General, of the Legal

Advisory Office of the Ministry of Production in Peru Jan 2010 and ended his term in Nov 2010 and no longer is serving

To the best of your knowledge, has the client ever been convicted of a criminal offense?

F.

To the best of your knowledge, has the customer ever been involved in any past

litigation against Deutsche Bank AG or any of its subsidiaries or is the customer

threatening litigation against Deutsche Bank AG or any of its subsidiaries?

(If Yes,

provide details below and contact Quality Mgt. (LatAm/Int'l) or the Regulatory

Control Group and notify Compliance immediately)

G.

Does the client or related party have any financial or other association / interactions

within countries or regimes sanctioned by the Office of Foreign Assets Control

(OFAC)?

H.

Does the client or related party have any financial or other association/ interactions

within high risk countries?

I. Corporate Documentation Attached (Legal Entities Only)

J. Undisclosed Principal Form Complete (Intermediaries Only)

Yes 填 No

Yes 填 No

Yes 填 No

Yes 填 No

填 Yes

No

Yes 填 No

L. Special Risk Factors

Not Applicable

Not Applicable

K. If Lexis/Nexis Search Results, Corporate Documents or Other Supporting Documentation is not in English, please provide an English

summary of the nature/contents of the non-English Documentation:  
All documents have been translated from Spanish to English  
<https://dbforcepb.my.salesforce.com/servlet/servlet.Integration?lid=01N300000000D9Di&...>  
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Does the account have Nexus to Special Risk Countries?

Yes 填 No

Is the account structure unusually complex?

Is there any indication the client set up a non operating company expressly for the purpose of transferring shares to third parties?

Is there any indication this could be a prohibited business relationship?

Are the bearer shares identified subject to acceptable controls?

填 Yes

No

Yes 填 No

Yes 填 No

Yes 填 No

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M. Case Comments

Created By

Josie Alonso

Dimitra Manley

Date

12/3/118 11:57 AM

12/4/118 8:21 AM

Comments

Aromatiss S.A.S is 100% owned by Cosmo International Fragrances, S.L. U. former name,

Cosmos Enterprises SLU - The change took place on 7/26/2018.

AFC has accepted the research attached for Juan Pablo Godoy Fajardo. The date format is

listed as 03/12/2018. However, this research was conducted and attached to the KYC on

12/3/2018.

This KYC serves as an EDR for Aromatiss S.A.S (herein referred to as accountholder), an

existing Wealth Management client since April 2018.

This EDR included the following changes:

(1) The addition of 3 UBOs, as beneficiaries of the Opus Futurus Foundation.

(2) Update to loan signatories (per attached borrowing resolution).

(3) Legal name change for an IBO: Cosmos Enterprises SLU changed its name to Cosmo

International Fragrances, S.L.U.

Dimitra Manley

12/4/118 10:06 AM

Risk Factors Include:

- PEP Relationship: The UBOs are Relatives or Close Associates (RCAs) of a PEP due to their relationship with Gonzalo Aguirre Arriz, one of the founders of Coordinadora Nacional de

Independientes, a political party established in Peru. Mr. Arriz is married to Janine Marion

Belmont Dauelsberg. The PEP status was previously escalated (see attached escalation)

- Source of Wealth (SOW )Industry: Juan Fernando Belmont Anderson (UBO) created

Corporacion Yanbal International, a cosmetics company in Latin America. SOW Verification has

been obtained.

- Complex Structure: The ownership structure consists of multiple intervening layers.

Below are the reasons why we are comfortable with approving this KYC:

- Information and supporting documents required by our AML Policy were provided and

reviewed; and as a result, we have an understanding of the structure of the accountholder, its

purpose, the purpose of the account and expected activity.

• The SOW was sufficiently detailed and appears plausible. Internet searches provided enough information to support the wealth for the prospective account holders and UBOs.

Below are the risk factors associated with the accountholder•

Our review did not identify any red flags and thus there was no need to escalate this case further.

• Due diligence searches were performed on all key and the names searched were not on any sanctions lists

• The UBOs and a legal rep/signatory appeared in the ICIJ Database as being linked to Paradise

Papers. However, the appearance of the name in ICIJ database alone does not require

escalation if there is no other negative information that presents significant or material

reputational risk. There was no adverse media on the account holder, UBOs, or legal reps to

warrant further escalation.

The August 2018 Fircosoft alert generated for Jesus Armando Lema Hanke is the same alert

Dimitra Manley

12/4/118 8:25 AM

generated in April 2018, when the account holder was on-boarded. BLAFC-WM previously

reviewed and cleared the alert. The prior clearance (from April 2018) is attached to the KYC and

covers the current alert.

ONSHORE APPROVALS

Client Facing Professional (CFP): Josie Alonso

Office Director/Business Head:

Regional Office Director:

AML Business Risk:

(Signature)

Eva Pace

(Signature)

Josie Alonso

(Signature)

Eva Pace

(Signature)

12/1/2018

12/3/2018

AML Compliance:

(Signature)

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Dimitra Manley

Dimitra Manley

12/4/2018

LATAM/INTERNATIONAL APPROVALS

Client Facing Professional (CFP):

Account Manager:

Global Market Team Head

(GMTH)/Sub-Market Team Head

(SMTH):

AML Business Risk:

(Signature)

(Signature)

(Signature)

(Signature)

AML Compliance:

(Signature)

PRIVATE WEALTH MANAGEMENT POLICY STATEMENT: Deutsche Bank Private Wealth Management (PWM) worldwide is committed to professionally serve the

interests of its clients. To do

so, Client Facing Professionals<sup>1</sup>(1) (CFP's) should establish and maintain business relationships

only with persons who satisfy our high standards of suitability, background and character. Due

diligence obtained on the client must be appropriately documented by the CFP. It is the responsibility

of CFP's to demonstrate that they know their client both at the inception of a relationship and on an

ongoing basis.

DECLARATION FOR ALL SIGNERS OF THIS FORM: To the best of my knowledge and belief

the above information is correct and up to date. I confirm that I have no suspicions relating to the

money laundering or unethical activities on the part of the client(s) and that I have followed all the

procedures relating to account opening as described in the PWM Americas Procedures and the PWM

Global KYC Policy

(1) Client Facing Professionals (CFP's) include: Relationship Managers, Wealth Advisors, Product

Officers, Brokers, Client Managers, etc.

Deutsche Bank Americas New York

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12/19/2018