

RM Name

Oti Roberts

Business Line

Wealth Management, Miami-FL

GCIS # / KYC #

01876414

New or Existing Relationship?

If yes, please include relationship since date, approx # of accounts, AUM, etc.)

New relationship

Legal name of Account Holder(s)

Patronato Benefico Oriental of the United States

Name of UBO(s)

(Please include UBOs who own 25% and more; If there are multiple layers, please include description of ownership structure)

There are no UBOs as this is a non-profit organization

Description of account type being opened, with detailed purpose of the account

(Please include SOW information/ Geographic location)

(For Entity Accounts: Description of the entity and background information on the UBO)

DB AG and checking account. Accounts will be linked to facilitate transactions.

This is a nonprofit in the Dominican Republic which purpose is to transform and empower vulnerable communities through self-sustainable projects in the areas of education and health, providing the necessary tools to contribute to their socio-economic development. For more information:

<http://www.hogardelnino.com/about-patronato-benefico-oriental/?lang=en>

Reason for High Risk

This is considered a high risk because it is in the Dominican Republic and third parties can donate

PEP (Y/N)

If yes, include details

Not applicable as this is a non-profit

Any negative media and/or court cases?

(Include ALL negative media & court cases)

No negative media on organization or board members

If Yes, please provide details

Not applicable

Business Justification for the relationship, despite High Risk Factors

This is part of the Fanjul relationship; a significant relationship for DB and we have not found any reason this should prevent us from doing business with the Fanjul's. Other entities have already been open for this relationship.

For internal use only

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